

**REQUEST FOR PROPOSAL**  
**Specification and Contract Number Q01116**  
**Data Warehouse Solution**

Non-Mandatory  
Pre-Submission Meeting:

**August 29, 2023 at 1:00 P.M. Local Time**  
**WebEx:** Join via phone: 312-535-8110 and use  
access code 2490 756 5369. Join online: go to  
[https://harpercollege.webex.com/harpercollege/j.php  
?MTID=mb38d22a4bab1456918b679fd0d7e12b4](https://harpercollege.webex.com/harpercollege/j.php?MTID=mb38d22a4bab1456918b679fd0d7e12b4)

Submission Deadline  
for Proposals:

**December 4, 2023 at 2:00 P.M. Local Time**

Deadline for any Questions  
Regarding this Project:

**September 5, 2023 at 2:00 P.M. Local Time**

Number of Copies:

Submit (2) Hard Copies of the Proposal,  
One (1) Marked "Original"  
(1) Copies of the Pricing (Separate Sealed  
Envelope)  
One additional copy of Proposal & Pricing on a flash  
drive

Return Proposals To:

William Rainey Harper College  
Purchasing Department  
Building A, Room A217  
1200 W. Algonquin Road  
Palatine, Illinois 60067-7398

PLEASE MARK THE  
RETURN ENVELOPES:

1. **Data Warehouse Solution**
2. **December 4, 2023, 2:00 P.M. Local Time**
3. **Q01116**

Issued By:

Karen McCoy, Purchasing Specialist

*PROPOSALS SUBMITTED BY FACSIMILE OR E-MAIL WILL NOT BE ACCEPTED.*

## LEGAL NOTICE

Harper College will accept Proposals for **Data Warehouse Solution**, Specification and Contract Number **Q01116**, until 2:00 P.M. Local time, on **December 4, 2023**.

Proposal shall be submitted to: Harper College Purchasing Department  
"A" Building, Room A217  
1200 W. Algonquin Road  
Palatine, IL 60067-7398

The College is issuing this Request for Proposals to contract with a single organization to provide or build a comprehensive data strategy solution to manage data from multiple, disparate sources for operational reporting and data analytics. Interested parties may contact the Purchasing Department at [purchasing@harpercollege.edu](mailto:purchasing@harpercollege.edu) for the Request for Proposals documents.

A non-mandatory pre-submission meeting for any questions regarding this project will be held August 29, 2023 at 1:00 P.M. Central Time via WebEx. Join via phone: 312-535-8110 and use access code 2490 756 5369. Join online: go to <https://harpercollege.webex.com/harpercollege/j.php?MTID=mb38d22a4bab1456918b679fd0d7e12b4>.

Questions should be addressed to Karen McCoy at [purchasing@harpercollege.edu](mailto:purchasing@harpercollege.edu). The last day to submit questions is September 5, 2023 at 2:00 P.M. Central Time. Questions received after this time may not receive a written response.

Offers may not be withdrawn for a period of 120 days after the closing date without the consent of the Board of Trustees. Any responses submitted unsealed, unsigned, fax transmissions or received after the deadline to submit proposals, may be disqualified and returned to the submitter.

Bidders shall comply with Business Enterprise Program: Participation and Utilization Plan developed by Harper College. There is a 30 percent aspirational goal on this contract.

Harper College reserves the right to reject all Proposals or parts thereof, to waive any irregularities or informalities in the Request for Proposals (RFP) procedures and to award the contract in a manner best serving the interest of the College.

Karen McCoy  
Purchasing Specialist

## REQUEST FOR PROPOSALS (RFP)

### GENERAL PROCESSING AND SELECTION PROCEDURES

The following procedures are generally used in the selection of vendors to provide professional services:

1. The Evaluation Committee is formed to evaluate the RFP responses.
2. The committee prepares a project description, criteria for selection and requirements for the specific contract. A Request for Proposals package is emailed to interested parties and a legal notice of the intent to contract for services is published.
3. The committee receives written RFP responses. The RFP responses should include a resume of the firm, references from past and present clients, similar experience, the names and background of project personnel, a narrative or work plan describing their approach to the specific project, completed Attachment A and Attachment B, a project task schedule (as applicable), and any other submittals requested within the document.
4. The committee reviews and evaluates the RFPs based on the established selection criteria and a comparison of all RFP responses submitted. The committee will request a demonstration with one or more Respondents to clarify and/or expand on the response. In accordance with the requirements of the RFPs, the College may negotiate terms, conditions and fees with the successful Respondent.
5. The committee selects the RFP response which, based on the ability to meet the criteria, appears to be the most advantageous selection for the College, and subsequently recommends contract award to the Executive Vice President.
6. Harper College reserves the right to reject all Proposals or parts thereof, to waive any irregularities or informalities in the Request for Proposals (RFP) procedures and to award the contract in a manner best serving the interest of the College.
7. The contract is presented for approval to the College Board of Trustees.

## GENERAL INFORMATION

### REQUEST FOR PROPOSALS (RFPs)

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#### A. REQUEST FOR PROPOSALS

##### A.01 General:

###### A.01.1 Definition:

Request for Proposals (RFP) is a method of procurement permitting discussions with responsible Respondents and revisions to responses prior to award of a contract. Proposals will be opened and evaluated in private. Award will be based on the criteria set forth herein.

###### A.01.2 Familiarity with Conditions:

Respondents are advised to become familiar with all conditions, instructions and specifications governing this RFP. Once the award has been made, failure to have read all the conditions, instructions and specifications of this Request for Proposals, and any subsequent contract, shall not be cause to alter the original contract or request additional compensation.

##### A.02 Discussion of Proposals:

A.02.1 All Respondents are advised that in the event of receipt of an adequate number of RFPs, which in the opinion of the Selection Committee requires no clarification and/or supplementary information, such RFP responses may be evaluated without discussion. Hence, Proposals should be initially submitted on the most complete and favorable terms which Respondents are capable of offering to the College.

A.02.2 The Selection Committee may conduct discussions with any Respondent who submits acceptable or potentially acceptable Proposals. Respondents shall be accorded fair and equal treatment with respect to any opportunity for discussion and revision of Qualification responses. During the course of such discussions, the Selection Committee shall not disclose any information derived from one Qualification response to any other Respondent. The Selection Committee reserves the right to request the Respondent to provide additional information during this process. Neither the Selection Committee nor any other member of Harper's staff will conduct debriefings regarding the RFP process.

A.02.3 During discussions, the Respondent shall be prepared to cover the following topics:

A.02.3.1 The specific services to be provided;

A.02.3.2 Proposals of the Respondent, including work on similar projects, experience of personnel, etc;

A.02.3.3 The working relationship to be established between the College and the Respondent, including, but not limited to, what each party should expect from the other.

- A.03 Negotiations:  
Harper College reserves the right to negotiate specifications, terms and conditions which may be necessary or appropriate to the accomplishment of the purpose of this RFP. The College may require the RFP and the Respondent's entire Qualification response be made an integral part of the resulting contract. This implies that all responses, supplemental information, and other submissions provided by the Respondent during discussions or negotiations will be held by Harper College as contractually binding on the successful Respondent.
  
- A.04 Notice of Unacceptable Responses:  
When the Selection Committee determines a firm's RFP response to be unacceptable, such Respondent shall not be afforded an additional opportunity to supplement its RFP Response.
  
- A.05 Confidentiality:  
The Purchasing Department shall examine the Proposals to determine the validity of any written requests for nondisclosure of trade secrets and other proprietary data identified. After award of the contract, all responses, documents, and materials submitted by the Respondent pertaining to this RFP will be considered public information and will be made available for inspection, unless otherwise determined by the Purchasing Department. All data, documentation and innovations developed as a result of these contractual services shall become the property of the College. Based upon the public nature of these RFPs, a Respondent must inform the College, in writing, of the exact materials in the offer which cannot be made a part of the public record in accordance with the Illinois Freedom of Information Act.
  
- A.06 Proposed Timeline:  

RFP Issued.....	<b>August 16, 2023</b>
Pre-Submission Meeting.....	<b>August 29, 2023</b>
Last Day to submit questions for a response . . . . .	<b>September 5, 2023</b>
Final Addendum Issued .....	<b>September 19, 2023</b>
RFP Deadline .....	<b>December 4, 2023</b>
Oral Interviews and Vendor Demonstrations .....	week of <b>January 8, 2024</b>
Approval by Harper College Board of Trustees .....	<b>April 2024 Board</b>
Implementation Begins .....	<b>TBD</b>

**B. TERMS AND CONDITIONS**

- B.01 Authority:  
This Request for Proposals is issued pursuant to applicable provisions of Harper College's Purchasing Policy.
  
- B.02 Errors in Proposals:  
Respondents are cautioned to verify their RFP response prior to submission. Negligence on the part of the Respondent in preparing the RFP response confers no right for withdrawal or modification of the RFP response.

- B.03 Reserved Rights:  
Harper College reserves the right at any time and for any reason to cancel this Request for Proposals process or any portion thereof, to reject any or all submittals, or to accept alternate submittals. The College reserves the right to waive any immaterial defect in any RFP response. Unless otherwise specified by the Respondent, the College has ninety (90) days to accept. The College may seek clarification from a Respondent at any time and failure to respond promptly is cause for rejection. The College may require submission of best and final offers.
- B.04 Incurred Costs:  
Harper College will not be liable in any way for any costs incurred by Respondents in replying to this RFP.
- B.05 Award:  
Award shall be made by the Harper College Board of Trustees to the responsible Respondent whose Proposals are determined to be the most advantageous to the College, taking into consideration price and the evaluation criteria set forth herein below. Harper College reserves the right to accept the RFP response as a whole or for any component thereof if it appears to be in the best interest of the College.
- B.06 Evaluation Considerations:  
Evaluation Criteria are shown below. The Selection Committee shall consider the following when judging the ability of Respondents to meet the requirements of this Request for Proposals.
- B.06.1 Compliance with Request for Proposals [Mandatory]. This refers to the adherence to all conditions and requirements of the Request for Proposals.
- B.06.2 Quality of Response.
- B.06.2.1 Clearly demonstrated understanding of the work to be performed.
- B.06.2.2 Completeness and reasonableness of the Respondent's plan/Proposals for accomplishing the tasks.
- B.06.2.3 Level of creativity demonstrated by the Respondent's proposed methodologies for meeting the requirements of this Request for Proposals.
- B.06.3 Product/Services to be Provided. This refers to the exact type and nature of the Respondent's proposed services and how they accomplish the objectives of the project, how the proposed solution meets the College's technical requirements, as well as the ability to rapidly respond to the College's needs, as defined in the Evaluation Criteria set forth herein.
- B.06.4 Schedule. This refers to the Respondent's proposed delivery schedule. The schedule shall be a critical element of this contract.
- B.06.5 Qualifications and Experience. Respondent's capability in all respects to perform fully the contract requirements, and the tenacity, perseverance, experience, integrity, and reliability which will assure good faith performance, as well as satisfactory reference verification. These criteria include:

- B.06.5.1 The experience of the firm and its record on engagements of a similar nature, including the ability to serve in a similar capacity for other Colleges or organizations.
- B.06.5.2 Personnel to be assigned to the project, and their education, capabilities, qualifications and experience with similar projects; and
- B.06.5.3 Other areas addressed in the *Statement of Work* herein.
- B.06.6 Costs (Price). This refers to the proposed contract fee. (Please note that price is only one factor for consideration of award). **Pricing will be submitted within a separate sealed envelope so marked. Price breakdown needs to include any implementation, integrations and training fees.**
- B.06.7 Acceptability of Proposals:  
The Offer shall be evaluated solely in accordance with the criteria set forth herein. The Proposals shall be categorized as follows:
- A. Acceptable;
  - B. Potentially Acceptable; that is reasonably susceptible of being made acceptable; or
  - C. Unacceptable.
- B.07 Budget:
- B.07.1 When requested, Respondent shall propose a not-to-exceed amount for complete execution of this project as detailed in the Specifications or Statement of Work herein.
- B.07.2 If proposing costs which may include alternate programs or services not covered in the base bid pricing, the Respondent, when offering such alternative services must provide a detailed explanation of additional optional services to be offered.
- B.08 Contract Term:  
The term of the agreement shall be two years. The contract term can be negotiated based on the overall project schedule. Please include your estimated project schedule through implementation
- B.09 Taxes:  
The College is exempt from paying Illinois Use Tax, Illinois Retailers Occupation Tax, Federal Excise Tax, and Municipal Retailer's Occupation Tax. The College's Tax Exemption Letter will be provided upon award of a contract.
- B.10 Hold Harmless Clause:  
The Respondent agrees to indemnify, save harmless and defend Harper College, its agents, servants, and employees, and each of them against and hold it and them harmless from any and all lawsuits, claims, demands, liabilities, losses and expenses, including court costs and attorney's fees, for or on account of any injury to any person, or any death at any time resulting from such injury, or any damage to property, which may arise or which may be alleged to have arisen out of or in connection with the work covered by this contract. The foregoing indemnity shall apply except if such injury, death or damage is caused directly by the negligent conduct of Harper College, its agents, servants, or employees or any other person indemnified hereunder.
- B.11 Insurance Requirements:  
Respondents shall maintain for the duration of this contract and any extensions thereof

insurance issued by a company or companies qualified to do business in the State of Illinois, as enumerated in Harper College's insurance requirements. **Please state your professional liability coverage if applicable.**

Upon notice of acceptance of proposal, the successful bidder shall, within ten (10) calendar days of said notice, furnish to the College a certificate of insurance evidencing coverage by the types of insurance in the amounts specified below. Such coverage shall be placed with a responsible company acceptable to the College, licensed to do business in the State of Illinois, and with a minimum insurance rating of A: VII as found in the current edition of A M Best's Key Rating Guide. Each policy shall bear an endorsement precluding the cancellation or reduction of said policies without providing Harper College at least thirty (30) days prior notice thereof in writing. All required insurance shall be maintained by the contractor in full force and effect until such time as the subject equipment has been approved and accepted by the College.

Minimum Insurance Requirements:

General Liability	General Aggregate	\$2,000,000
	Products-Comp/Op Agg	2,000,000
	Personal Injury	1,000,000
	Each Occurrence	1,000,000
Excess Liability	Each Occurrence	1,000,000
	Aggregate	1,000,000
Automobile Liability	Bodily injury (each acc)	1,000,000
	Property damage (each acc)	1,000,000
Worker's Compensation	Statutory Limits	

Contractor shall cause each subcontractor employed by contractor to purchase and maintain insurance of the type specified above. When requested by the College, contractor shall furnish copies of certificates of insurance evidencing coverage for each subcontractor. William Rainey Harper College shall be named as an additional insured.

- B.12 Meetings:  
Respondent may be required to meet with various College and outside officials as required, throughout the project.
  
- B.13 Equal Employment Opportunity:  
Respondent shall comply with the Illinois Human Rights Act, 775 ILCS 5/1-101 et seq., as amended, and any rules and regulations promulgated in accordance therewith, including, but not limited to the Equal Employment Opportunity Clause, Illinois Administrative Code, Title 44, Part 750 (Appendix A), which is incorporated herein by reference. Furthermore, the Respondent shall comply with the Public Works Employment Discrimination Act, 775 ILCS 10/0.01 et seq., as amended. Furthermore, the Respondent will comply with Executive Order 11246 of September 1965, entitled "Equal Opportunity," as amended by Executive Order 11375 of October 13, 1967, and as supplemented by the Department of labor regulations (41 CFR chapter 60). Furthermore, the Respondent shall comply with Public Act 98-107 which requires nearly any party that contracts with a community college to post employment of vacancies with the state's job board IllinoisJobLink.com. Additionally, the Respondent shall comply with the Harper College Fair Employment Ordinance.



- B.14 Responsibility & Default:  
B.14.1 The awarded Respondent shall be required to assume responsibility for all items listed in this Request for Proposals. The successful Respondent shall be considered the sole point of contact for purposes of this contract.
- B.14.2 Time is of the essence and shall be considered in awarding this contract. If delivery of acceptable items or rendering of services is not completed by the time promised, the College reserves the right, without liability, in addition to its other rights and remedies, to terminate the contract by written notice effective when received by the Respondent, as to stated items not yet shipped or services not yet rendered and to purchase substitute items or services elsewhere in such a manner as the Purchasing Department may deem appropriate, and charge the Respondent with any or all losses incurred. The College shall be entitled to recover its attorney's fees and expenses in any successful action by the College to enforce this contract.
- B.15 Payments:  
B.15.1 The Respondent shall furnish the College with itemized invoices as required as determined through negotiations with the Selection Committee.
- B.15.2 All payments to be made in accordance with applicable provisions of the "Local Government Prompt Payment Act." 50 ILCS 505/1, *et seq.*
- B.16 Respondent Responsibilities:  
The selected Respondent will be required to assume responsibility for all services offered in this Request for Proposals. The College will consider the selected Respondent to be the sole point of contact with regard to contractual matters, including payment of any and all charges resulting from the contract. Sub-contracts will be permitted only upon specific, written permission of the College.
- B.17 Interpretation or Correction of Request for Proposals:  
B.17.1 Respondents shall promptly notify the Purchasing Department of any ambiguity, inconsistency or error, which they may discover upon examination of the Request for Proposals. Requests for interpretation of specifications may be made in writing, and directed to the Purchasing Department. All such requests must be delivered in a timely fashion.
- B.17.2 Interpretations, corrections and changes to the Request for Proposals will be made by addendum. Interpretations, corrections or changes made in any other manner will not be binding.
- B.18 Law Governing:  
Any contract resulting from this RFP shall be governed by and construed according to the laws of the State of Illinois, without regard to conflict of law principles.

- B.19 Compliance with Laws:  
The Respondent shall at all times observe and comply with all laws, ordinances and regulations of the federal, state, local and College policy, which may in any manner affect the contract.
- B.20 Termination for Lack of Funding:  
The College reserves the right to terminate the whole or any part of this contract, upon written notice to the Respondent in the event that sufficient funds to complete the contract are not appropriated by the Harper Board of Trustees; provided that in the event of such termination, the Respondent shall be paid promptly for all services rendered by the Respondent through the effective date of termination.
- B.21 Addenda:
- B.21.1 Addenda are written instruments issued by the Purchasing Department prior to the date of receipt of Proposals, which modify or interpret the RFP by addition, deletions, clarifications or corrections.
- B.21.2 Prior to the receipt of Proposals, addenda shall be distributed to all who are known to have received a complete RFP if required.
- B.21.3 After receipt of Proposals, any addenda shall be distributed only to Respondents who submitted Proposals and those Respondents shall be permitted to submit new or amended Proposals as detailed within the addenda.
- B.21.4 Each Respondent shall ascertain, prior to submitting a Proposal that all addenda issued have been received and, by submission of a Proposal, such act shall be taken to mean that such Respondent has received all addenda and that the Respondent is familiar with the terms thereof and understands fully the contents of the addenda.
- B.21.5 Respondents shall acknowledge receipt and understanding of the addenda in their response.
- B.22 Regulatory Compliance:  
When applicable Respondent represents and warrants that the goods and services furnished hereunder (including all labels, packages and container for said goods) comply with all applicable standards, rules, and regulations as applicable including the Occupational Safety and Health Act as amended with respect to design, construction, manufacture or use for their intended purpose of said goods or services. Respondent shall furnish applicable "Material Safety Data Sheets" in compliance with the Illinois Toxic Substances Disclosure Act, and shall otherwise comply with the requirements of said act for materials and supplies covered by the act.
- B.23 Guarantees and Warranties:  
All guarantees and warranties required shall be furnished by the Respondent and shall be delivered to the Purchasing Department before final voucher on the contract is issued.
- B.24 Right to Protest  
Any bidder wishing to file a protest regarding the RFP process may do so by giving written notice to the Manager of Purchasing within seven calendar days of the closing time and date. This notice should include the title of the requirement, the closing date and the nature of the protest. Any disputes concerning a question of fact under this RFP which is not

disposed of by agreement shall be decided by the College. The decision of the College for the determination of such appeals shall be final and conclusive.

B.25 Contracting with Minority Firms and Women Business Enterprise

The College has an aspirational goal of spending 30% of its budgeted expenditures with firms owned by minorities, females or persons with disabilities as required by Illinois Statute. Respondents to this request for proposals shall comply with the provisions of the Business Enterprise program, and shall submit the appropriate Utilization Plan forms and/or Demonstration of Good Faith efforts checklist with their responses. The directory of BEP certified vendors can be found at the following website (<https://cms.diversitycompliance.com/>).

B.26 Freedom of Information Act

Harper College is a public body and subject to the Freedom of Information Act, 5 ILCS 140/1 (FOIA). Any information submitted to Harper College by the respondent/bidder is subject to disclosure to third parties in accordance with FOIA.

If the respondent/bidder intends for Harper College to withhold trade secrets, commercial information or financial information from disclosure to a third party in response to a FOIA request, **the respondent/bidder must specifically mark information that is proprietary, privileged or confidential and would cause competitive harm if released at the time of the proposal/bid is submitted to Harper College.** 5 ILCS 140/7(1)(g). Any content not so marked by the bidder/respondent at the time of the proposal/bid submission will be presumed to be open to public inspection. The respondent/bidder may be required to substantiate the basis for its claims at a later time.

Notwithstanding timely notice received from a respondent/bidder, Harper College reserves the right, in its sole discretion and subject only to applicable law, to withhold or release the subject information in response to a FOIA request.

**It is unacceptable for a proposal/bid to be marked proprietary, privileged and/or confidential in its entirety. A proposal/bid marked as such may be deemed non-responsive by Harper College.**

B.27 Guarantees and Warranties:

All guarantees and warranties required shall be furnished by the Consultant and shall be delivered to the Purchasing Department before final voucher on the contract is issued.

**C. PROPOSALS (RFP Response)-FORM AND CONTENT**

C.01 Submission of Proposals:

C.01.1 To be considered, Proposals must be delivered directly to the Harper College Purchasing Department on or before the date and time specified in the Request for Proposals. Delivery methods are solely the responsibility of the respondent.

C.01.2 Each Respondent shall submit the number of Proposals as enumerated on the cover page herein, one of which shall be the original.

C.01.3 Interested parties should send a statement acknowledging receipt of the RFP and intent on submitting a proposal to [purchasing@harpercollege.edu](mailto:purchasing@harpercollege.edu).

C.01.4 Proposals (RFP Response) may be submitted in a sealed envelope, addressed as follows:

William Rainey Harper College  
Purchasing Department  
Building A, Room A217  
1200 W. Algonquin Road  
Palatine, Illinois 60067-7398

Late Proposals (RFP Responses) are grounds for rejection at the owner's discretion.

C.02 Form of Proposals:

The Proposals form included with this RFP shall be completed in full and signed by an officer, partner or principal with authority to execute contracts.

C.03 Proposals of Respondents (Statement of References):

C.03.1 Complete the enclosed "References" form. Provide references of higher educational organizations who have utilized similar services. A minimum of three references are required.

C.03.2 Provide background information on your firm, including, but not limited to, the age of the business, the number of employees and other data that will permit the College to determine the capability of the Respondent to meet all contractual requirements.

C.03.3 List the abilities, qualifications, licenses and experience of the persons who would be assigned to the engagement and their experience on similar contracts. Please include how long each person has worked for your company.

C.03.4 Describe your involvement in engagements of similar size and scope, providing references where appropriate. Also provide a complete listing of higher education clients.

C.03.5 Provide a complete listing of personnel to be assigned to the contract, including a personnel utilization breakdown of the work specified. Include detail by task and personnel if possible.

C.03.6 Provide a listing of all sub-contractors/third parties who will be utilized in the execution of this project. Respondents shall provide the same information for each Sub-Respondent that is provided for the Respondents, as detailed in Sections C.03.1 through C.03.5 above.

C.03.7 Identify the names of any and all persons and entities associated with the Respondents who may pose a potential conflict of interest with any activity of this specific project. Please provide details and reasons for any such conflict. (Respondents are subject to disqualification on the basis of any potential for conflict of interest as determined by the College.)

C.04 Items to be Submitted:

C.04.1 References and Qualifications: See Section C.03.

C.04.2 Completed Attachment A and Attachment B

C.04.3 Completed forms: Certification of Compliance, Disqualification of Certain Bidders, Tax Compliance Affidavit, Anti-Collusion Affidavit and Consultant's Certification, and Conflict of Interest

C.04.4 Narrative Response:

The Narrative Response shall include:

- C.04.3.1 Executive Summary - In the Executive Summary, clearly explain your understanding of the project, and how your solution is the best overall solution and value for Harper College. The Executive Summary should include a description of the overall solution and project approach. It should include succinct statements regarding the schedule and essential areas for consideration for effective execution of the project. The Executive Summary should be no more than five pages.
- C.04.3.2 Work Plan: Describe in narrative and/or outline form your detailed work plan which indicates your firm's methodology for execution of this contract including a summary of the methodology to be used to perform the work specified, and a synopsis and review of other areas or considerations not addressed in the Statement of Work herein, which the Respondents believes to be essential to the effective execution of the project. Include a communication schedule stating the frequency and type (email or phone) of communication.
- C.04.3.3 **Pricing will submitted within a separate sealed envelope so marked.**
- C.04.3.4 Terms and Conditions: List any terms and conditions which may apply to this contract that are not included in this RFP.
- C.04.3.5 Implementation Schedule: Provide a complete schedule for implementation of the project including all significant milestones and deliverables.
- C.04.3.6 Additional Information and Comments: Include any other information which may be requested in the "Statement of Work" herein, or which you believe to be pertinent to the College's requirements.

## C.05 CHANGES and INVOICING

### C.05.1 Changes in Scope

Unless otherwise agreed by the Board of Trustees in the original contract for professional services, any change in scope of services that increase the agreed contract price for professional services and/or for costs to be expended by the professional in an amount in excess of \$25,000 must be approved by the Board of Trustees. Any change in the scope of services that increase the agreed contract price for professional services and/or for costs to be expended by the professional in the amount of \$25,000 or less, may be approved by the College President or his designee.

### C.05.2 Invoicing Requirements

Unless otherwise agreed by the Board of Trustees in the original contract for professional services, all invoices for professional services rendered must be itemized by the name of the individual doing the work, the date of the work, the time expended by the individual broken down into 10ths of an hour, with a description of

the work. In addition, all costs must be itemized with a description of the purpose for which the cost was incurred.

Unless otherwise agreed by the Board of Trustees in the original contract for professional services, fee and cost invoices must be provided to the College on a monthly basis.

C.05.3 Termination for Default

The College reserves the right to terminate the whole or any part of this contract, upon written notice to the bidder, in the event of default by the bidder. Default is defined as failure by the bidder to perform any provisions of this contract or failure to make sufficient progress so as to endanger performance of this contract in accordance with its terms. In the event of default and termination, the College may procure, upon such terms and in a manner as the Purchasing Department may deem appropriate, supplies or services similar to those so terminated. The bidder shall be liable for any excess cost for such similar supplies or services unless acceptable evidence is submitted to the Purchasing Department that failure to perform the contract was due to causes beyond the control and without the fault or negligence of the bidder.

C.05.4 Termination for Convenience

The College may terminate this contract at any time upon a written notice to the bidder, should it be determined that these services are no longer required, or if sufficient funds are not available to cover the estimated requirement, or for any other reason in the College's sole and exclusive discretion. Payment for work performed prior to the effective date of termination shall be based upon an estimate of the services actually performed, and shall be mutually agreed upon by the College and the bidder. Such payment so made to the bidder shall be in full settlement for services rendered under this contract.

C.05.5 Conflict of Interest

*It shall be a breach of ethical standards for any employee of the College to participate directly or indirectly in procurement when the employee knows that:*

1. The employee has financial interest pertaining to the procurement.
2. A business or organization in which the employee has a financial interest pertaining to the procurement is involved in the procurement.
3. Any other person, business, or organization with which the employee is negotiating or has an arrangement concerning prospective employment is involved in the procurement.

*Employees who violate this standard will be subject to discipline in accordance with applicable State, County and local codes. Upon discovery of an actual or potential conflict of interest, an employee shall promptly disqualify themselves and withdraw from further participation in the transaction involved.*

## **STATEMENT OF WORK**

### **COLLEGE BACKGROUND**

William Rainey Harper College is one of forty-eight (48) community colleges in the State of Illinois that make up the Illinois Community College System. The College is a two-year public community college located in the northwest suburbs of Chicago and serves 23 communities. The 200-acre campus is located in Palatine, with extension facilities at the Learning and Career Center in Prospect Heights. In addition, Harper provides educational services in partnership with Elgin Community College at the Education and Work Center in Hanover Park. Further information about Harper College can be found on its website.

Harper College's credit full-time equivalent (FTE) including vocational education (Continuing Professional Education) in fiscal year 2021-22 was 8,043 which represents 21,370 full-time and part-time students; non-credit community education classes served an additional 7,935 students. In addition, the College supports the community through ancillary services and programs such as the arts, theatre, wellness, and programs for people of all ages from the child-care pre-school to Lifelong Learning Institute for 50+.

Harper is dedicated to student success and is committed to its mission of enriching its diverse communities by providing quality, affordable, and accessible education. Harper College, in collaboration with its partners, inspires the transformation of individual lives, the workforce, and society. Harper College awards seven Associate degrees, provides numerous transfer options, and offers a large number of certificate programs to meet specific needs of the community and area industry.

### **PROJECT BACKGROUND AND PURPOSE**

William Rainey Harper College (Harper College) is issuing the Request for Proposals (RFP) to contract with a single organization to provide or build a comprehensive data strategy solution to manage data from multiple, disparate sources for operational reporting and data analytics. This will be referenced as the solution in this RFP. It is the intent of this RFP to solicit proposals from all interested organizations possessing expertise to provide a solution to accommodate the needs of Harper College, within the guidelines established in this RFP.

The purpose of this RFP is to solicit proposals from interested organizations with documented experience designing and building end-to-end data solutions that include requirements discovery and analysis, extraction from disparate data sources, transformations, normalization, metadata generation, backup and recovery, and related services. All the requirements are specified in the scope of work section.

### **SCOPE OF WORK**

#### **A. Project Details**

Harper College requires a comprehensive data solution supporting secure, accurate, flexible, and rapid analysis of data and associated metadata. The solution must be able to ingest (ETL) data from various sources, clean the data, and store it in an intuitive format enabling further analysis by a variety of reporting tools.

## B. Required Tasks and Services

Harper College is looking for a comprehensive system or data platform that provides a solution where data is landed, blended, and made available for operations, reporting, and analytics. The College is seeking an organization to provide a solution that will meet all the following requirements.

Describe and demonstrate how the product will meet the requirements below:

1. The solution will store all our disparate source data, with historical reference points, indefinitely. Capture frequency to be determined based on source availability but should be less than 24 hours.
2. The solution must support multiple types of slowly changing dimensions, enable conversion from one type to another, and generate and manage dimension surrogate keys as necessary.
3. The solution must support the agile implementation of the following types of changes:
  - Business relationships (changes to the relationships between existing data).
  - Business rules (changes to existing model structures).
  - New information (addition of new model elements and rules).
  - Retired information (the “expiration” of model elements and rules)
4. The solution must ingest data from various sources. See Data Sources in the Appendix for a representative list.
5. The solution must support integration with business intelligence tools at the semantic level. Changes to the data repository structure and semantics must be seamlessly provided to the BI tools.
6. The solution must leverage a normalized logical data model for creation of the enterprise data repository subject areas and support dimensional modeling for reporting and analysis.
7. The data platform must provide for, create, and maintain meta data cataloging and mapping from source systems into downstream views. These must be human readable.
8. The solution must be scalable to meet future needs.
9. The solution must provide methods for monitoring performance and error tracking at all stages.
10. The solution should support structure and model version history in addition to data history.
11. The solution must provide access control, auditing, and other industry-standard security measures designed to safeguard Harper’s data; with the ability to consume role-based security attributes from source systems, with the ability to create new security roles as needed.
12. The solution must have a robust, role-based security model that leverages user authentication technologies such as Azure Active Directory and include Single Sign-on capabilities.
13. The solution will follow industry-standard best practices for data storage and retrieval.
14. The solution will include training and support necessary to allow Harper College to properly support and maintain the solution after project completion.
15. The Applicant shall develop and provide documentation and training necessary to maintain the proposed solution, including development and integration tasks.
16. The solution must be accessible by multiple, proven reporting tools. Examples include, but are not limited to applications such as Cognos, PowerBI, Excel, and direct access through vendor tools (example: SQL\*Plus).
17. The solution must meet acceptable performance measurements. This may be determined/influenced by the type of solution but agreed upon by Harper College and the Applicant prior to engagement.



18. The solution must provide functionality for power users to create their own data structures, or pipelines, for use in complex data analytics and reporting. The solution should allow for scheduling, chaining of pipelines (e.g., only run this after that), and robust logging.
19. The solution must support Information Lifecycle Management best practices.
20. The solution must reside in the cloud as any of the following: SaaS, PaaS, or IaaS, etc. The cloud server location must be made transparent.
21. The solution should match, deduplicate, and normalize data to ensure data quality.
22. The solution must support a graphical model-driven approach to master data management.
23. The solution should support modification to data structures without requiring that data be unloaded and reloaded.
24. Applicant must be available for on-going support of the solution as necessary.

**The following items are important with respect to the evaluation of proposals. Applicants should provide clear and detailed responses.**

### **GENERAL PROJECT**

1. Provide a detailed implementation plan, including a timeline, for the project and solution?
  - a) Identify all third parties that will be required to provide services and necessary to complete the project and the role they will play in completing this project?
  - b) Describe the process for determining business logic as it pertains to the goals of the project and Harper College.
  - c) Describe the typical timeframe and significant milestones for completion of the project and service delivery?
  - d) All aspects of the project necessary to deliver the solution should be fully articulated and included in any cost estimates. This includes any third-party engagements, should they be required.
2. Describe your process for correcting discrepancies between contractual requirements and delivered solutions should they arise?
3. Describe your process for responding to changes or additional requirements during the course of the project?
4. Does the Applicant partner with any of the vendors listed in the Data Sources section? If so, please describe the partnership.
5. What will you expect from Harper College in order to successfully design and build the solution, Identify the resources that Harper will need to provide.
6. Describe the training that will take place for various solution components for Harper College personnel, for example:
  - System Administration
  - ETL generation
  - Security and Authentication
  - Metadata

7. Is it typical that current business processes, especially those related to our source systems, might change in order to deliver the solution? If so, how does your project/solution handle these challenges?
8. Organization and personnel requirements - The selected organization must have at least three (3) years of experience supplying enterprise data warehousing, master data management solutions, or related work. Applicants should include any credentials from any certifying organization that attest to the capabilities of the organization or of any of the individuals employed by the organization that will be working on the proposed project.

## **SOLUTION DETAIL**

1. Describe the proposed method for data extraction, load and transformation requirements based on the required sources.
2. Data is often versioned. Describe how data versioning is accomplished in the proposed solution.
3. Indicate contracted uptime SLA. Describe the procedure for non-compliance and applicable credit for loss. Describe typical processes regarding routine maintenance schedule as a part of the SLA.
4. Describe response and escalation procedures for any outages to the proposed solution as a part of the SLA, including full-service outages and/or security breaches.
5. Describe available out-of-box analytics available in the solution and their applicability to higher education.

**ATTACHMENT A**  
**Harper College**  
**System Requirements and Availability Checklist**

NOTE: All questions which require a yes or no response in the “Available” column must have the applicable code in the “Approach” column. The Approach codes are:

- B** Required functionality is met by the base package software
- M** Required functionality is met by modifying the base package software
- C** Required functionality is met through custom development
- T** Required functionality cannot be met by the Vendor’s application, but a third-party vendor has provided the requirement in the past. Identify the third-party vendor in the Comments column.

If your response requires clarification provide details in the “Comments” column. Use additional sheets if needed. Compliance to this section represents a significant portion of the evaluation.

#	Required Functionality	Available (Yes/No)	Approach (B/M/C/T)	Comments (Attach sheet if needed)
<b>Documentation</b>				
A-1	Have you undergone a SSAE 18 / SOC 2 audit?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-2	Have you completed the Cloud Security Alliance (CSA) CAIQ?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-3	Have you received the Cloud Security Alliance STAR certification?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-4	Do you conform with a specific industry standard security framework? (e.g. NIST Cybersecurity Framework, CIS Controls, ISO 27001, etc.)	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-5	Can the systems that hold Harper's data be compliant with NIST SP 800-171 and/or CMMC Level 3 standards?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-6	Can you provide overall system and/or application architecture diagrams including a full description of the data flow for all components of the system?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-7	Do you have a formal data privacy policy? If yes, please provide a brief summary and attach a copy of the policy.	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-8	Do you have a documented, and currently implemented, employee onboarding and offboarding policy?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-9	Do you have a well-documented Business Continuity Plan (BCP) that is tested annually?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-10	Do you have a well-documented Disaster Recovery Plan (DRP) that is tested annually?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-11	Do you have a documented change management process?	Y <input type="checkbox"/> N <input type="checkbox"/>		

#	Required Functionality	Available (Yes/No)	Approach (B/M/C/T)	Comments (Attach sheet if needed)
<b>Authentication, Authorization, and Accounting</b>				
A-12	Does your solution support single sign-on (SSO) protocols for user and administrator authentication? Please list the SSO standards you support.	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-13	Does your application support integration with other authentication and authorization systems?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-14	Does your solution support any of the following Web SSO standards? [e.g., SAML2 (with redirect flow), OIDC, CAS, or other]	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-15	Are audit logs available to the institution that include AT LEAST all of the following; login, logout, actions performed, timestamp, and source IP address?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-16	Does your application automatically lock the session or log-out an account after a period of inactivity?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-17	Describe or provide a reference to the a) system capability to log security/authorization changes as well as user and administrator security events (i.e. physical or electronic)(e.g. login failures, access denied, changes accepted), and b) all requirements necessary to implement logging and monitoring on the system. Include c) information about SIEM/log collector usage.			
A-18	Describe or provide a reference to the retention period for those logs, how logs are protected, and whether they are accessible to the customer (and if so, how).			
<b>Systems Management</b>				
A-19	Do you have a systems management and configuration strategy that encompasses servers, appliances, cloud services, applications, and mobile devices (company and employee owned)?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-20	Will Harper be notified of major changes to your environment that could impact the institution's security posture or system performance?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-21	Are your systems and applications scanned for vulnerabilities [that are then remediated] prior to new releases?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-22	Have your systems and applications had a third-party security assessment completed in the last year?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-23	Do you have policies and procedure, currently implemented, guiding how security risks are mitigated until patches can be applied?	Y <input type="checkbox"/> N <input type="checkbox"/>		

#	Required Functionality	Available (Yes/No)	Approach (B/M/C/T)	Comments (Attach sheet if needed)
<b>Data Center</b>				
A-24	Does your company manage the physical data center where Harper's data will reside?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-25	Can we dictate where the data is stored?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-26	Does the hosting provider have a SOC 2 Type 2 report available?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-28	Do you have physical access control and video surveillance to prevent/detect unauthorized access to your data center?	Y <input type="checkbox"/> N <input type="checkbox"/>		
<b>Incident Response</b>				
A-29	Do you have an incident response process and reporting in place to investigate any potential incidents and report actual incidents? Do you have a regularly updated formal incident response plan?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-30	Do you carry cyber-risk insurance to protect against unforeseen service outages, data that is lost or stolen, and security incidents?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-31	Do you have either an internal incident response team or retain an external team?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-32	Do you have the capability to respond to incidents on a 24x7x365 basis?	Y <input type="checkbox"/> N <input type="checkbox"/>		
<b>Policies, Procedures, and Processes</b>				
A-33	Can you share the organization chart, mission statement, and policies for your information security unit?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-34	Do you have a documented patch management process?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-35	Can you accommodate encryption requirements using open standards?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-36	Are information security principles designed into the product lifecycle?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-37	Do you have a documented systems development life cycle (SDLC)?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-38	Will you comply with applicable breach notification laws?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-39	Will you comply with the Harper's IT policies with regards to user privacy and data protection?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-40	Is your company subject to Illinois and the United States laws and regulations?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-41	Do you perform background screenings or multi-state background checks on all employees prior to their first day of work?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-42	Do you require new employees to fill out agreements and review policies?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-43	Do you have a documented information security policy?	Y <input type="checkbox"/> N <input type="checkbox"/>		

#	Required Functionality	Available (Yes/No)	Approach (B/M/C/T)	Comments (Attach sheet if needed)
A-44	Do you have an information security awareness program?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-45	Is security awareness training mandatory for all employees?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-46	Do you have process and procedure(s) documented, and currently followed, that require a review and update of the access-list(s) for privileged accounts?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-47	Do you have documented, and currently implemented, internal audit processes and procedures?	Y <input type="checkbox"/> N <input type="checkbox"/>		
A-48	Does your organization have physical security controls and policies in place?	Y <input type="checkbox"/> N <input type="checkbox"/>		

Additional functionality that the vendor's software provides that may be useful to Harper should be listed on separate sheet.

**Attachment B  
Harper College  
Technical and Security Systems Evaluation Questions**

Please respond to the applicable questions and provide narrative in the response section as applicable.:

#	Technical Functionality	Available (Yes/No)	Response
<b>Company Overview</b>			
B-1	Have you had an unplanned disruption to this product/service in the last 12 months?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-2	Do you have a dedicated Information Security staff or office?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-4	Do you have a dedicated Software and System Development team(s)? (e.g. Customer Support, Implementation, Product Management, etc.)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-5	Does your product process protected health information (PHI)?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-6	Does your product process any data covered by the Health Insurance Portability and Accountability Act (HIPAA)?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-7	Will data regulated by PCI DSS reside in the vended product?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-8	Will Harper data be shared with or hosted by any third parties? (e.g. any entity not wholly owned by your company is considered a third-party)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-9	Use this area to share information about your environment that will assist those who are assessing your company data security program.		
<b>Application / Service Security</b>			
B-10	Are access controls for Harper accounts based on structured rules, such as role-based access control (RBAC), attribute-based access control (ABAC) or policy-based access control (PBAC)?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-11	Are access controls for staff within your organization based on structured rules, such as RBAC, ABAC, or PBAC?	Y <input type="checkbox"/> N <input type="checkbox"/>	

#	Technical Functionality	Available (Yes/No)	Response
B-12	Do you have a documented and currently implemented strategy for securing employee workstations when they work remotely? (i.e. not in a trusted computing environment)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-13	Are you using a web application firewall (WAF)?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-14	Do you have a process and implemented procedures for managing your software supply chain (e.g. libraries, repositories, frameworks, etc.)	Y <input type="checkbox"/> N <input type="checkbox"/>	
<b>Data</b>			
B-15	Does the environment provide for dedicated single-tenant capabilities? If not, describe how your product or environment separates data from different customers (e.g., logically, physically, single tenancy, multi-tenancy).	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-16	Will Harper's data be stored on any devices (database servers, file servers, SAN, NAS, ...) configured with non-RFC 1918/4193 (i.e. publicly routable) IP addresses?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-17	Is sensitive data encrypted, using secure protocols/algorithms, in transit?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-18	Is sensitive data encrypted, using secure protocols/algorithms, in storage? (e.g. disk encryption, at-rest, files, and within a running database)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-19	At the completion of this contract, how do we retrieve Harper's data, and ensure its deletion from all your systems and archives?		
B-20	Will Harper's data be available within the system for a period of time at the completion of this contract?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-21	Can Harper extract a full or partial backup of data?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-22	Are ownership rights to all data, inputs, outputs, and metadata retained by the College?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-23	Are these rights retained even through a provider acquisition or bankruptcy event?	Y <input type="checkbox"/> N <input type="checkbox"/>	



#	Technical Functionality	Available (Yes/No)	Response
B-24	In the event of imminent bankruptcy, closing of business, or retirement of service, will you provide 90 days for Harper to get its data out of the system and migrate applications?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-25	Are involatile backup copies made according to pre-defined schedules and securely stored and protected?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-26	Do current backups include all operating system software, utilities, security software, application software, configuration, and data files necessary for recovery?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-27	Are you performing off site, encrypted backups? (i.e. digitally moved off site)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-28	Are physical backups taken off site and are they encrypted? (i.e. physically moved off site)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-29	Do backups containing the institution's data ever leave the Harper's Data Zone either physically or via network routing?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-30	Do you have a cryptographic key management process (generation, exchange, storage, safeguards, use, vetting, and replacement), that is documented and currently implemented, for all system components? (e.g. database, system, web, etc.)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-31	Do you have a media handling process, that is documented and currently implemented that meets established business needs and regulatory requirements, including end-of-life, repurposing, and data sanitization procedures?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-32	Is media used for long-term retention of business data and archival purposes stored in a secure, environmentally protected area?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-33	Do you have a formal policy for FERPA compliance? Please describe how you handle data in a FERPA compliant manner.	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-34	Does your staff (or third party) have access to Harper's data (e.g., financial, PHI or other sensitive information) through any means?	Y <input type="checkbox"/> N <input type="checkbox"/>	

#	Technical Functionality	Available (Yes/No)	Response
B-35	Do you have a documented and currently implemented strategy for securing employee workstations when they work remotely? (i.e. not in a trusted computing environment)	Y <input type="checkbox"/> N <input type="checkbox"/>	
<b>Vulnerability Scanning</b>			
B-36	Are your systems and applications regularly scanned externally for vulnerabilities? If yes, was the last external assessment completed within the past year and will you be able to share the results with Harper College?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-37	Do you monitor for and protect against common web application security vulnerabilities (e.g. SQL injection, XSS, XSRF, etc.)? Please describe.	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-38	Will you allow Harper to perform its own vulnerability testing and/or scanning of your systems and/or application provided that testing is performed at a mutually agreed upon time and date?	Y <input type="checkbox"/> N <input type="checkbox"/>	
<b>Third Party Assessment</b>			
B-39	Do you have an implemented third-party management strategy?	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-40	Will Harper data be shared with or hosted by any third parties? (e.g. any entity not wholly owned by your company is considered a third-party)	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-41	Provide a brief description for why each of these third parties will have access to institution data.		
B-42	Do you perform security assessments of third-party companies with which you share data? (i.e. hosting providers, cloud services, PaaS, IaaS, SaaS, etc.).	Y <input type="checkbox"/> N <input type="checkbox"/>	
B-43	What legal agreements (i.e. contracts) do you have in place with these third parties that address liability in the event of a data breach?		
B-44	Do you have a process and implemented procedures for managing your hardware supply chain? (e.g., telecommunications equipment, export licensing, computing devices)	Y <input type="checkbox"/> N <input type="checkbox"/>	

## Appendix

### Data Sources

The following table list the required applications, services, and general sources that must be ingested into the proposed solution.

Service/Application	Description	Delivery
Ellucian Banner	Primary student system for credit students.	IaaS
Oracle Fusion (HCM)	Human resources and finance.	SaaS
Oracle EBS	Legacy HR and Finance system.	IaaS
Degree Works	Student evaluations and audits to support educational planning.	IaaS
Destiny	Secondary student system. Supports Community Education (non-credit).	SaaS
Starfish	Student communication and cohort management software.	SaaS
SARS	Check-in and appointment scheduling software.	On-premises
CollegeNet 25 Live	Event management and scheduling.	SaaS
Salesforce	CRM; Admissions.	SaaS
Blackboard	LMS	SaaS
Ellucian Operational Data Store (ODS)	Contains operational data derived from Banner and Degree Works. Also contains frozen data.	IaaS
Academic Works	Students apply for scholarships	SaaS
Oracle Database	Database platform	n/a
SQL Server	Database platform	n/a
REST Data Services	n/a	n/a
Microsoft Excel	n/a	n/a
Delimited Files	csv, tab, etc.	n/a

### Recent Higher Education Market References

Per C.03.1 of General Information, list below current business references for whom you have performed work similar to that required by this proposal

Provide at least five (5) references, of which a minimum of three (3) should be in higher education (preferably community colleges) for projects similar in size and scope to the project being proposed demonstrating successful delivery of a similar or related solution to the proposal.

Provide a list of all higher education clients upon request by the College.

#### Organization #1:

Organization Name:	
Contact Name:	
Title:	
Contact Address:	
Phone:	
Years as Customer/Client:	
Estimated Contract Value	
DBMS Environment:	
CPU Type and Application Software:	
Is Software implemented and in production:	
Project Status	

#### Organization #2:

Organization Name:	
Contact Name:	
Title:	
Contact Address:	
Phone:	
Years as Customer/Client:	
Estimated Contract Value	
DBMS Environment:	
CPU Type and Application Software:	
Is Software implemented and in production:	
Project Status	

**Organization #3:**

Organization Name:	
Contact Name:	
Title:	
Contact Address:	
Phone:	
Years as Customer/Client:	
Estimated Contract Value	
DBMS Environment:	
CPU Type and Application Software:	
Is Software implemented and in production:	
Project Status	

**Organization #4:**

Organization Name:	
Contact Name:	
Title:	
Contact Address:	
Phone:	
Years as Customer/Client:	
Estimated Contract Value	
DBMS Environment:	
CPU Type and Application Software:	
Is Software implemented and in production:	
Project Status	

**Organization #5:**

Organization Name:	
Contact Name:	
Title:	
Contact Address:	
Phone:	
Years as Customer/Client:	
Estimated Contract Value	
DBMS Environment:	
CPU Type and Application Software:	
Is Software implemented and in production:	
Project Status	

**FORM OF PROPOSAL**

**RETURN THIS PAGE ALONG WITH YOUR PROPOSAL**

TO: Harper College  
1200 W. Algonquin Road  
Palatine, IL 60067  
Attn: Purchasing Department

FROM:

Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Facsimile Number: \_\_\_\_\_

**AUTHORIZED NEGOTIATORS:**

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_

RECEIPT OF ADDENDA: The receipt of the following addenda is hereby acknowledged:

Addendum No. \_\_\_\_\_, Dated \_\_\_\_\_

Addendum No. \_\_\_\_\_, Dated \_\_\_\_\_

**BUSINESS ORGANIZATION:**

\_\_\_\_\_ Sole Proprietor: An individual whose signature is affixed.

\_\_\_\_\_ Partnership: State full names, titles and addresses of all responsible principals and/or partners on attached sheet.

\_\_\_\_\_ Corporation: State of Incorporation: \_\_\_\_\_

Please provide your Federal Employer Identification Number (F.E.I.N.):  
\_\_\_\_\_

Seal (affix seal below if applicable)

(List Name of Officers)

President \_\_\_\_\_

Vice-President \_\_\_\_\_

Secretary \_\_\_\_\_

Treasurer \_\_\_\_\_

Attest:

\_\_\_\_\_  
Signature of Secretary

**Q01040 Video Creation and Management Platform  
Pricing Proposal**

**RETURN THIS PAGE IN A SEPARATE ENVELOPE MARKED "PRICING"  
(If submitting electronically, submit as a separate file.)**

TO: Harper College  
1200 W. Algonquin Road  
Palatine, IL 60067  
Attn: Purchasing Department

FROM: Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip Code: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Telephone Number: \_\_\_\_\_

Facsimile Number: \_\_\_\_\_

In compliance with this Request for Proposal, and subject to all conditions thereof, the undersigned offers and agrees, if this proposal is accepted, to furnish the services as outlined herein, to provide the services as enumerated within the "Specification" or "Statement of Work" herein for the Harper College in the amount indicated, subject to modification through negotiations which may be conducted pursuant to conditions set forth in the Request for Proposals.

The undersigned hereby certifies that they have read and understand the contents of this solicitation and agree to furnish at the prices shown any or all of the items above, subject to all instructions, conditions, specifications and attachments hereto. Failure to have read all the provisions of this solicitation shall not be cause to alter any resulting contract or to accept any request for additional compensation. By signing this proposal document, the proposer hereby certifies that they are not barred from bidding on this contract as a result of a violation of either Section 33E-3 or 33E-4 of the Illinois Criminal Code of 1961 and of 2012, as amended.

It is the intent of William Rainey Harper College to pay for services as they are delivered. Services shall be considered delivered when they are deemed fully functional by Harper College. All pricing should reflect recommended services for this project, with William Rainey Harper College paying for services as milestones are completed, delivered, and signed off on by Harper College.

Please declare all assumptions that were made with respect to proposed pricing including information the basis for calculating prices such as FTE enrollment, employee count, seat license restrictions, concurrent vs. name users, Operating Budget, remote vs. locally hosted application.

On a separate page provide a narrative that summarizes the following:

- **Total Project Cost Summary.**

Total cost of ownership over a five year period. The summary page must show both one-time and recurring support costs.

- Clearly identify all optional pricing, such as pricing related to a specific enhancement or modification identified in the functional requirements matrix.
- Identify the proposed payment milestones.
- Provide a pricing provision for additional work that may be required throughout the duration of the project. Identify the various job levels that may work on this project and the corresponding hourly rates
- Disclose any assumptions that were made in .

Proposed Pricing for Software, Training, and Implementation Services								
	Estimated Number of Hours	Hourly Rate	Subtotal (Hours Multiplied by Rate)	Total Cost Year 1	Total Cost Year 2	Total Cost Year 3	Total Cost Year 4	Total Cost Year 5
Project Management		\$	\$	\$	\$	\$	\$	\$
Software				\$	\$	\$	\$	\$
Integration / Interface								
List all that apply:								
Other _____								
Other _____								
Other _____		\$	\$	\$	\$	\$	\$	\$
Other _____								
Other _____								



**Proposed Pricing for Software, Training, and Implementation Services**

	Estimated Number of Hours	Hourly Rate	Subtotal (Hours Multiplied by Rate)	Total Cost Year 1	Total Cost Year 2	Total Cost Year 3	Total Cost Year 4	Total Cost Year 5
Ancillary Equipment/Services Servers Other _____ Other _____		\$	\$	\$	\$	\$	\$	\$
Implementation		\$	\$	\$				
Training		\$	\$	\$				
Annual Maintenance			\$	\$	\$	\$	\$	\$
Annual Licensing			\$	\$	\$	\$	\$	\$
Total Estimated Hours to Complete Project								
Total Not to Exceed Cost to Complete the Project						\$		

In submitting these Proposals, it is understood that Harper College reserves the right to reject any or all Proposals, to accept an alternate Proposals, and to waive any informalities in any Proposals.

**AUTHORIZED NEGOTIATORS:**

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_

Name: \_\_\_\_\_ Phone #: \_\_\_\_\_

In addition to this document, Respondents shall furnish, with the Proposals, all submittals as required herein.

**BUSINESS ORGANIZATION:**

\_\_\_\_\_ Sole Proprietor: An individual whose signature is affixed.

\_\_\_\_\_ Partnership: State full names, titles and addresses of all responsible principals and/or partners on attached sheet.

\_\_\_\_\_ Corporation: State of Incorporation: \_\_\_\_\_

Please provide your Federal Employer Identification Number (F.E.I.N.): \_\_\_\_\_

Seal (affix seal below if applicable)

(List Name of Officers)

President	_____
Vice-President	_____
Secretary	_____
Treasurer	_____

Attest:

\_\_\_\_\_  
Signature of Secretary

Respondent's Name: \_\_\_\_\_

Signature: \_\_\_\_\_

## CERTIFICATION OF COMPLIANCE

THE UNDERSIGNED HEREBY CERTIFIES AS FOLLOWS:

1. That the undersigned has authority to make this certification on behalf of the bidder.

---

Name of Company

2. That the undersigned has read the contents, in regard to disqualification of certain bidders, which are contained on the following pages of the bid documents.
3. That the undersigned knows of his own knowledge that the bidder is not disqualified from bidding under the aforesaid sections.

---

Authorized Signature

---

Type or Print Name

---

Title

SEAL

**Instructions: This is to be completely filled out and executed by the chief officer or the bidder authorized to submit the**

*certification.*

## DISQUALIFICATION OF CERTAIN BIDDERS

### PERSONS AND ENTITIES SUBJECT TO DISQUALIFICATION

No person or business entity shall be awarded a contract or subcontract, for a stated period of time, from the date of conviction or entry of a plea or admission of guilt, if the person or business entity,

- (A) has been convicted of an act committed, within the State of Illinois or any state within the United States, of bribery or attempting to bribe an officer or employee in the State of Illinois, or any state in the United States in that officer's or employee's official capacity;
- (B) has been convicted of an act committed, within the State of Illinois or any state within the United States, of bid rigging or attempting to rig bids as defined in the Sherman Antitrust Act and Clayton Act 15 U.S.C.;
- (C) has been convicted of bid rigging or attempting to rig bids under the laws of the State of Illinois, or any state in the United States;
- (D) has been convicted of an act committed, within the State of Illinois or any state in the United States, of price-fixing or attempting to fix prices as defined by the Sherman Antitrust Act and Clayton Act 15 U.S.C. Sec. 1 et sig.;
- (E) has been convicted of price-fixing or attempting to fix prices under the laws of the State of Illinois, or any state in the United States;
- (F) has been convicted of defrauding or attempting to defraud any unit of state or local government or school district within the State of Illinois or in any state in the United States;
- (G) has made an admission of guilt of such conduct as set forth in subsection (A) through (F) above which admission is a matter of record, whether or not such person or business entity was subject to prosecution for the offense or offenses admitted to;
- (H) has entered a plea of nolo contendere to charges of bribery, price fixing, bid rigging, bid rotating, or fraud; as set forth in subparagraphs (A) through (F) above.

Business entity, as used herein, means a corporation, partnership, trust, association, unincorporated business or individually owned business.



**HARPER COLLEGE, ILLINOIS**  
**ANTI-COLLUSION AFFIDAVIT AND CONSULTANT'S CERTIFICATION**

\_\_\_\_\_, being first duly sworn, deposes and says:  
that        he/she        is        \_\_\_\_\_ of  
\_\_\_\_\_.  
(Partner, Officer, Owner, Etc.)

The party making the foregoing proposal or bid, that such bid is genuine and not collusive, or sham; that said bidder has not colluded, conspired, connived or agreed, directly or indirectly, with any bidder or person, to put in a sham bid or to refrain from bidding, and has not in any manner, directly or indirectly, sought by agreement or collusion, or communication or conference with any person; to fix the bid price element of said bid, or of that of any other bidder, or to secure any advantage against any other bidder or any person interested in the proposed contract.

The undersigned certifies that he/she is not barred from bidding on this contract as a result of a conviction for the violation of State laws prohibiting bid-rigging or bid-rotating.

\_\_\_\_\_  
(Name of Proposer if the Proposer is an Individual)  
(Name of Partner if the Proposer is a Partnership)  
(Name of Officer if the Proposer is a Corporation)

The above statements must be subscribed a sworn to before a notary public.

Subscribed and Sworn to this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_

SEAL

***Failure to complete and return this form may be considered sufficient reason for rejection of the proposal.***

## CONFLICT OF INTEREST FORM

\_\_\_\_\_, hereby certifies that it has conducted an investigation into whether an actual or potential conflict of interest exists between the proposer, its owners and employees, and any official or employee of William Rainey Harper College.

Proposer further certifies that it has disclosed any such actual or potential conflict of interest and acknowledges if Proposer has not disclosed any actual or potential conflict of interest, William Rainey Harper College may disqualify the proposal.

\_\_\_\_\_  
(Name of Proposer if the Proposer is an Individual)  
(Name of Partner if the Proposer is a Partnership)  
(Name of Officer if the Proposer is a Corporation)

The above statements must be subscribed and sworn to before a notary public. Subscribed and Sworn to this \_\_\_\_ day of \_\_\_\_\_, 20\_\_

Notary Public

***Failure to complete and return this form may be considered sufficient reason for rejection of the proposal.***

**STATE OF ILLINOIS  
BUSINESS ENTERPRISE PROGRAM  
MINORITIES, FEMALES, PERSONS WITH DISABILITY  
PARTICIPATION AND UTILIZATION PLAN**

The Business Enterprise for Minorities, Females and Persons with Disabilities Act (BEP) establishes a goal for community colleges contracting with businesses that have been certified as owned and controlled by persons who are minorities (MBE), female (FBE/ also referred to as WBE), or persons with disabilities (DBE) (collectively, BEP certified vendor(s)). 30 ILCS 575

**Contract Goal to be Achieved by Vendor:** This solicitation includes a specific **BEP** participation goal of 30% of the total dollar amount awarded to MBEs and FBEs, with at least 50% of the total dollar amount awarded to FBEs.

**The BEP participation goal is applicable to all bids or offers.** In addition to the award criteria established for this solicitation, the College will award this Contract to a Vendor that meets the goal or demonstrates good faith efforts to meet the goal. This goal is applicable to change orders and allowances within the scope of work provided by the BEP certified vendors. If Vendor is an MBE and FBE certified vendor, the entire goal is met and no subcontracting with a BEP certified vendors is required; however, **Vendor must submit a Utilization Plan indicating that the goal will be met by self-performance.**

Following are guidelines for Vendor's completion of the Utilization Plan. The Utilization Plan must demonstrate that Vendor has either: (1) met the entire contract goal; or (2) made good faith efforts towards meeting the goal.

At the time of bid or offer, Vendor, or Vendor's proposed Subcontractor, must be certified with the Illinois Department of Central Management Services as a BEP certified vendor.

Failure to complete a Utilization Plan or provide Good Faith Effort documentation shall render the bid or offer non-responsive; and subject to rejection and/or disqualification in the College's sole discretion.

1. If applicable where there is more than one prime vendor, the Utilization Plan should include an executed Joint Venture Agreement specifying the terms and conditions of the relationship between the parties and their relationship and responsibilities to the contract. The Joint Venture Agreement must clearly evidence that the BEP certified vendor will be responsible for a clearly defined portion of the work and that its responsibilities, risks, profits and contributions of capital, and personnel are proportionate to its ownership percentage. It must include specific details related to the parties' contributions of capital, personnel, and equipment and share of the costs of insurance and other items; the scopes to be performed by the BEP certified vendor under its supervision; and the commitment of management, supervisory personnel, and operative personnel employed by the BEP certified vendor to be dedicated to the performance of the contract. Established Joint Venture Agreements will only be credited toward BEP goal achievements for specific work performed by the BEP certified vendor. **Each party to the Joint Venture Agreement must execute the bid or offer prior to submission of the bid or offer to the College.**
2. An agreement between a vendor and a BEP certified vendor in which a BEP certified vendor promises not to provide subcontracting or pricing quotations to other vendors is prohibited. The College may request additional information to demonstrate compliance. Vendor agrees to cooperate promptly with the College in submitting to interviews, allowing entry to places of business, providing further documentation, and to soliciting the cooperation of a proposed BEP certified vendor. Failure to cooperate by Vendor and BEP certified vendor may render the bidder or offeror non-responsive or not responsible. **The contract not be awarded to Vendor unless Vendor's Utilization Plan is approved by the College.**



3. **BEP Certified Vendor Locator References:** Vendor may consult CMS' BEP Vendor Directory at [www.sell2.illinois.gov/cms/business](http://www.sell2.illinois.gov/cms/business), as well as the directories of other certifying agencies, but firms **must be certified with CMS as BEP certified vendors at the time of bid or offer.**
4. **Vendor Assurance:** Vendor shall not discriminate on the basis of race, color, national origin, sexual orientation or sex in the performance of this contract. Failure by Vendor to carry out these requirements is a material breach of this contract, which may result in the termination of this Project or such other remedy, as the College deems appropriate. This assurance must be included in each subcontract that Vendor signs with a subcontractor or supplier.
5. **Calculating BEP Certified Vendor Participation:** The Utilization Plan documents work anticipated to be performed, or goods/equipment provided by all BEP certified vendors and paid for upon satisfactory completion/delivery. Only the value of payments made for the work actually performed by BEP certified vendors, by subcontractors or suppliers to such vendors, is counted toward the contract goal. Applicable guidelines for counting payments attributable to contract goals are summarized below:
  - 5.1 The value of the work actually performed, or goods/equipment provided by the BEP certified vendor shall be counted towards the goal. The entire amount of that portion of the contract that is performed by the BEP certified vendor, including supplies purchased or equipment leased by the BEP certified vendor shall be counted, except supplies purchased and equipment rented from the Prime Vendor submitting this bid or offer.
  - 5.2 A vendor shall count the portion of the total dollar value of the BEP contract equal to the distinct, clearly defined portion of the work of the contract that the BEP certified vendor performs toward the goal. A vendor shall also count the dollar value of work subcontracted to other BEP certified vendor. Work performed by the non- BEP certified party shall not be counted toward the goal. **Work that a BEP certified vendor subcontracts to a non-BEP certified vendor will not count towards the goal.**
  - 5.3 A Vendor shall count toward the goal 100% of its expenditures for materials and supplies required under the contract and obtained from a BEP certified vendor manufacturer, BEP certified regular dealer, or BEP certified supplier. A Vendor shall count toward the goal the following expenditures to BEP certified vendors that are not manufacturers, regular dealers, or suppliers:
    - 5.3.1 The fees or commissions charged for providing a bona fide service, such as professional, technical, consultant or managerial services and assistance in the procurement of essential personnel, facilities, equipment, materials or supplies required for performance of the contract, provided that the fee or commission is determined by College to be reasonable and not excessive as compared with fees customarily allowed for similar services.
    - 5.3.2 The fees charged for delivery of materials and supplies required by the Project (but not the cost of the materials and supplies themselves) when the hauler, trucker, or delivery service is not also the manufacturer or a supplier of the materials and supplies being procured, provided that the fee is determined by the College to be reasonable and not excessive as compared with fees customarily allowed for similar services. The BEP certified vendor's trucking firm must be responsible for the management and supervision of the entire trucking operation for which it is responsible on the contract and must itself own and operate at least one fully

licensed, insured and operational truck used on the contract.

**5.3.3** The fees or commissions charged for providing any bonds or insurance specifically required for the performance of the contract, provided that the fee or commission is determined by the College to be reasonable and not excessive as compared with fees customarily allowed for similar services.

**5.4** BEP certified vendors who are performing on contract as second tier subcontractors may be counted in meeting the established BEP goal for this contract as long as the Prime Vendor can provide documentation indicating the utilization of these vendors.

**5.5** A Vendor shall count towards the goal only expenditures to firms that perform a commercially useful function in the work of the contract.

**5.5.1** A firm is considered to perform a commercially useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. The BEP certified vendor must also be responsible, with respect to materials or supplies used on the contract, for negotiating price, determining quality and quantity, ordering the materials or supplies, and installing the materials (where applicable) and paying for the material or supplies. To determine whether a firm is performing a commercially useful function, the College shall evaluate the amount of work subcontracted, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing, and the credit claimed for its performance of the work, industry practices, and other relevant factors.

**5.5.2** A BEP certified vendor does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction or contract through which funds are passed through in order to obtain BEP certified vendor participation. In determining whether a BEP certified vendor is such an extra participant, the College shall examine similar transactions, particularly those in which BEP certified vendors do not participate, and industry practices.

**5.6** A Vendor shall not count towards the goal expenditures that are not direct, necessary and related to the work of the contract. Only the amount of services or goods that are directly attributable to the performance of the contract shall be counted. Ineligible expenditures include general office overhead or other Vendor support activities.

**6. Good Faith Effort Procedures:** Vendor must submit Utilization Plans, subcontract documents, and/or Letters of Intent that meet or exceed the published goal. If Vendor cannot meet the stated goal, Vendor must document and explain within the Utilization Plan the good faith efforts it undertook to meet the goal. Utilization Plans are due at the time of and must be enclosed and sealed with the bid or offer submission. Copies of subcontract documents and/or Letters of Intent shall be due upon request.

**7. Contract Compliance:** Compliance with this section is an essential part of the contract. The following administrative procedures and remedies govern Vendor's compliance with the contractual obligations established by the Utilization Plan. **After approval of the Plan and award of the contract, the Utilization Plan becomes part of the contract.** If Vendor did not succeed in obtaining BEP certified vendor participation to achieve the goal and the Utilization Plan was approved and contract awarded based upon a determination of good faith, the total dollar value of BEP certified vendor work calculated

in the approved Utilization Plan as a percentage of the awarded contract value shall become the contract goal.

- 7.1. The Utilization Plan may not be amended after contract execution without the College's prior written approval.
- 7.2. **Vendor may not make changes to its contractual BEP certified vendor commitments or substitute BEP certified vendors without the prior written approval of the College.** Unauthorized changes or substitutions, including performing the work designated for a BEP certified vendor with Vendor's own forces, shall be a violation of the utilization plan and a breach of the contract, and shall be cause to terminate the contract, and/or seek other contract remedies or sanctions.
- 7.3. If it becomes necessary to substitute a BEP certified vendor or otherwise change the Utilization Plan, Vendor must notify the College in writing of the request to substitute a BEP certified vendor or otherwise change the Utilization Plan. The request must state specific reasons for the substitution or change. The College shall notify the Council or its delegate of the request to substitute a BEP certified vendor or change the Utilization Plan. The College reserves the right to approve or deny a request for substitution or other change in the Utilization Plan.
- 7.4. Where Vendor has established the basis for the substitution to the College's satisfaction, it must make good faith efforts to meet the contract goal by substituting a BEP certified vendor. Documentation of a replacement BEP certified vendor, or of good faith efforts to replace the BEP certified vendor, must meet the requirements of the initial Utilization Plan. If the goal cannot be reached and good faith efforts have been made, Vendor may substitute with a non- BEP certified vendor or Vendor may perform the work.
- 7.5. If a Vendor plans to hire a subcontractor for any scope of work that was not previously disclosed in the Utilization Plan, Vendor must obtain the approval of the College to modify the Utilization Plan and must make good faith efforts to ensure that BEP certified vendors have a fair opportunity to submit a bid or offer on the new scope of work.
- 7.6. A new BEP certified vendor agreement must be executed and submitted to the College within five business days of Vendor's receipt of the College's approval for the substitution or other change.
- 7.7. Vendor shall maintain a record of all relevant data with respect to the utilization of BEP certified vendors, including but without limitation, payroll records, invoices, canceled checks and books of account for a period of at least three years after the completion of the contract. Full access to these records shall be granted by Vendor upon 48 hours written demand by the College to any duly authorized representative thereof, or to any municipal, state or federal authorities. The College shall have the right to obtain from Vendor any additional data reasonably related or necessary to verify any representations by Vendor. After the performance of the final item of work or delivery of material by the BEP certified vendor and final payment to the BEP certified vendor by Vendor, but not later than 30 calendar days after such payment, Vendor shall submit a statement confirming the final payment and the total payments made to the BEP certified vendor under the contract.

- 7.8.** The College will periodically review Vendor's compliance with these provisions and the terms of its contract. Without limitation, Vendor's failure to comply with these provisions or its contractual commitments as contained in the Utilization Plan, failure to cooperate in providing information regarding its compliance with these provisions or its Utilization Plan, or provision of false or misleading information or statements concerning compliance, certification status or eligibility of the BEP certified vendor, good faith efforts or any other material fact or representation shall constitute a material breach of this contract and entitle the College to declare a default, terminate the contract, or exercise those remedies provided for in the Project or at law or in equity.
- 7.9.** The College reserves the right to withhold payment to Vendor to enforce these provisions and Vendor's contractual commitments. Final payment shall not be made pursuant to the contract until Vendor submits sufficient documentation demonstrating compliance with its Utilization Plan.

**UTILIZATION PLAN**

**The Utilization Plan and Letter of Intent must be sealed and submitted with bid.**

(Vendor) submits the following Utilization Plan as part of our bid or offer in accordance with the requirements of the BEP Program Status and Participation section of the solicitation for \_\_\_\_\_, Illinois

Reference Number Q\_\_\_\_\_. We understand that all subcontractors must be certified with the CMS BEP Program at the time of submission of all bids and offers. **We understand that compliance with this section is an essential part of this contract and that the Utilization Plan will become a part of the contract, if awarded.**

Vendor submits the following statement:

- Vendor is a BEP certified firm and plans to fully meet the goal through self-performance.
- Vendor has identified BEP certified subcontractor(s) to fully meet the established goal and submits the attached executed Letter(s) of Intent; or
- Vendor has made good faith efforts towards meeting the entire goal as indicated on the attached Utilization Plan, or a portion of the goal, and hereby requests a waiver (complete checklist below).

Vendor's person responsible for compliance with this BEP goal:

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

Signature of authorized representative of bidder:

Name: \_\_\_\_\_ Title: \_\_\_\_\_

Signature: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

Notary: \_\_\_\_\_

## DEMONSTRATION OF GOOD FAITH EFFORTS TO ACHIEVE GOAL AND REQUEST FOR WAIVER

If the BEP participation goal was not achieved, the vendor must provide documented evidence of good faith efforts to achieve the goal.

Below is a checklist of actions that will be used to evaluate a Vendor's Demonstration of Good Faith Efforts and Request for Waiver. **Please check the actions which you completed.** If any other efforts were made to obtain BEP participation in addition to the items listed below, attach a detailed description of such efforts. The College reserves the right to review and audit the results of the vendor's efforts as described below.

- Utilize the Sell2Illinois website: [www2.illinois.gov/cms/business](http://www2.illinois.gov/cms/business) to identify BEP certified vendors within the respective commodity/service codes denoted above and at a minimum email all listed vendors and solicit quotes from all vendors who express an interest via follow-up emails or telephone calls.
- Solicit through all reasonable and available means (e.g., attendance at a vendor conference, advertising and/or written notices) the interest of BEP certified vendors that have the capability to perform the work of the contract. Vendor must solicit this interest within sufficient time to allow the BEP certified vendors to respond to the solicitation. Vendor must determine with certainty if the BEP certified vendors are interested by taking appropriate steps to follow up initial solicitations and encourage them to submit a bid or proposal. Vendor must provide interested BEP certified vendors with adequate information about the plans, specifications, and requirements of the contract in a timely manner to assist them in responding promptly to the solicitation.
- Select portions of the work to be performed by BEP certified vendors in order to increase the likelihood that the goal will be achieved. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate BEP certified vendor participation, even when Vendor might otherwise prefer to perform these work items with its own forces.
- Make a portion of the work available to BEP certified vendors and selecting those portions of the work or material needs consistent with their availability, so as to facilitate BEP certified vendor participation.
- Negotiate in good faith with interested BEP certified vendors. Evidence of such negotiation must include the names, addresses, email addresses, and telephone numbers of BEP certified vendors that were considered and an explanation as to why an agreement could not be reached.
- Thoroughly investigate the capabilities of BEP certified vendors and not reject them as unqualified without sound reasons.
- Make efforts to assist interested BEP certified vendors in obtaining lines of credit or insurance as required by the College.
- Make efforts to assist interested BEP certified vendors in obtaining necessary equipment, supplies, materials, or related assistance or services.

### BEP Utilization Plan

The following firms will be utilized to meet the goals of the BEP Program

Name of Firm	Contract Value	Description of Work	% of Goal
Total			