

# Board of Trustees Meeting

February 15, 2017

# Harper College Board of Trustees

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## Board Meeting Agenda February 15, 2017 6:00pm W-214

- I Call to Order / Pledge of Allegiance
- II Roll Call
- III Approval of Agenda
- IV Presentation – Sabbatical Update
- V Student Trustee Report
- VI Faculty Senate President’s Report
- VII President’s Report  
Student Success Report – Veterans’ Center  
Correspondence
- VIII Harper College Employee Comments
- IX Public Comments
- X Consent Agenda
  - A. For Approval
    - 1. Minutes
    - 2. Fund Expenditures
    - 3. Bid Awards
      - a. Construction Contract for Asbestos Abatement in Building M
      - b. Construction Contract for Building X Classroom Renovations
      - c. Construction Contracts for Bid Package #1 for Building M Addition and Renovation Project
    - 4. Requests for Proposal
    - 5. Purchase Orders
      - a. Commissioning Services for the Building M Addition and Renovation Project
      - b. Consultant to Plan, Design, Create and Implement Outreach Materials and Activities for Apprenticeship Program
      - c. Proposed Change Item for the Building F Renovation Project
      - d. Professional Services Change Order for Leadership in Energy & Environmental Design (LEED) certification of Building M Addition and Renovation Project
    - 6. Personnel Actions
    - 7. Board Travel
    - 8. Adoption of the Harper College Campus Master Plan 2016 Update
    - 9. 2017-2018 Proposed Credit Course Fee Changes
    - 10. Student Service Awards (Fall 2016)
    - 11. Building F Naming in Recognition of David and Diane Hill
  - B. For Information
    - 1. Monthly Financial Statements
    - 2. Board Committee and Liaison Reports
    - 3. Grants and Gifts Status Report
    - 4. Review of Consortiums, Cooperatives and State of Illinois Contracts Purchasing Status Report
    - 5. Faculty Tenure Status – Second Year Fall Hires
    - 6. Faculty Tenure Status Report (1st Years)

# Harper College Board of Trustees

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- XI New Business
  - A. Consideration and Action on a Resolution Providing for the Issue of not to Exceed \$5,200,000 General Obligation Limited Bonds, Series 2017A, of the District, for the Purpose of Paying Claims Against the District, the Levy of a Direct Annual Tax to Pay the Principal and Interest on Said Bonds and Authorizing the Sale of said Bonds to the Purchaser thereof
  - B. Proposed Tuition Increase
  - C. Faculty Tenure Recommendation (3<sup>rd</sup> Year Fall Hires)
  - D. Resolution Authorizing Extension of Probation of Non-Tenured Faculty Member
  - E. Dismissal Resolution, Non-tenured Faculty – Liberal Arts Division
  - F. Sabbatical Leave Review
  - G. Harper Professional Center (HPC) Tenant Lease Extension Option
  - H. Illinois Community College Workforce Innovation and Opportunity Act Tuition Agreement
  - I. Ratification of Adjunct Faculty Association, IEA-NEA Union Collective Bargaining Agreement August 22, 2016 to August 2021
  - J. Authorization for Personnel Appointments, Payment of Vouchers, and Requests to Purchase
  - K. Trustee Emeritus Designation

- XII Announcements by Chair

- A Communications
- B Calendar

March 20	–	March 26	Spring Break – classes not in session	
April 4			General Election	
April 19		5:00 p.m.	Committee of the Whole Meeting	W216
April 26		6:00 p.m.	Regular Board Meeting	W214
May 10		5:00 p.m.	Committee of the Whole Meeting	W216
May 17		6:00 p.m.	Regular Board Meeting	W214
May 20			Commencement Harper Graduation	Pavilion
June 14		5:00 p.m.	Committee of the Whole Meeting	W216
June 21		6:00 p.m.	Regular Board Meeting	W214

- XIII Other Business

- XIV Adjournment

Call to Order

Pledge of Allegiance

Roll Call

Approval of Agenda

# Presentation

Sabbatical Update

Dr. Judy Marwick

Student Trustee Report

Faculty Senate President's Report

# President's Report

## **President's Report**

**February 2017**

It is such a pleasure writing this report and gazing out the window at a brown (not white!) winter wonderland. No snow! I most likely just jinxed us, but it has been wonderful not dealing with snow. We are seeing dividends on reduced overtime, less energy usage and fewer supplies for cleaning and de-icing our roadways and sidewalks. Our expenses are under-running budgeted amounts and this will hopefully contribute to a balanced budget on June 30. Let's hope for more of the same.

We continue to watch the situation in Springfield with hopes that a "grand bargain" might still be attainable and an FY17 budget adopted. The Senate has 13 separate pieces of legislation that must be passed so they can move to the House floor. This legislation would provide an appropriation bill for the second half of FY17, generate \$6.4 billion of new revenue, freeze property taxes, and provide pension reform. These are just some of the elements that are most relevant to public higher education. We should have a good idea by the end of this month whether the "grand bargain" has a chance. We shall keep a close eye on it.

The College is pleased that our Moody's Aaa bond rating has been reaffirmed in spite of the State's inability to pass a budget. This was a required step as we move into the final phase of issuing approximately \$5 million in bonds as a means of financing capital projects on campus. The Moody's report does however cite caution which reflects the challenges community colleges will continue to face if the State does not pass a budget and meet its obligations. The very difficult decisions made last year to reduce the budget by \$5 million were necessary to ensure Harper's financial standing and played a key role in our Aaa bond rating. We also received the Government Finance Officers Association (GFOA) Distinguished Budget Presentation award this month. This latest award represents the 18<sup>th</sup> consecutive year of being acknowledged by this notable group. Certainly this is something to celebrate.

Recently, we were honored by the Gates Foundation and Pearson/McGraw Hill with a visit to our campus. They were joined by senior executives from the City Colleges of Chicago and Chicago Public School System. We were asked to give our guests a full description of the Northwest Educational Council for Student Success (NECSS) and all the many projects flowing from this partnership. Without a doubt, the best form of flattery is imitation and we were delighted to share our work and have it validated by these distinguished visitors. Later this month, Dr. Brian Harris (District 220), Dr. Kenya Ayres (VP and Chair of the NECSS Board), and I will also share this work at the annual Achieving the Dream conference at a "spotlight" session.

Our campus strategic planning goal teams are working hard. Areas of interests or "meta majors" have been identified by the Educational Planning and Pathways team; our Curriculum and Instruction team is focusing on both digital education and engagement in the classroom; the Student Experience team has set its sights on developing a "culture of care" throughout the campus. This is just a represented sampling of the work to-date.

Our Title III team is currently working with the Civitas group to install their products in our electronic support system for student engagement, advisement and proactive outreach. The Civitas tools assist counselors and advisors as they manage their caseloads of students from entry to completion. We are very excited about the implementation of these tools.

The campus has been very busy in this last month. Please read below for divisional highlights.

### ***Student Success***

- Two student veterans, Anthony Nishimura and Ernest Feret, joined Keith O'Neill, Associate Dean of Student Affairs, at the 2017 National Conference of the Student Veterans of America (SVA). They attended a variety of skill-building and training workshops relevant to strengthening Harper's own SVA chapter. The conference was an excellent opportunity to network with other SVA chapters and to learn more about the resources and program ideas that Harper's SVA can offer to its students.
- One of Harper's newest student clubs, the Social Justice League, held its inaugural open forum in the Student Center on January 26. A variety of speakers, poets, and others shared perspectives on leadership through advocacy. More than 100 students attended the event which included representatives from off-campus partner agencies and volunteer organizations. Many students had the opportunity to sign-up to engage more with social justice issues and dialogue through this event.
- On Thursday, January 19, Erin Morettes, Manager of Student Involvement, and DuBoi McCarty, faculty in Student Development, facilitated a dialogue for students entitled, "Have a Dream: MLK Conversation and Remembrance." More than 50 students attended and enjoyed a guided discussion on the message and legacy of Rev. Dr. Martin Luther King, Jr., and how they apply in the past, present, and future.
- Harper's One Stop Center celebrated its second anniversary in January 2017. Harper's One Stop helps students navigate enrollment processes such as registration, applying for financial aid and scholarships and consultation on payment plan options. The One Stop averages 2,500 walk-ins per month. By providing personalized high-touch services to students and families, the One Stop ensures students are able to remove any barriers to enrollment and continue on a path to completion of their educational goals.
- Five One Million Degree (OMD) scholars completed their credentials and graduated in December. Contributing to the success of OMD is assistance from the Harper College Educational Foundation which provided \$15,935 to cover last dollar scholarships to these scholars in the fall semester.
- Harper College recently registered to participate in the "Healthy Campus Challenge," a White House initiative designed to engage college and university campuses to help get more students and young people enrolled in the Affordable Care Act (ACA). Dr. Bridget A. Cahill, Harper's Director of Health Services, registered the College and coordinated Harper's outreach for the program. More than 360 colleges and universities across the country participated in this challenge. Participating institutions were invited to send three representatives to be recognized at the White House's "Healthy Campus Challenge Day." Harper was represented at the White House by Dr. Cahill and Dr. Travaris Harris, Dean of Student Affairs, and Dr. Keith O'Neill, Associate Dean of Student Affairs.

- On January 6, Admissions Outreach participated in a staff development day at Barrington Middle School. The session was designed to provide more than 100 faculty and staff with information about admissions, curriculum updates, student involvement, and the Promise Program.
- The popular “Career Changers” Workshop Series exclusively for prospective, new or continuing adult students returns for spring 2017. The workshops are led by Anita Rehberg, Adult Student Specialist in the Center for New Students.

### ***Finance***

- Harper’s Aaa Moody’s credit rating was affirmed. The report does however cite caution which reflects the challenges community colleges will continue to face if the State does not pass a budget and meet its obligations. The rating helps to ensure the College will pay lower interest rates when we issue bonds.
- The Government Finance Officers Association (GFOA) established the Distinguished Budget Presentation Awards Program to encourage government entities to prepare budget documents of the highest quality that reflect both the guidelines established by the National Advisory Council on State and Local Budgeting and the GFOA’s best practices on budgeting, and then to recognize individual governments that succeed in achieving that goal. The GFOA awarded the College its 18<sup>th</sup> consecutive Distinguished Budget Presentation Award for the fiscal year beginning July 1, 2016.

### ***Facilities Management***

- Grants have been received to convert Room A137a in Building A into a space for student veterans. Construction has begun and is scheduled to be completed in February 2017.
- Classroom X228a in Building X will be converted into a new Surgical Tech Suite for the Nursing Department. The existing Virtual Hospital will be renovated to address sound and observation requirements. The simulation hospital work is scheduled to be substantially complete by February 28, 2017.
- The Building P Chiller Plant will be expanded to serve Buildings A, C, and W, in addition to the currently connected Buildings F, L, P, and R. Construction has begun and will be completed by September 2017. The contractor has completed all piping work and the new chillers have been installed. Testing will begin March 1, 2017.
- Classrooms H166, H184, and H186 in Building H will be converted into the new Building Energy Systems Technology (BEST) Lab for the Refrigeration and Air Conditioning Technology program. Construction is scheduled to start in May 2017 and be substantially complete by November 2017.

### ***Information Technology***

- Significant effort updating and preparing computers and other technologies in classrooms throughout campus took place in anticipation of the start of the spring semester. This included updates to desktop operating systems, software updates, and desktop management system

upgrades. Preventative maintenance activities also took place to ensure classroom technologies such as projectors, computers, and document cameras were ready for classes.

- A large number of upgrades and modifications to the infrastructure has taken place in the past month to prepare for an influx of new systems.
- The “Phishing Security Awareness” training was rolled out to all faculty in January. To date, 79 faculty have successfully completed the training. The Data Privacy video was featured in the January 26 issue of Inside Harper. The video was the number one clicked item for that issue.
- The Information Technology team completed work on Starfish Early Alert to track if degree-seeking students who place in developmental level courses have registered for those required courses. Work began in the pre-production phase of Banner on the Banner XE Advising Profile. A Banner defect that was causing AccuPlacer test scores not to properly align with prerequisites was corrected, and the Employee Compensation statement was added to Employee Self-Service in EBS.

### ***Advancement (Foundation, Communication, Marketing)***

The following are highlights from the Foundation Board Committee:

- The Finance and Investment Committee met on February 7, 2017 and reviewed the asset allocations as of December 31, 2016. The asset allocations for the General Endowment are 54% equity, 25% fixed income, 8% alternative investment and 13% cash. The asset allocation for the Promise Fund are 24% equity, 44% fixed income, 2% alternatives and 30% cash. The committee approved a 4.5% spending rate for the FY18 scholarship awards allocation. A subcommittee was created to review the Investment Policy.
- The Federal 990 forms were electronically filed with the IRS on February 15, 2017. Although all the work papers were prepared last October 2016, SIKICH, LLP, the Foundation’s auditor, was waiting for Commonfund Investments to release the K1 statement for the Private Equity Investment. The K1 statement was made available to the Foundation in January 2017.
- The Annual Giving Committee met on January 18. The committee will continue to steward relationships with any donors that have made a gift to the Foundation under \$10,000 with phone calls and thank you notes. Fifty two gifts were received by the Foundation through the Year-End Appeal totaling \$24,520.22. The Employee Giving Campaign is scheduled for April 10-21. To date, 48 brick pavers have been sold totaling \$11,850.00. The Foundation staff will continue to reach out to Harper Retirees, current employees, alumni, Harper Student Organizations, community groups and local businesses to promote the pavers.
- The golf committee had their first meeting on January 25, 2017. The 29<sup>th</sup> Annual Golf Open is scheduled for June 12, 2017 at Inverness Golf Club. The committee is hoping to have 130 golfers and increase the number of attendees for the dinner. Sponsorship packets will be sent out early next week and the committee will follow up with phone calls.
- The Individual Major Gifts Committee has identified 20-50 top prospects, which they continue to cultivate, solicit and steward for major gifts. The Major Gifts Committee will be developing plans for two prospect cultivation events this spring that target “next generation” prospects (ages 50 and younger). The committee is utilizing Dr. Ender’s February 26 presentation at Barrington White House to further engage major gift prospects.

- The Gala Committee meets monthly to review sponsorship activity, identify live auction items and engagement strategies to plan a fun, celebratory evening for all guests. A program sub-committee also meets monthly to plan the Gala's agenda and activities. To date, the Gala has secured almost \$300,000 in sponsorship revenue.
- Last Spring, the Resource for Excellence committee met and awarded \$88,738 to six applicants for Fiscal Year 2017 projects. Funding was made available on July 1, 2016 and will expire on June 30, 2017. Fiscal Year 2017 awards were made to the following:
  - Childhood Education and Child Learn Center Staff curriculum training-\$7,300
  - InZone access for low-income students-\$29,960
  - Scrapbook restoration and Harper History preservation-\$14,000
  - Open curriculum development in Math department-\$10,000
  - Diversity and Inclusion trainings to Harper faculty and staff-\$20,900
  - LCC to Main Campus transportation project-\$6,578
- The Scholarship and Awards Committee met Tuesday, January 31. Executive Committee suggested that the Scholarship Committee communicate scholarship award amount to donors for the year based on the annual allocation rate to determine if donors wished to add to their scholarships annually. A letter will be sent to donors as part of the "Top It Off Program" in February.
- Marketing Services is collaborating with Financial Aid on a weekly series of articles for the Parents' Blog during Financial Aid Awareness Month (February). Topics include understanding the financial aid process and resources to help parents research aid options. Parents will also be invited to attend one of two Paying for College Workshops at Harper on Thursday, March 2. Along with the parent's blog, information will also be shared in the parents' e-newsletter and on social media.
- Marketing Services is collaborating with Engineering faculty to promote an Engineering Week event to be held on Saturday, February 25 in the Wojcik Conference Center. High school students and their parents are invited to learn about the many benefits of studying Engineering at Harper College. Featuring a keynote presentation by Dr. Vladimir Goncharoff, Engineering lecturer at University of Illinois Chicago and Harper College Distinguished Alumni recipient. Students and parents will have the chance to meet with representatives from Harper's four-year university partners: University of Illinois at Urbana-Champaign, University of Illinois Chicago, and Northern Illinois University.

### ***Workforce, Planning and Institutional Effectiveness***

- The Higher Learning Commission (HLC) Criterion Teams continue their work to build an evidence-based assurance argument that makes the case that Harper College meets the HLC Criteria for Accreditation. The draft assurance argument will be out for campus feedback in April. Additionally, sessions are being held throughout the spring semester to further engage the campus community in the accreditation process.
- Harper College's apprenticeship program was featured prominently in an article in *CityLab*, an online publication of *The Atlantic*. The article, [Why Apprenticeships Are Taking Off](#), features an interview with Dr. Rebecca Lake, Dean of Workforce and Economic Development, which discusses Harper's apprenticeship work with the Department of Labor.

## ***Diversity and Inclusion***

- The second session in the campus conversation about the President's Student Artwork selection is scheduled for February 13. More than 80 employees have registered for the session which explores the tension between institutions and individuals. The session includes a discussion about how institutional structures dictate the way we interact with one another as well as a series of short talks from four perspectives as well as Q&A from the audience and strategies for moving forward and bridging gaps.
- Continuing our campus engagement work from fall, seven additional D&I Dialogue Workshops have been scheduled for spring. Various time offerings have been planned to accommodate the varying work shifts of all campus employees.

## ***Community and Legislative Relations***

- On Wednesday, January 11, Harper hosted Colonel Jill Morgenthaler for a lunchtime presentation entitled, "Personal Power: Stand Up and Standing Out!" The event was a partnership with the Hoffman Estates Chamber's Women Engaged in Business group. More than 80 business leaders attended the event hosted in the Wojcik Conference Center. Colonel Morgenthaler was one of the first women to enter an experimental class for women in the U.S. Army ROTC and train as an equal with men. Overcoming obstacles, she was the first woman Battalion Commander in the 88th Regional Support Command Division, the first Brigade Commander in the 84th Division and then rose to the rank of Colonel. She was also the first woman to run homeland security for the State of Illinois. During her presentation Colonel Morgenthaler shared stories about her courageous leadership experiences during war time and peace including a showdown with Saddam Hussein and saving an American engineer from a Soviet kidnapping. Proceeds from the event were donated to the HOPE Giving Circle.



- On January 26 Harper faculty and staff attended three area school events. Dr. Ender was a guest reader for Paddock Elementary Reading Night in Palatine. Paddock's 4th grade class has adopted Harper as their classroom college. Harper's Chemistry Club, led by Dr. Karen Dailey, also provided engaging hands-on science activities at Paddock. Julie Ellefson-Kuehn hosted a chemistry activity at Robert Frost Elementary STEM Night in Mount Prospect. Harper was also represented at Kilmer Elementary STEAM night in Wheeling. Jim Edstrom engaged

students in a mad libs activity to promote literacy skills. Frost and Kilmer are both part of the Ambassador School program. By hosting engaging activities at school events we are introducing students and families to Harper and building excitement about higher education.



- Harper's apprenticeship programs recently received media coverage by two highly respected, national publications. The first was *CityLab*, a publication from The Atlantic magazine that focuses on pressing issues facing the world's metro areas. *CityLab* looked at the re-emergence of apprenticeships across the country. They work, *CityLab* wrote, because employers need skilled workers and young people want a path to a good job without accruing a lot of debt. Key to the in-depth article was a spotlight on Harper's apprenticeship programs, currently offered in general insurance, industrial maintenance mechanic, CNC precision machining and logistics/supply chain management. Reporter Lisa Rabasca Roepe spoke with Dr. Rebecca Lake, Dean of Workforce and Economic Development, who captured the obvious appeal of apprenticeships to students: "They graduate from college with zero debt and they have a job," adding that the employer pays tuition on top of paying apprentices \$12 to \$13 per hour for their work.
- The second mention came in the *New York Times*, which wrote about the difficulty employers are having finding skilled workers. Employers, Jeffrey Selingo wrote, "are turning more and more to community colleges to help fill the skills gap. And while the building trades still dominate the apprenticeship landscape, the types of occupations offering internships have expanded to include jobs such as pharmacy technician and project manager." He writes about Harper's partnership with insurance and financial services company Aon to offer general insurance apprenticeships. The article is appearing in newspapers across the country.
- The *Daily Herald* covered the Foundation's recent reception recognizing the first three recipients of its new Dream Big – Angel Grant program to support emerging and active entrepreneurs. The driver behind Harper's new program is Barrington-area philanthropist Kim Duchossois who donated \$1 million to Harper last year of which \$250,000 is to launch the Angel Grant initiative and \$750,000 for Promise. Reporter Chris Placek included a quote from grant recipient Ana Santos, who owns Brazil Express Grill in Schaumburg with her husband: "It's like someone believes in us." Students in Harper's entrepreneurship program will get their own opportunity to compete for grant money later this spring. The article also ran in the *Business Ledger* and *University Business*, and NBC 5 Chicago discussed the proposal during a 30-second segment on the station's morning broadcast.

- The *Business Ledger* ran an article about what Donald Trump's presidency may mean for businesses. Reporter John Slania spoke with economics Professor Getachew Begashaw, who said a widespread perception is that the new administration will have a positive impact on the economy. He said, "Lowering taxes leaves more money for people to spend and businesses to invest. Spending more on infrastructure creates jobs. You can see business responding to these expectations with the rise in the stock market."
- *Quintessential Barrington*, *365Barrington.com* and the *Daily Herald* each previewed Dr. Ender's upcoming lecture at Barrington's White House about the "Communiversality" concept. The free event takes place Sunday, February 26.
- The *Daily Herald* ran an article about Teagan Schirmbeck, the 19-year-old from Palatine who received the Gilman Scholarship and is studying abroad this spring at Heriot-Watt University's School of Textiles and Design in Scotland.
- *eCampus News* ran an article about Harper's new partnership with Civitas Learning to support the College's student success initiatives.
- The *Daily Herald* ran a front-page article about Harper's proposal to increase tuition by \$5.75 per credit hour. The Board will vote on the increase at the February board meeting.
- The *Daily Herald* and *chicagotribune.com* previewed Harper's sustainability event about community-supported agriculture.
- The *Chicago Tribune* ran an article about hypnotist Jim Wand performing at Harper.
- *The Kane County Chronicle* ran an article about accomplished pianist and Harper employee Chiayi Lee's role in Soiree Lyrique, an Elgin-based music organization.
- The *Daily Herald* ran an article about Continuing Education's new partnership with Vortex UAS to offer classes on flying drones and how to make a commercial enterprise out of it.
- The *Daily Herald* and *chicagotribune.com* ran an article about the Clay Guild club's upcoming Cups for a Cause sale benefiting the WINGS organization.
- The *Daily Herald* sports section ran an article about star volleyball player Katelyn Somers, who led Harper's team to a national championship, transferring to Trinity International University in Deerfield on a full athletic scholarship. Somers is a great ambassador for the program and Harper as a whole, saying, "I would encourage local players to consider Harper as their college. Not only is the volleyball program great, but so is the rest of the Harper experience."

### ***President's Priorities Update***

#### 1. Advocates for Students

- Academic Success Coaches: Approximately 240 new students starting this spring semester with developmental placements were added to the Academic Success Coaches' caseloads during the second week of classes. Additionally, during the month of January, 22 unduplicated (28 duplicated) students were seen by the coaches with an average show rate of 85%. This number is not inclusive of other means of communication with the students (i.e. email, phone, and text). By the end of January, 100% of the students within the pilot were contacted via

various means (663 students) by the coaches either as a –welcome back” or to set up future appointments.

- Counselors Through Completion: Of the 62 students being case managed this fall/spring, 39 have met face-to-face with their counselors thus far. A total of 68 face-to-face contacts, 47 phone contacts, and 274 email contacts have been made. Ten additional students have been added to the pilot as an additional counselor has joined.

## 2. First Year Seminar and Educational Plan

- During the fall 2016 semester, more than 700 students completed First Year Seminar courses and other Start Smart programs. Two sections of First Year Seminar courses are being offered during the spring semester to accommodate a number of students who are new to Harper in the spring. Combined with the fall enrollment, the total number of students impacted by a First Year Seminar course will exceed the target of 750 students.
- Students participating in the First Year Seminar courses and Start Smart program complete an educational plan to completion in consultation with a counselor. During the fall 2016 semester, 91.1% of students who successfully completed their course developed an educational plan.
- The First Year Seminar team is already planning fall 2017 course offerings. The team will be working closely with the Academic Planning and Pathways team to develop course offerings that better align with the areas of interest that are currently under development.

## 3. Completion of Buildings F, M and the Canning Center

- Building F Renovations – The demolition is complete and the contractor is currently constructing interior walls.
- Building M Renovations and Addition – Bids have been received and a recommendation for award has been submitted for the February Board meeting. Northwest Community Hospital has approved the design for their leased space. The temporary spaces needed for Building M programs have been identified.
- Canning Center – The State of Illinois has put the Canning Center project on hold.

## 4. Promise Program Funding - Currently the promise funds are \$8,520,473.14 with 363 donors and 85% to goal.

In closing, Bill Kelly, Eric DeBold and I will be traveling to Washington, D.C. on Monday, February 13 for the annual American Community College Trustees’ Legislative Summit. During the visit, I will have the honor of introducing Senator Tammy Duckworth to the trustees and presidents at a meet, greet and discussion forum. Bill, Eric, and I will also be meeting with many members of the Illinois legislative delegation advocating for the nation’s community colleges. It will be an honor to represent Harper College in the nation’s capital.

Spring is most assuredly on the way. I’m looking forward to working the soil in my garden in March and planting in April—as winter will truly change to spring.

Take care,

*Ken*

# President's Report

## Student Success Report

### Center for Student Veterans and Military-Connected Students

Dr. Travaris Harris, Dr. Susan Harrison-Grant and Dr. Keith O'Neill  
SVA Members

# President's Report

## Correspondence



**Government Finance Officers Association**  
203 North LaSalle Street, Suite 2700  
Chicago, Illinois 60601-1210  
312.977.9700 fax: 312.977.4806

RECEIVED  
Office of the President

FEB - 7 2017

Harper College  
Palatine, Illinois

January 25, 2017

Kenneth Ender  
President  
William Rainey Harper College  
1200 W. Algonquin Road  
Palatine, IL 60067

Dear Dr. Ender:

I am pleased to notify you that William Rainey Harper College, Illinois has received the Distinguished Budget Presentation Award for the current budget from the Government Finance Officers Association (GFOA). This award is the highest form of recognition in governmental budgeting and represents a significant achievement by your organization.

When a Distinguished Budget Presentation Award is granted to an entity, a Certificate of Recognition for Budget Presentation is also presented to the individual or department designated as being primarily responsible for its having achieved the award. This has been presented to:

#### **Administrative Services**

We hope you will arrange for a formal public presentation of the award, and that appropriate publicity will be given to this notable achievement. A press release is enclosed for your use.

We appreciate your participation in GFOA's Budget Awards Program. Through your example, we hope that other entities will be encouraged to achieve excellence in budgeting.

Sincerely,

Stephen J. Gauthier, Director  
Technical Services Center

Enclosure



**Government Finance Officers Association**  
 203 North LaSalle Street, Suite 2700  
 Chicago, Illinois 60601-1210  
 312.977.9700 fax: 312.977.4806

January 25, 2017

**PRESS RELEASE**

For Further Information Contact  
 Stephen J. Gauthier (312) 977-9700

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Chicago--The Government Finance Officers Association of the United States and Canada (GFOA) is pleased to announce that **William Rainey Harper College, Illinois** has received the GFOA's Distinguished Budget Presentation Award for its budget.

The award represents a significant achievement by the entity. It reflects the commitment of the governing body and staff to meeting the highest principles of governmental budgeting. In order to receive the budget award, the entity had to satisfy nationally recognized guidelines for effective budget presentation. These guidelines are designed to assess how well an entity's budget serves as:

- a policy document
- a financial plan
- an operations guide
- a communications device

Budget documents must be rated "proficient" in all four categories, and the fourteen mandatory criteria within those categories, to receive the award.

When a Distinguished Budget Presentation Award is granted to an entity, a Certificate of Recognition for Budget Presentation is also presented to the individual or department designated as being primarily responsible for its having achieved the award. This has been presented to **Administrative Services**

For budgets beginning in 2015, 1,565 participants received the Award. Award recipients have pioneered efforts to improve the quality of budgeting and provide an excellent example for other governments throughout North America.

The Government Finance Officers Association is a major professional association servicing the needs of nearly 19,000 appointed and elected local, state, and provincial-level government officials and other finance practitioners. It provides top quality publications, training programs, services, and products designed to enhance the skills and performance of those responsible for government finance policy and management. The association is headquartered in Chicago, Illinois, with offices in Washington D.C. The GFOA's Distinguished Budget Presentation Awards Program is the only national awards program in governmental budgeting.

## CREDIT OPINION

9 February 2017

New Issue

Rate this Research >>

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# Community College District No. 512 (William Rainey Harper College), IL

New Issue - Moody's Assigns Aaa rating to Harper Community College 512, IL's GO Bonds; Outlook Remains Negative

### Summary Rating Rationale

Moody's Investors Service has assigned a Aaa rating to Community College District No. 512 (William Rainey Harper College), IL's \$5.1 million General Obligation Limited Tax Bonds, Series 2017A. Moody's maintains the Aaa rating on the district's outstanding general obligation unlimited tax (GOULT) and general obligation limited (GOLT) debt. Following the sale, the district will have \$147 million of GOULT and \$7 million of GOLT debt outstanding. The outlook remains negative.

The Aaa on the district's GOULT rating reflects the district's strong financial reserves and available liquidity that are expected to remain healthy given limited exposure to state aid. The rating further reflects the college's large and diverse tax base, strong demographic profile, and modest debt burden.

The Aaa rating on the district's GOLT debt reflects the credit fundamentals inherent in the district's GOULT rating and the limited dedicated tax pledge of the district's debt service extension base (DSEB). Annual debt service on the district's DSEB bonds is expected to be completely covered by DSEB revenue.

### Credit Strengths

- » Large and diverse tax base located in the Chicago metropolitan area
- » State appropriations comprise only a modest share of district revenues
- » Healthy operating reserves and liquidity

### Credit Challenges

- » While the college's dependence on state appropriations is low, it is exposed to State of Illinois fiscal pressure given the state's support of pension contributions.

### Rating Outlook

The negative outlook reflects pressure on the community college sector in Illinois as a result of the state's budget impasse and ongoing fiscal challenges. While the college's dependence on state appropriations is low, it is exposed to state fiscal pressure through the significant pension contributions made on behalf of the college.

## Factors that Could Lead to an Upgrade (or removal of negative outlook)

- » Stabilization of the State of Illinois' fiscal challenges that reduces pressure on the sector

## Factors that Could Lead to a Downgrade

- » Material decline in reserves or liquidity
- » Large increase in the college's debt burden or increase in its pension liability resulting from cost shifting
- » Substantial erosion of the tax base and/or weakening of the district's demographic profile

## Key Indicators

Exhibit 1

Community College District No. 512 (William Rainey Harper College)	FY2012	FY2013	FY2014	FY2015	FY2016
<b>Economy/Tax Base</b>					
Total Full Value (\$000)	\$ 61,891,164	\$ 57,040,956	\$ 49,931,140	\$ 50,478,320	\$ 50,079,968
Full Value Per Capita	\$ 115,688	\$ 106,622	\$ 93,332	\$ 94,355	\$ 94,355
Median Family Income (% of US Median)	137.5%	137.2%	137.7%	137.7%	137.7%
<b>Finances</b>					
Operating Revenue (\$000)	\$ 164,684	\$ 168,726	\$ 167,439	\$ 169,423	\$ 171,014
Unrestricted Net Assets as % of Revenues	56.8%	60.3%	58.1%	54.9%	55.3%
Cash Balance as a % of Revenues	43.5%	78.0%	76.0%	62.1%	61.1%
<b>Debt/Pensions</b>					
Net Direct Debt (\$000)	\$ 185,775	\$ 182,990	\$ 175,310	\$ 170,935	\$ 153,635
Net Direct Debt / Operating Revenues (x)	1.1x	1.1x	1.0x	1.0x	1.0x
Net Direct Debt / Full Value (%)	0.3%	0.3%	0.4%	0.3%	0.3%
Moody's - adjusted Net Pension Liability (3-yr average) to Revenues (x)	0.0x	0.0x	0.0x	0.0x	0.0x
Moody's - adjusted Net Pension Liability (3-yr average) to Full Value (%)	0.0%	0.0%	0.0%	0.0%	0.0%

Post-sale the district's net direct debt will total \$154 million, or 0.3% of full value or 0.9 times fiscal 2016 operating revenues.

Source: District's Audited Financial Statements, Moody's Investor's Service, Inc., U.S. Census Bureau

## Recent Developments

The state has not passed a complete budget since fiscal 2015 and has instead relied on partial funding measures to fund community college districts. Despite receiving only \$2 million in state aid, or 29% of fiscal 2015's state funding, the district largely offset state revenue losses through revenue enhancements and expenditure reductions in fiscal 2016. The district closed fiscal 2016 with an unrestricted net asset position (inclusive of all working cash and debt service reserves) of \$94.6 million, or a very healthy 55% of operating revenues. For fiscal 2016, the district received 20% of its operating revenues from the state inclusive of on-behalf pension payments. State operating aid excluding pension payments was a modest 2.4% of operating revenues. Though December 2016, the district received \$3.5 million in state aid for fiscal 2017.

## Detailed Rating Considerations

### Economy and Tax Base: Large and Diverse Tax Base Within Chicago Metro Area

The district is located approximately 30 miles northwest of the City of Chicago and serves a 200 square mile area. Approximately 93% of the district is located in Cook County (A2 stable) with small portions located in McHenry (Aaa), Lake (Aaa) and Kane (Aa1) counties. The district has a main campus facility with 20 buildings located in the Village of Palatine (Aa2) and maintains single building locations in the City of Prospect Heights (Aa3) and Village of Schaumburg (Aa1 stable). Valued at \$50.1 billion, the district's tax base is primarily

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residential (64%) followed by healthy commercial (24%) presence. Full valuation fell at an average annual rate of 7.6% since 2009. We expect valuations will stabilize given the diversity of the base and recent stabilizing residential real estate trends.

At 5.7% in November 2016, Cook County's unemployment rate was above both the state's rate of 5.3% and the nation's rate of 4.4%. Resident income levels for the county approximate national medians, with median family income at 94% of the state and 102% of the nation. Resident income levels of college's direct service area are notably higher than the entire county, approximating 138% of the national figure based on the median family income of the Village of Palatine.

#### **Financial Operations and Reserves: Healthy Liquidity Despite Modest Revenue Losses from State Aid**

We expect the district's financial position to remain strong given sizable reserves and limited exposure to losses in state funding. At the close of fiscal 2016, the district reported an available net asset position of \$94.6 million (inclusive of working cash and debt service reserves), or a strong 55% of operating revenues. As a result of the state budget impasse, the district received \$2 million of state aid in fiscal 2016, which was equivalent to 29% of the amount received in 2015. The district offset the revenue losses through cost containment measures, including holding open positions vacant. For fiscal 2017, the district budgeted \$5.7 million in state aid and received \$3.5 million through December 2016. The district continued to offset state revenue losses by adjusting staff and reducing other expenses for a total cost savings of \$5 million and increasing tuition. Without any additional state aid, the district expects to end fiscal 2017 with an approximately \$1.1 million surplus.

The largest source of income for the district is property taxes, which comprised 45% of revenues in fiscal 2016. Tuition and fees are the next largest source of revenues at 23%. A full year of state aid through fiscal 2015 made up only 4% of operating revenue. The district maintains significant taxing margin under its statutory rate limits, allowing the district to offset valuation declines with increases in the tax rate. However, the Property Tax Extension Limitation Law (PTELL) limits annual growth in the property tax levy to new construction plus the lesser of 5% or CPI.

Driven by the counter cyclical nature between community college enrollment and labor market trends, the district's enrollment has fallen significantly from its peak of 11,859 in fiscal 2011 to 9,961 in fiscal 2016. Increases in the tuition rate have partially offset revenue pressure from declining enrollment. The district increased its tuition rate by \$5.50 per credit hour for fiscal 2017, raising the rate to \$135.25 per credit hour.

#### **LIQUIDITY**

The district's unrestricted net cash and investment balance across all funds at the close of fiscal 2016 was a strong \$104 million, or a very strong 61% of revenues. This is up from \$72 million in fiscal 2010.

#### **Debt and Other Liabilities: Low Debt and Pension Burdens, but Pension Pressure Could Escalate Given State Fiscal Concerns**

The district's debt profile is expected to remain low due to a limited amount of debt outstanding and rapid amortization. Direct debt was 0.3% of full value and 0.9 times operating revenues at the end of fiscal 2016. Debt service as a percentage of operating expenses was also moderate at 10%.

#### **DEBT STRUCTURE**

All of the district's debt is fixed rate and amortizes over the long term. Amortization of outstanding debt is rapid, with 88% scheduled to be repaid in ten years.

#### **DEBT-RELATED DERIVATIVES**

The district is not a party to any derivatives.

#### **PENSIONS AND OPEB**

College faculty and staff participate in the State Universities Retirement System (SURS), a multi-employer defined benefit pension plan administered and funded by the state, with additional funding from employee contributions and investment earnings. Although contributions are not currently an obligation of the college, the Illinois General Assembly has considered changes to the contribution structure that would shift a portion of the burden of employer contributions, currently being paid by the state, to the individual colleges. Given the state's budgetary pressures, we expect such legislation may be considered in the future. If such legislation moves forward this shift could place expenditure pressure on all Illinois community colleges, as they would then be responsible for all or a portion of employer pension contributions.

The fiscal 2016 SURS contribution paid by the state on behalf of the district was \$30 million, or 17% of the district's operating revenue. This is up from \$15 million, or 9% of fiscal 2012 operating revenues. SURS had a low funded ratio of 42% as of June 30, 2016. Past proposals have suggested shifting just the normal costs to districts with the state remaining responsible for accrued liabilities. The normal cost would be shifted to community college in phases with past proposals suggesting charging colleges up to 1% of payroll each year for several years to cover the system's normal costs.

### Management and Governance: Moderate Institutional Framework; Strong Management

Illinois community colleges have an institutional framework score of "A," or moderate. Colleges generally benefit from moderate revenue raising flexibility and flexible expenditure requirements. As enrollment declines, community colleges can typically adjust staffing levels to offset declines in enrollment-based revenue. Most maintain some margin to increase tuition, though property taxes are subject to tax caps. Following tuition increases in 2017, the district's tuition per credit hour will fees is \$135.25. The increases leave the district with approximately \$45 of margin under the statutory tuition cap.

The district has a history of favorable budget-to-actual results and adding to reserves. The ratio of operating revenues to expenditures has averaged a strong 1.06 times over the past five years. The district's formal fund balance policy is to maintain reserves between 40-60% of budgeted annual expenditures.

### Legal Security

Debt service on the GOULT bonds is secured by the full faith, credit and resources of the district, and will be payable from ad valorem taxes, which may be levied without limitation as to rate or amount.

Debt service on the district's GOLT bonds, including the Series 2017A, is secured by the authorization to tax an unlimited rate within the district's debt service extension base (DSEB). Despite the unlimited tax rate, growth in the annual operating levy is limited to the lesser of 5% or the increase in CPI. Annual debt service on the district's outstanding GOLT debt is completely covered by DSEB revenue and is fully leveraged through 2019. The current DSEB approximates \$2.4 million.

### Use of Proceeds

Bond proceeds will be used to redeem the district's outstanding General Obligation Debt Certificates (Limited Tax), Series 2016.

### Obligor Profile

Community College District No. 512 (William Rainey Harper College) is located roughly 30 miles northwest of downtown Chicago, and serves an estimated population of 534,984. Full-time equivalent enrollment in fiscal 2016 totaled 9,961.

### Methodology

The principal methodology used in this rating was US Local Government General Obligation Debt published in December 2016. Please see the Rating Methodologies page on [www.moody.com](http://www.moody.com) for a copy of this methodology.

### Ratings

Exhibit 2

#### Comm.Coll. Dist.512(William Rainey Harper),IL

Issue	Rating
General Obligation Limited Tax Bonds, Series 2017A	Aaa
Rating Type	Underlying LT
Sale Amount	\$5,120,000
Expected Sale Date	02/15/2017
Rating Description	General Obligation Limited Tax

Source: Moody's Investors Service

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REPORT NUMBER

1058012

Dear Dr. Ender and Dr. Ally

The Student Government Association (SGA) would like to thank Dr. Ender and Dr. Ally for taking the time to meet and discuss the climate of the financial challenges that are facing Harper College this upcoming fiscal year. We greatly appreciate that Dr. Ender and Dr. Ally value the opinion of our students and SGA in regards to increases for the upcoming fiscal year before seeking final approval by the Board of Trustees. While we understand the need for these financial adjustments, we do not support every part of the proposed increases. The following statement reflects SGA's understanding and concerns about the changes in student finances starting Summer 2017 and the projected directions the College has prepared for.

First, **the Student Government Association approves of and supports the increase in tuition of \$5.75 per credit hour** as presented by Dr. Ender and Dr. Ally during our SGA assembly meeting on February 3rd. After evaluating the information regarding the financial status and initiatives of Harper College, such as the lack of state funding, frozen property taxes, and declining enrollment, we understand and agree with the administrative decisions regarding raising tuition for Fiscal Year 2018.

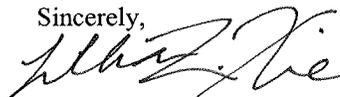
Second, **the Student Government Association voted in opposition towards the \$3 fee increase per credit hour that would provide students access to the new fitness center as well as the parking structure.** The following are points of concern our SGA assembly has discussed regarding this fee:

- If students do not use the parking garage and/or the fitness center, why must they pay this fee?
- What were the circumstances in which students were surveyed regarding their interest for a new fitness center?
- How will the safety of students be ensured when navigating the parking garage at night?
- What will be the policy be for non-students using the parking garage and how will that be enforced?
- How will the College move forward with marketing the benefits of these resources supported by the increased fee?

As with any increase in the tuition and fees our students must pay, not all members of our community will be completely understanding. We anticipate that our approval and opposition will not have everyone's support but as representatives of the student body we are sometimes tasked with making hard decisions on behalf of our constituents to sustain our institutional qualities. We also acknowledge that this is *not* the intent of our institution. We understand and continue to learn more about what it takes to maintain and improve the quality of Harper College, and under the current circumstances derived from many years of mismanagement and unresponsiveness from the state of Illinois, we see the \$5.75 increase per credit hour as a necessary decision. In an effort to alleviate the anxiety and worries induced by the announcement students will receive about the increase of their tuition and fees SGA plans on continuing to research the State Budget Crisis so we can better inform our student community about how and why they are directly and indirectly affected by this issue.

The Student Government Association would like to thank the President and the Executive Vice President of Finance for including the voice of our students in the decision-making processes of Harper College. We are always grateful to have administration, staff, and faculty speak and work with us to gather feedback and input to the benefit of our students and community. On behalf of the students of Harper College and SGA, thank you for your time.

Sincerely,



Lillian Z. Xie

Student Government Association President

# Harper College Employee Comments

## Public Comments

## Consent Agenda for Approval

- X-A.1 Approval of Minutes of Board Meeting
- X-A.2 Approval of Fund Expenditures
- X-A.3 Approval of Bids
- X-A.4 Approval of Requests for Proposals
- X-A.5 Approval of Purchase Orders
- X-A.6 Approval of Personnel Actions
- X-A.7 Approval of Board Travel
- X-A.8 Adoption of the Harper College Campus Master Plan 2016 Update
- X-A.9 2017-2018 Proposed Credit Course Fee Changes
- X-A.10 Student Service Awards (Fall 2016)
- X-A.11 Building F Naming in Recognition of David and Diane Hill

**Subject:** Approval of Minutes of Board Meetings

**Recommended by:**

Maria Coons,  
Senior Executive to the President / Board Liaison

**Description**

A recommendation is being made to approve the minutes from previous Board meeting(s).

**Information**

Not applicable to this Exhibit.

**Rationale**

Not applicable to this Exhibit.

**Funding Source**

Not applicable to this Exhibit.

WILLIAM RAINEY HARPER COLLEGE  
BOARD OF TRUSTEES OF COMMUNITY COLLEGE DISTRICT #512  
COUNTIES OF COOK, KANE, LAKE AND McHENRY, STATE OF ILLINOIS

Minutes of the Committee of the Whole Meeting of Wednesday, January 11, 2017

CALL TO ORDER

The Committee of the Whole meeting of the Board of Trustees of Community College District No. 512 was called to order by Chair Dowell on Wednesday, January 11, 2017 at 5:02 p.m. in Room W216 of the Wojcik Conference Center, 1200 W. Algonquin Road, Palatine, Illinois.

ROLL CALL

Present: Members Dowell, Gallo (entered at 5:22 p.m.), Hill, Kelley (entered at 5:04 p.m.), Mundt, Robb, and Stack  
Absent: Student Member DeBold

Also present: Kenneth Ender, President; Ronald Ally, EVP Finance and Administrative Services; Mike Barzacchini, Director Marketing Services; Patrick Bauer, Chief Information Officer; Bret Bonnsetter, Controller; Laura Brown, Chief Advancement Officer; Maria Coons, Chief of Staff and Vice President Workforce and Strategic Alliances; Brian Knetl, Associate Provost; Darryl Knight, Executive Director Facilities Management; Judy Marwick, Provost; Maria Moten, Assistant Provost; Mark Mrozinski, Executive Dean Continuing Education and Assistant Vice President Workforce and Strategic Alliances; Sheryl Otto, Assistant Provost; Darlene Schlenbecker, Executive Director Planning, Research and Institutional Effectiveness; Michelé Smith, Associate Provost and Special Assistant to the President for Diversity and Inclusion; Roger Spayer, Chief Human Resources officer; Evelyn Seiler, Administrative Coordinator; Kathy Coy, Director Institutional Research; Kim Pohl, Media Relations & Legislative Affairs Manager; Jonathan King and Molly McGillian, Sightlines; Chris Placek, Daily Herald; Herb Johnson, citizen.

DISCUSSION OF  
FOLLOW UP ITEMS

Dr. Ender welcomed Herb Johnson who is running for the two-year term on the Board of Trustees in the April election. He also introduced Chris Placek the new reporter for the Daily Herald covering Harper College.

Knight asked King of Sightlines to present the findings from the recent ROPA report on Harper facilities. King presented data indicating that Harper meets or beats its peers in most categories, and as the physical plant continues to be renovated, more effort and focus will need to go into maintenance and ensuring funding is available for needed upgrading and maintenance of systems and buildings.

Schlenbecker reviewed the Institutional Effectiveness Measures guidelines that are being used to set targets for the current measures.

Dr. Ally shared information for the ongoing FY18 tuition discussion which the Board will vote on in February.

Bonnstetter reviewed the FY17 budget to date.

Dr. Coons reviewed the Board Packet.

FORMAL ACTIONS

Member Kelley motioned, Member Stack seconded, to enter closed session for the purpose of discussing a collective bargaining at 6:44 p.m.

Upon roll call, the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Kelley, Mundt, Robb, and Stack.

Nays: None.

Motion carried.

Member Gallo motioned, Member Mundt seconded to adjourn the closed session and re-enter the regular meeting at 7:30 p.m. In a roll call vote, the motion carried unanimously.

ADJOURNMENT

Member Hill motioned, Member Stack seconded, adjournment at 7:31 p.m. In a voice vote, motion carried.

\_\_\_\_\_  
Chair

\_\_\_\_\_  
Secretary

WILLIAM RAINEY HARPER COLLEGE  
BOARD OF TRUSTEES OF COMMUNITY COLLEGE DISTRICT #512  
COUNTIES OF COOK, KANE, LAKE, AND McHENRY, STATE OF ILLINOIS

Minutes of the Board Meeting of Wednesday, January 18, 2017

CALL TO ORDER

The regular meeting of the Board of Trustees of Community College District No. 512 was called to order by Chair Dowell on Wednesday, January 17, 2017 at 6:00p.m. in the Wojcik Conference Center (room 214), 1200 W. Algonquin Road, Palatine, Illinois.

Member Stack led the Pledge of Allegiance.

ROLL CALL

Present: Members Greg Dowell, Jim Gallo, Diane Hill, Bill Kelley, Walt Mundt, Nancy Robb, Pat Stack, and Student Member Eric DeBold

Absent: none

Also present: Kenneth Ender, President; Ronald Ally, EVP Finance and Administrative Services; Mike Barzacchini, Director Marketing Services; Patrick Bauer, Chief Information Officer; Bret Bonnsetter, Controller; Laura Brown, Vice President and Chief Advancement Officer; Maria Coons, VP Workforce, Planning and Institutional Effectiveness and Chief of Staff; Brian Knetl, Associate Provost; Darryl Knight, Executive Director Facilities Management; Judy Marwick, Provost; Maria Moten, Assistant Provost; Mark Mrozinski, Executive Dean and Vice President for Workforce and Strategic Alliances; Sheryl Otto, Assistant Provost; Darlene Schlenbecker, Executive Director for Planning, Research and Institutional Effectiveness; Michelé Smith, Associate Provost and Special Assistant to the President for Diversity and Inclusion; Roger Spayer, Chief Human Resources Officer; Jennifer Berne; Kathy Bruce; Atif Bukhari; Orlando Cabrera; Kimberly Chavis; Kathy Coy; Tom Dowd; Amie Grainger; Travaris Harris; Michael Healy; Sunil Koswatta; Rebecca Lake; Paul LeBreck; Kris Piepenburg; Kim Pohl; Kathryn Rogalski; Stuart Schmidt; Evelyn Seiler; Agnieszka Wajda; Randy Walker.

Guests: Phil Gerner, Robbins Schwartz; Tammi Adimi, student; Cary Bez, citizen; Stephen F. Schmidt, citizen; Cindy A. Schmidt, citizen; Maria Wajda, citizen; Robert Wajda, citizen.

AGENDA APPROVAL

Member Hill moved, Member Mundt seconded, approval of the Agenda.

Upon roll call for approval of the Agenda, the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Kelley, Mundt, Robb, and Stack.

Nays: None.

Motion carried. Student Member DeBold advisory vote: aye

PRESENTATIONHarper College Police  
Department Pinning  
Ceremony

Dr. Ender asked Chief LeBreck to conduct the Harper College Police Pinning Ceremony for two new officers.

Chief LeBreck thanked Dr. Ender and the Board for the opportunity to celebrate the beginning of two law enforcement careers, Stuart Schmidt and Agnieszka Wajda. He explained the history and symbolism of the police badge, representing the trust communities place in officers, the partnership it recognizes, and the responsibility it endows. The badge also represents the commitment to their loved ones, the families of police officers who learn to accept the various shifts that they work, the life interruptions, and the missed family events. So this ceremony celebrates not only the start of two law enforcement careers, but the families that support them throughout their career of public service.

LeBreck gave a brief biography of Officer Stuart Schmidt. Officer Schmidt has been with the Harper College Police for 13 years serving as a community service officer. He completed the basic police officer certification training at the Suburban Law Enforcement Academy in Glen Ellyn December 23, and is now being field trained by a veteran Harper Police training officer. Officer Schmidt received his associate degree from Harper College in Law Enforcement Administration and recently earned a bachelor's degree in Management and Leadership from Judson University. Officer Schmidt's fiancé Cary came forward to pin his police badge on his uniform.

Officer Schmidt shared his appreciation for all the opportunities provided to him and his own contributions to his success. He thanked his family for their support and his fiancé Cary, who has been steadfast through this journey.

Deputy Chief Walker shared a brief biography of Officer Agnieszka Wajda. Officer Wajda came to Chicago with her family from Poland in 2002, and graduated from Steinmetz High School in 2003. She joined the United States Navy and served honorably for five years, having two deployments, one in the Middle East and one in Asia. She briefly attended Robert Morris College, switched to Harper College and received an associate's degree in Criminal Justice, and then transferred to National Louis where she earned a bachelor's degree in Criminal Justice. Officer Wajda joined the Harper Police January 9 of this year. The department is glad to have her and Officer Schmidt as part of the police family and the Harper College family as a whole. Her parents, Robert and Maria Wajda, came forward and pinned her police badge.

Officer Wajda thanked the Board for having this ceremony. She expressed her gratitude in being able to serve at Harper.

STUDENT TRUSTEE  
REPORT

Student Member DeBold reported that the semester has begun. He volunteered at a Welcome Week table, and noticed that more students were asking about student services and resources. He noted some things from the *President's Report* that are also concerns of the student body. The uncertainty about the continuation of DACA and what this means to our undocumented students will continue to be a concern, with many different groups figuring out how to strengthen Harper's commitment to those students and find ways to be there for those students. Another concern is the enrollment challenges related to financing the institution with regard to state funding and how we cannot currently rely on that funding source. On a personal note, DeBold was able to attend President Obama's farewell address and how society needs to not lose sight of what has been accomplished. He announced that the two programs he previously mentioned are getting off the ground. Student Aides as Ambassadors is a Center for Student Involvement outreach initiative, and a presentation is being developed that student aides can give to others. This will start first in student club and organization meetings. The other program is Club Council, modeled after the American University Club Council program; he is partnering Becki Suthers and Dean Travaris Harris on this initiative. The council will bring clubs together for collaboration and support, attending each other's events and providing extra hands to get work done. In the past, different clubs hosted different events that were too similar which resulted in lower turn-out because interest was spread too thin. So this will provide traction for action and better use of available resources.

FACULTY SENATE  
PRESIDENT'S REPORT

Faculty Senate President Dowd added onto what Student Member DeBold had said about state funding concerns: that the State short-changes higher education. The State is in fact starving the higher education system and unfortunately this will have an adverse impact because higher education is what keeps the economic motor in this state going—people with college degrees inventing things or starting new businesses or working for Fortune 500 companies in Chicago. If Illinois doesn't have the ability to develop talent in the State of Illinois, then companies are going to go elsewhere and everyone in the State will be suffering. President Dowd updated the Board on faculty contract negotiations with administration representatives. There were two meetings in December, one to establish the ground rules of negotiation and the other to discuss clean-up language in the existing contract. They hope to proceed with these talks over the next few months and come back with a contract proposal that both the Board and the faculty can agree on.

PRESIDENT'S REPORT

Dr. Ender began his report with an Apprenticeship Program presentation and asked Dr. Mark Mrozinski to provide context.

Apprenticeship Program  
At Harper College

Dr. Mrozinski thanked Dr. Ender and the Board for this opportunity to discuss the Apprenticeship Program. An apprenticeship student summed up what this program is and means at the launch of the most recent cohort at Aon's offices in Chicago, "We already have jobs." All of the students starting their coursework for the apprenticeships were also hired that day by Aon. As with all the apprenticeships, their employer will support them through that Apprenticeship Program. The apprenticeship program provides jobs with career paths and the education to achieve them. He asked Dr. Rebecca Lake, Dean of Workforce and Economic Development, to share how Harper became involved in and now runs an Apprenticeship Program.

Lake shared that the grant for the Registered Apprenticeship Program followed closely behind the Illinois Network for Advanced Manufacturing grant. Harper has a \$2.5 million American Apprenticeship grant and is part of a \$1.3 million state expansion grant, for which it also serves on the Board. A registered apprenticeship program ensures that there's quality education combining on-the-job training with related classroom instruction to prepare that student or apprentice for a high-demand career. Apprentices earn a salary on the job and come to Harper College to learn about a specific career and earn a credential. Harper has four federally registered apprenticeship programs leading to Associate in Applied Science degrees: General Insurance (2-year program) leads to an AAS in Business Administration. Industrial Maintenance Mechanic (3-year program), CNC Precision Machining (3-year program), and Supply Chain Management (2-1/2 year program) each lead to an AAS in Advanced Manufacturing Technology.

The benefits for apprentices include guaranteed employment, zero debt at graduation because the companies pay for their courses, and coordinated college course scheduling, which varies by company. Through Apprenticeship-On-Demand, the scheduling is structured to meet the needs of the company and the students. Benefits for companies include filling identified skills gaps, growing their own talent, increasing employee loyalty and reducing turnover, diversifying the workforce and reducing recruiting costs, ensuring their experts train and mentor the new employees to retain the accumulated knowledge, and putting entry-level employees on a career path. Currently in the program, there are 15 Industrial Maintenance Mechanic and CNC Precision Machining apprentices and there are 36 General Insurance apprentices, for a total of 51. There are 14 companies currently working with our apprentices, 12 advanced manufacturing companies and 2 insurance companies. Those companies are listed in the packet that was shared earlier. For FY 17-18, there will be the 51 current apprentices. Verbal and signed

commitments have been made for 24-30 Industrial Maintenance Mechanics and CNC Precision Machining, 12-15 Supply Chain Management registered apprentices, and 12-16 in General Insurances, totaling 48-61 new apprentices, essentially doubling the program. The first cohort of General Insurance registered apprentices will graduate December 2017. The first Industrial Maintenance Mechanic registered apprentices graduate in May 2018.

The ways this program benefits Harper include assisting Harper to fulfill its mission, contributing to College retention and completion rates, expanding our relationships with area companies, and increasing the number of registered apprenticeships across the country. Lake asked Melissa MacGregor, Grants Manager, to share the student support the program offers.

MacGregor explained that the registered apprenticeship programs have a dedicated person to support students in the program. In the Advanced Manufacturing programs there is a coach that sees each apprentice once a week, and in the General Insurance program they are seen every few weeks. The coach also arranges for tutors as necessary. The success of the program is really beginning to show in that some of the companies have made commitments to hire a second apprentice. MacGregor introduced General Insurance apprentice Tammi Adimi, who MacGregor coached at the beginning of her program.

Adimi shared that she started this program to make a career change because she was seeking greater work-life balance. She applied for the program, went through six interviews, and was hired by Zurich as an apprentice. She has learned a lot about the insurance industry already, and will be well-rounded when she finishes this program. She thinks Harper has done a really great job in supporting the apprentices and listening to their feedback. She has maintained good grades and appreciates the planning that is in place in this program. She is grateful Harper has this apprenticeship program opportunity.

In response to Student Member DeBold, Lake explained that the degree would read AAS Degree in Business Administration or Advanced Manufacturing Technology, and national credentials are built into that degree, as well as a registered apprenticeship program certificate.

Member Gallo asked about expansion into other industries, like printing. Lake noted that the Illinois Banking Association has approached Harper and work has begun on developing that apprenticeship, and retail sales apprenticeships for automobile dealerships are being explored. The apprenticeships are

developed based on identified community need, which are then investigated for program viability.

Lake confirmed Member Kelley's query that all participants in the apprenticeship programs are compensated employees. Dr. Ender noted that it's not an option, that apprenticeship connotes a wage and an education. Mrozinski added that it raises the bar for employer demand, because the employer has some skin in the game; the employers need to be willing to invest in both salary and educational costs. In response to Student Member DeBold, Lake explained that the companies pay \$15,000 per apprenticeship to Harper, which covers all tuition, books, fees, and the fee to register the apprenticeship in the federal Department of Labor database. Lake furthered that salaries are set by the employer and are typically at entry-level pay levels for the positions hired with a pay increase of at least a quarter/hour each year with satisfactory progression in their actual work experience.

In response to Student Member DeBold, Lake related the marketing strategies being used, which include the online Harper page with apprenticeship application, admissions outreach efforts that include sending out an email blast about the apprenticeship opportunity to those students who took a first course in business or in any of the advanced manufacturing areas, an Open House the first Tuesday of every month from 6-7:30pm, speaking at career days in high schools, posters in the high schools, and a breakfast for businesses held twice a year.

Dr. Ender continued his President's Report, acknowledging the remarks of both Student Member DeBold and Professor Dowd with respect to the funding issues that we continue to have and the lack of reliability on state revenue. This administration is committed to reducing the dependency on state revenue over the next several years. He also appreciated the remarks about the DACA issue, both on our campus and nationally. It is something that he has thought a lot about lately—that no child chooses where they are born or their parents.

The College has received notification that Harper is now a member of the Frontier Set grant funded by the Gates Foundation, to discover new ways specifically around digital education, developmental education, and advising and counseling, which are all key priorities in our new strategic plan. It means approximately \$630,000 over the next four years to fund these initiatives. We were selected as one of 12 community colleges who will join 20 other institutions both historically black universities, state institutions, land grant institutions, some state-wide systems, and some regional universities. Most notably Georgia State University and Arizona State University, who have demonstrated effective student success strategies; we will learn a lot from them and

hopefully we'll have a few things to offer them as well. And finally, former Secretary of Education Arne Duncan has accepted Harper's invitation to be the commencement speaker for our Spring commencement, and we will present him with an honorary degree from Harper.

HARPER EMPLOYEE COMMENTS

There were no employee comments.

PUBLIC COMMENTS

There were no public comments.

CONSENT AGENDA

Exhibit X-A.8 Room Naming in Recognition of the Rotary Club of Schaumburg-Hoffman Estates was pulled for a separate vote because Member Kelley will be abstaining due to his membership in the Rotary Club of Schaumburg-Hoffman Estates.

Exhibit X-A.9 Approval of the Agreement with Northwest Community Hospital for Capital Funding for the Additional 5,000 Square Feet of Building for the Health Services Center was pulled due to contract delays for legal review and will be presented again at a later Board of Trustees meeting.

Member Kelley moved, Member Stack seconded, approval of the minutes for December 7, 2016 Committee of the Whole meeting and December 14, 2016 Board of Trustees meeting; accounts payable; student disbursements; payroll for November 25, 2016 and December 9, 2016; estimated payroll for December 23, 2016; bid awards; requests for proposals; purchase orders; personnel actions; Board travel; monthly financial statements; Board committee and liaison reports; grants and gifts status report; summary report of items purchased from state contracts, consortiums or cooperatives; as outlined in Exhibits X-A.1 through X-B-4 (attached to the minutes in the Board of Trustees Official Book of Minutes).

Fund Expenditures	Accounts Payable	\$ 4,487,693.12
	Student Disbursements	\$ 134,949.92

The payroll of November 25, 2016 in the amount of \$2,039,103.94; December 9, 2016 in the amount of \$1,981,116.99; and the estimated payroll of December 23, 2016 in the amount of \$2,010,110.47.

Bid Approvals There were no bids for approval.

Request for Proposals There were no requests for proposals.

Purchase Orders Ex. X-A.5.a Approve issuance of a purchase order to Greener Engineering, Inc. for commissioning services for the Building F renovation project, in the amount of

\$72,000.00, as provided in the Operations and Maintenance (Restricted) Fund budget, and is contained in the total Building F Remodeling project budget of \$27,115.000.

- Ex. X-A.5.b Approve a change order to Cannon Design for additional architectural and engineering services for the NCH Health Center in Building M for which NCH will provide funding to Harper College in full, in the amount of \$104,875.00, as provided in the Operations and Maintenance (Restricted) Fund budget, which NCH will fund in full.
- Ex. X-A.5.c Approve issuance of a purchase order to Legat Architects for professional services for the 2017 Building B Boiler Room Improvement Project, in the amount of \$121,057.00, as provided in the Operations and Maintenance (Restricted) Fund budget, and contained within the total project budget of \$1,362,900.
- Ex. X-A.5.d Approve issuance of a purchase order to Legat Architects for professional services for the BEST Lab renovations in Building H, in the amount of \$127,500.00, as provided in the Operations and Maintenance (Restricted) Fund budget, and contained within the project budget of \$1,460,000.
- Ex. X-A.5.e Approve issuance of a purchase order to Grumman/Butkus Associates for professional services for the 2017 utility service tunnel assessment, in the amount of \$31,975.00, as provided in the Operation and Maintenance (Restricted) Fund budget, and contained within the Tunnel Assessment & Feasibility Study project budget of \$200,000.

#### Personnel Actions

##### Faculty Appointment

Rita Gura, Assistant Professor (Physical Therapy), Health Careers,  
02/06/17, \$61,326/year

##### Professional/Technical Appointments

Laurence Matthews, One Stop Advocate, PT, One-Stop Center,  
01/03/17, \$36,298/year

Sarah Nogala, Motorcycle Program Assistant Coordinator, Continuing  
Education, 01/03/17, \$47,000/year (Grant funded)

##### Supervisory/Management Appointments

Michael Vanlandingham, Student Conduct Officer and Outreach Coordinator, Student Affairs, 01/23/17, \$65,731/year  
Robert Grapenthien, Assistant Controller, Accounting Services, 01/11/17, \$112,000/year

Classified Staff Appointments

Thomas Martin, Motorcycle Program Field Coordinator/Mechanic, Continuing Education, 01/04/17, \$36,075/year (Grant funded)  
Pamela Gitta, Administrative Assistant, Grants, Workforce and Economic Development, 01/03/17, \$44,850/year (Grant funded)  
Jacqueline Kramp, Regional Partner Program Specialist, Disability Services, 01/30/17, \$23,000/year  
Cheree Blades, Motorcycle Safety Program Specialist, Continuing Education, 01/17/17, \$33,052/year (Grant funded)

ICOP Appointments

Daniel Kiforiji, Community Service Officer I - Patrol, P/T, Harper College Police Department, 12/12/16, \$21,052/year  
Agnieszka Wajda, Police Officer, Harper College Police Department, 01/09/17, \$41,454/year

IEA/NEA Appointments

Richard Stevens, Groundskeeper, Roads and Grounds, 01/03/17, \$32,178/year  
Mark Chagoya, Custodian, Operations Services, 01/09/17, \$23,296/year

Faculty Separation

Joella Tabaka, Instructor, Health Careers, 01/06/17, 2 years, 5 months

Professional/Technical Separation

Suzanna Laskowski, Instructional Specialist, P/T, Access and Disability Services, 12/12/16, 4 months

Classified Staff Separation

Stephanie Lee, Student Account Representative, P/T, Student Accounts and Receivables, 01/06/17, 3 years 1 month

ICOP Separation

Kevin Stuehler, Community Service Officer II, Harper College Police Department, 12/20/16, 2 years 8 months

Board Travel

Approval of travel related charges for the Board of Trustees, as presented in Exhibit X-A.7. There were no charges for approval this month.

Upon roll call of the Consent Agenda for Approval (without Exhibit X-A.8 and Exhibit X-A.9 which was removed for approval), the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Kelley, Mundt, Robb, and Stack.

Nays: None.

Motion carried. Student Member DeBold advisory vote: aye.

Member Kelley shared that he is currently serving as the president of the Rotary Club of Schaumburg-Hoffman Estates and will be abstaining from voting on this exhibit. Over the past few years this Rotary club has raised some significant funds that are dedicated to non-traditional students, ages 24 and above, who are seeking further education with a focus on certifications. The Rotary Club of Schaumburg-Hoffman Estates has committed \$100,000 to the Harper College Educational Foundation, and plans that this is the first of many. In recognition, the Foundation is naming lab H-146 "The Rotary Club of Schaumburg-Hoffman Estates Crime Lab."

Room Naming in Recognition of the Rotary Club of Schaumburg-Hoffman Estates

Member Gallo moved, Member Robb seconded to approve the recommendation to name the Crime Lab (H-146) the Rotary Club of Schaumburg-Hoffman Estates Crime Lab in recognition of a \$100,000 gift in support of the Rotary Club of Schaumburg-Hoffman Estates Fishing Well Scholarship, as outlined in Exhibit X-A.8.

Upon roll call of the Consent Agenda for Approval, the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Mundt, Robb, and Stack.

Nays: None.

Abstention: Member Kelley

Motion carried. Student Member DeBold advisory vote: aye.

Financial Statements

Review of monthly financial statement as outlined in Exhibit X-B.1.

Board Committee Reports

Alumni Liaison Report: There was nothing to report for January.

Foundation Liaison Report: Member Hill reported that since launching the Pavers for Promise program we have generated \$11,850.00 with 48 pavers. As we move into the 50<sup>th</sup> anniversary celebration year (May 2017 – May 2018), staff will be gearing up the awareness and information on the paver availability. Our goal is to have more than 500 pavers sold by May 2018.

The Educational Foundation's Dream Big--Angel Grant program awarded \$25,000 to the first group of entrepreneurs – two local businesses (Brazil Express Grill and Lush Bites) and one start-up company (RCA – a technology company that performs robotic casting of concrete modules) all who have been involved with Harper College Small Business Development Center. The next

round of awards will occur this spring as Harper Students taking entrepreneurial courses will pitch their ideas to a panel of “shark tank” experts.

Two new scholarships have been created. The Albert and Charlotte Aronson Memorial Scholarship was created by Associate Professor Leslye Smith in memory of her parents. The scholarship is for students in the Radiologic Technology Degree program. The Karen Malec Memorial Scholarship was created by Rich Malec in memory of his wife. The scholarship is for a student in the health careers; preference is for single mothers.

ICCTA Liaison Report: Member Kelley reported that he will be attending the Association of Community College Trustees’ National Legislative Summit in Washington, D.C. in February.

#### Grants and Gifts Status Report

Current status of operational public and private grants to the College, and status of cash donations and in-kind gifts to the Educational Foundation, as outlined in Exhibit X-B.3.

#### Consortium, Cooperative and State of Illinois Contracts Purchasing Status Report

Review of the monthly Consortium, Cooperative and State of Illinois Contract Purchasing Status Report, as outlined in Exhibit X-B.4.

#### NEW BUSINESS

#### Public Hearing Concerning The Intent of the Board of Trustees of the District to Sell Not to Exceed \$5,200,000 Funding Bonds

Chair Dowell announced the next agenda item is a public hearing to receive public comments on the proposal to sell General Obligation Limited Tax Funding Bonds in an amount not to exceed \$5,200,000 for the purpose of paying claims against the District, the same being the District’s Series 2016 debt certificates, the proceeds of which are being used for capital projects. All persons desiring to be heard have an opportunity to present written or oral testimony.

Member Robb moved, Member Hill seconded, to move into the public hearing at 6:51 p.m.

In a voice vote, the motion carried.

Chair Dowell stated that the College will be issuing General Obligation Limited Tax Bonds in an amount not to exceed \$5,200,000 as funding bonds to pay for campus capital improvements. The issuance of the Bonds is in keeping with the College’s plan to issue Limited Tax Bonds every two years to pay for similar expenses and to retire the bonds very quickly – within three years.

Chair Dowell asked for Board comment, written testimony and public comment, of which there was none. He then announced that all persons desiring to be heard have been given an

opportunity to present oral and written testimony with respect to the proposed issuance of the Bonds. None was received.

Member Kelley moved, Member Robb seconded, to adjourn the hearing and resume the Board meeting at 6:53 p.m.  
In a voice vote, the motion carried.

Member Hill moved, Member Mundt seconded, to approve the public hearing regarding the issuance of funding bonds in the amount not to exceed \$5,200,000, as outlined in Exhibit XI-A (attached to the minutes in the Board of Trustees' Official Book of Minutes).

Upon roll call, the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Kelley, Mundt, Robb and Stack.

Nays: None.

Motion carried. Student Member DeBold advisory vote: aye

#### ANNOUNCEMENTS

##### BY CHAIR

Communications

There were no communications

Calendar Dates

Calendar dates are printed on the Agenda for Board information. The next Committee of the Whole Meeting will be Wednesday, February 8, 2017 at 5:00 p.m. in W-216. The next Board of Trustees Meeting will be Wednesday, February 15, 2017 at 6:00 p.m. in W-214.

#### OTHER BUSINESS

Member Stack motioned, Member Gallo seconded, to enter closed session to discuss collective bargaining at 6:53 p.m.

Upon roll call, the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Kelley, Mundt, Robb, and Stack.

Nays: None.

Motion carried. Student Member DeBold advisory vote: aye.

Member Robb motioned, Member Gallo seconded, to adjourn the closed session and re-enter the regular Board meeting at 8:40 p.m.

Upon roll call, the vote was as follows:

Ayes: Members Dowell, Gallo, Hill, Kelley, Mundt, Robb, and Stack.

Nays: None.

Motion carried. Student Member DeBold advisory vote: aye.

#### ADJOURNMENT

Member Stack moved, Member Mundt seconded, to adjourn to adjourn the meeting.

In a voice vote, the motion carried at 8:41 p.m.

\_\_\_\_\_  
Chair

\_\_\_\_\_  
Secretary

**Subject:** Approval of Fund Expenditures

**Recommended by:**

Bret Bonnstetter  
Administrative Services

**Description**

A recommendation is being made to approve fund expenditures as follows:

1. Bills Payable		
Accounts Payable		\$ 5,278,315.24
Student Disbursements		108,295.86
2. Payroll		
12/23/2016		1,962,589.58
01/06/2017		1,518,514.89
01/20/2017		1,661,938.39
3. Estimated Payroll		
02/03/2017		\$ 1,714,347.62

**Information**

Not applicable for this exhibit.

**Rationale**

Not applicable for this exhibit.

**Funding Source**

Not applicable for this exhibit.

**Subject:** Construction Contract for Asbestos Abatement in Building M

**Recommended by:**

Darryl Knight, Executive Director  
Facilities Management

**Description**

A recommendation is being made to award a contract to EHC Industries, Inc. for asbestos abatement in Building M.

**Information**

Asbestos insulation on air handling units and asbestos floor tile mastic were found in Building M which is scheduled for remodeling. The state requires that the abatement of asbestos materials be performed prior to any remodeling work that would affect the asbestos material.

The abatement is scheduled to start and be completed in March 2017.

A bid notice was published in the newspaper and the Harper website. Thirty-four (34) bids were solicited and eight (8) bids were received.

<b>BID RESULTS: Asbestos Abatement in Building M</b>	
<b>EHC Industries, Inc., Wauconda, IL</b>	<b>\$ 68,900</b>
Abel Plus Services, Gilberts, IL	120,000
Colfax Corporation, Chicago, IL	77,800
Midwest Services Group, Downer's Grove, IL	129,890
Nationwide Environmental & Demo. LLC, Park Ridge, IL	80,600
NES, Inc., Mokena, IL	148,000
Universal Asbestos Removal, Lemont, IL	126,141
Cove Remediation, Alsip, IL	Incomplete

Following is a summary of the fees:

Base Bid	\$68,900
Allowance for insulation on air handling units	10,000
Allowance for floor tile mastic	10,000
Allowance for pipe or tank insulation	<u>10,000</u>
Subtotal	98,900
10% Construction Contingency	9,890
Professional Fee	<u>14,663</u>
Total	<u>\$123,453</u>

**Rationale**

EHC, Inc. was selected as the lowest responsible and responsive bidder.

**Funding Source**

Funds in the amount of \$123,453 are provided in the Operations and Maintenance (Restricted) Fund budget. This amount is contained in the total Building M addition and renovation project budget of \$38,000,000.

**Subject:** Construction Contract for Building X Classroom Renovations

**Recommended by:**

Darryl Knight, Executive Director  
Facilities Management

**Description**

A recommendation is being made to award a contract to Builders Land, Inc. for the renovations to classrooms X236A and X236B for the Physical Therapy Lab in Building X.

**Information**

The scope of work will include renovations to classrooms X236A and X236B as required to develop a lab for the Physical Therapy Assistant program.

This project is scheduled to start in March of 2017 and be completed in June of 2017.

A bid notice was published in the newspaper and the Harper website. Sixty (60) bids were solicited and eight (8) bids were received.

<b>BID RESULTS: Building X Classroom Renovations</b>	
<b>Builders Land, Inc., Arlington Heights, IL</b>	<b>\$48,698</b>
Boller Construction Co., Inc., Waukegan, IL	67,200
Carmichael Construction, Inc., Marengo, IL	57,726
Experia Construction Corp., Arlington Heights, IL	60,900
Kandu Construction, Inc., Lincolnwood, IL	93,600
Orbis Construction Co. Inc., Volo, IL	69,200
Stuckey Construction, Waukegan, IL	56,998
Manusos General Contracting, Inc., Fox Lake, IL	Incomplete

Following is a summary of the fees:

Base Bid	\$48,698
10% Construction Contingency	4,870
Architect Fee	22,900
Reimbursables (estimate)	<u>2,000</u>
Total	<u>\$78,468</u>

**Rationale**

Builders Land, Inc. was selected as the lowest responsible and responsive bidder.

**Funding Source**

Funds in the amount of \$78,468 are provided in the Operations and Maintenance (Restricted) Fund budget. This amount is contained in the total project budget of \$139,650. The balance of project funds is budgeted for equipment.

**Subject:** Construction Contracts for Bid Package #1 for Building M Addition and Renovation Project

**Recommended by:**

Darryl Knight, Executive Director  
Facilities Management

**Description**

A recommendation is being made to award the following construction contracts for bid package #1 for Building M addition and renovation project:

- 02.01 – Demolition – Milburn, LLC
- 03.01 – Cast-in-Place Concrete – Elliot Construction Corporation
- 03.02 – Caissons – Michels Corporation
- 04.01 – Masonry – Jimmy' Z Masonry Corporation
- 05.01 – Structural Steel and Miscellaneous Metals – T.A. Bowman Constructors, LLC
- 06.01 – General Trades – Stuckey Construction Company, Inc.
- 06.02 – Carpentry – Hargrave Builders, Inc.
- 06.03 – Tile – Northern Illinois Terrazzo and Tile Company
- 07.01 – Roofing – A-1 Roofing Company
- 08.01 – Aluminum Storefront, Curtain Wall, and Glazing – Lake Shore Glass & Mirror Company, Inc.
- 09.01 – Metal Framing, Drywall and Acoustic Ceilings – Doherty Construction, Inc.
- 21.01 – Fire Protection – United States Alliance Fire Protection, Inc.
- 22.01 – Plumbing – A&H Plumbing and Heating Co., Inc.
- 23.01 – Heating, Ventilation, Air Conditioning and Building Automation – MG Mechanical Contracting, Inc.
- 24.01 – Electrical, Fire Alarm, and Security – Broadway Electric, Inc.
- 31.01 – Excavation, Earthwork and Site Utilities – Lenny Hoffman Excavating, Inc.
- 32.01 – Landscaping – Woodland Commercial Landscape, Inc.

Bid Package #1 consists of the following individual trade packages as defined below:

- 02.01 – Demolition – This package consists of structural and selective interior demolition of existing facility.
- 03.01 – Cast-in-Place Concrete – This package consists of all new interior and exterior foundations and slabs, and all exterior concrete.
- 03.02 – Caissons – This package consists of all new exterior caisson foundation for new addition.
- 04.01 – Masonry and Tuck Pointing – This package consists of all new interior and exterior masonry walls and tuck pointing.

- 05.01 – Structural Steel and Miscellaneous Metals – This package consists of furnishing and installing all structural steel and miscellaneous steel.
- 06.01 – General Trades – This package consists of installing and creating the building envelope, waterproofing foundations, resilient flooring systems, pool upgrades, painting, lockers and elevators.
- 06.02 – Carpentry – This package consists of hollow metal doors, frames and hardware, millwork and all accessories.
- 06.03 – Tile – This package consists of all floor and wall tile.
- 07.01 – Roofing – This package consists of patching and repairing the existing roof system and installing new membrane roof where specified.
- 08.01 – Aluminum Storefront, Curtain Wall, and Glazing – This package consists of all interior and exterior storefront and glazing, as well as all exterior curtain walls.
- 09.01 – Metal Framing, Drywall and Acoustic Ceilings – This package consists of structural and non-structural stud framing, insulation, gypsum board assemblies and acoustical ceiling assemblies.
- 21.01 – Fire Protection – This package consists of modifications to the existing incoming fire main and installation of new fire protection system.
- 22.01 – Plumbing – This package consists of modifications to the existing sanitary and roof drain systems and installation of all new plumbing, piping, and finishes.
- 23.01 – Heating, Ventilation, Air Conditioning and Building Automation – This package consists of modifications to existing HVAC system and all new HVAC systems, ductwork, and associated equipment and insulation.
- 24.01 – Electrical, Fire Alarm, and Security – This package consists of modifications to the existing electrical system and new electrical systems, distribution, power and lighting, as well as all fire alarm and low voltage systems.
- 31.01 – Excavation, Earthwork & Site Utilities – This package consists of all interior and exterior excavation, all exterior earthwork, and site utility work.
- 32.01 – Landscaping – This package consists of all exterior trees, plants, sod and shrubs.

The contract for M.A. Mortenson Construction stipulates this contract is immediately assigned from the Board of Trustees of Harper College to M.A. Mortenson Construction upon approval.

**Information**

This work is scheduled to start March, 2017.

A bid notice was published in the newspaper and the Harper website. Following is a recap of the individual trade bid packages:

Demolition: Ten (10) bids were solicited and six (6) bids were received.

<b>BID RESULTS: Building M Renovations Bid Package #1: 02.01 – Demolition</b>	
<b>Milburn, LLC, Hillside, IL</b>	<b>\$598,900</b>
Alpine Demolition Services, LLC, St. Charles, IL	659,500

KCOM Environmental, Batavia, IL	772,200
Kinsale Contracting Group, Inc., Westmont, IL	857,500
M.A. Mortenson Construction, Itasca, IL	817,000
Robinette Demolition, Inc., Oakbrook Terrace, IL	749,950

Cast-in-Place Concrete: Nine (9) bids were solicited and four (4) bids were received

<b>BID RESULTS: Building M Renovations            Bid Package #1: 03.01 – Cast-in-Place Concrete</b>	
<b>Elliot Construction Corporation, Glen Ellyn, IL</b>	<b>\$1,550,000</b>
Manusos General Contracting, Inc., Fox Lake, IL	1,700,000
M.A. Mortenson Construction, Itasca, IL	1,654,000
Schaeffges Brothers, Inc., Wheeling, IL	1,598,000

Caissons: Seven (7) bids were solicited and two (2) bids were received

<b>BID RESULTS: Building M Renovations            Bid Package #1: 03.02 – Caissons</b>	
<b>Michels Corporation, New Berlin, WI</b>	<b>\$235,016</b>
Stalworth Underground, LLC, Chicago, IL	255,000

Masonry: Fifteen (15) bids were solicited and five (5) bids were received

<b>BID RESULTS: Building M Renovations            Bid Package #1: 04.01 – Masonry</b>	
<b>Jimmy' Z Masonry Corporation, Crystal Lake, IL</b>	<b>\$1,670,400</b>
JAC Masonry, Inc., Lake Villa, IL	1,986,600
J & E Duff, Inc., West Chicago, IL	1,773,750
MPZ Masonry & Construction, Inc., Chicago, IL	1,719,800
Rasco Mason Contractors, Grayslake, IL	2,010,000

Structural Steel and Miscellaneous Metals: Eleven (11) bids were solicited and four (4) bids were received.

<b>BID RESULTS: Building M Renovations            Bid Package #1: 05.01 – Structural Steel and Miscellaneous Metals</b>	
<b>T.A. Bowman Constructors, LLC, Bloomingdale, IL</b>	<b>\$1,892,700</b>
K&K Iron Works, LLC, McCook, IL	2,235,420
S. G. Krauss Co., Elk Grove Village, IL	2,264,000
Waukegan Steel, LLC, Waukegan, IL	2,295,000

General Trades: Fifty-three (53) bids were solicited and three (3) bids were received.

<b>BID RESULTS: Building M Renovations            Bid Package #1: 06.01 – General Trades</b>	
<b>Stuckey Construction Company, Inc., Waukegan, IL</b>	<b>\$4,538,000</b>
Boller Construction Company, Inc., Waukegan, IL	4,608,000
Lamp, Inc., Elgin, IL	4,663,629

Carpentry: Fifty-three (53) bids were solicited and five (5) bids were received.

<b>BID RESULTS: Building M Renovations            Bid Package #1: 06.02 – Carpentry</b>	
<b>Hargrave Builders, Inc., South Elgin, IL</b>	<b>1,148,450</b>
Manusos General Contracting, Inc., Fox Lake, IL	1,470,000
M.A. Mortenson Construction, Itasca, IL	1,185,000
Stuckey Construction Company, Inc., Waukegan, IL	1,199,000
Boller Construction Company, Inc., Waukegan, IL	Withdrawn

Tile: Nine (9) bids were solicited and two (2) bids were received.

<b>BID RESULTS: Building M Renovations            Bid Package #1: 06.03 – Tile</b>	
<b>Northern Illinois Terrazzo &amp; Tile Company, Rockford, IL</b>	<b>\$925,955</b>
Rockford Central Tile & Terrazzo, Co., Inc., Rockford, IL	967,570

Roofing: Sixteen (16) bids were solicited and six (6) bids were received.

<b>BID RESULTS: Building M Renovations            Bid Package #1: 07.01 – Roofing</b>	
<b>A-1 Roofing Company, Elk Grove Village, IL</b>	<b>\$1,083,000</b>
Anthony Roofing Tecta America, LLC, Aurora, IL	1,144,214
Combined Roofing Services, LLC, West Chicago, IL	1,311,000
F & G Roofing Company, LLC, Alsip, IL	1,195,000
G.E. Riddiford Company, Inc., Arlington Heights, IL	1,084,000
L. Marshall, Inc., Glenview, IL	1,145,000

Aluminum Storefront, Curtain Wall, and Glazing: Seventeen (17) bids were solicited and three (3) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 08.01 – Aluminum Storefront, Curtain Wall, and Glazing</b>	
<b>Lake Shore Glass &amp; Mirror Co., Inc., Chicago, IL</b>	<b>\$778,815</b>
Alliance Glazing Technologies, Romeoville, IL	927,190
McHenry Country Glass & Mirror, Inc., McHenry, IL	959,000

Metal Framing, Drywall, and Acoustic Ceilings: Sixteen (16) bids were solicited and two (2) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 09.01 – Metal Framing, Drywall and Acoustic Ceilings</b>	
<b>Doherty Construction, Inc., Woodstock, IL</b>	<b>\$1,646,330</b>
M.A. Mortenson Construction, Itasca, IL	1,935,000

Fire Protection: Forty-three (43) bids were solicited and four (4) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 21.01 – Fire Protection</b>	
<b>United States Alliance Fire Protection, Inc., Lake Forest, IL</b>	<b>\$368,000</b>
Absolute Fire Protection, Inc., Rockford, IL	369,900
Great Lakes Plumbing and Heating Company, Chicago, IL	489,300
Nelson Fire Protection, Rockford, IL	427,209

Plumbing: Eleven (11) bids were solicited and five (5) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 22.01 – Plumbing</b>	
<b>A&amp;H Plumbing and Heating Co., Inc., Elk Grove Village, IL</b>	<b>\$1,878,000</b>
Chas F. Brucker & Son, Inc., Chicago, IL	1,966,660
DeFranco Plumbing, Inc., Palatine, IL	2,123,559
Jensen's Plumbing & Heating, Inc., Woodstock, IL	1,982,300
O'Sullivan Plumbing Contractors, Inc., Mokena, IL	2,400,000

Heating, Ventilation, Air Conditioning and Building Automation: Thirty-three (33) bids were solicited and eight (8) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 23.01 – Heating, Ventilation, Air Conditioning and Building Automation</b>	
<b>MG Mechanical Contracting, Inc., Woodstock, IL</b>	<b>\$3,611,500</b>
Amber Mechanical Contractors, Inc., Alsip, IL	4,458,000
F. E. Moran, Inc., Downers Grove, IL	4,028,000
Flo-Tech Mechanical Systems, Inc., Addison, IL	3,967,500
Hayes Mechanical, LLC, Chicago, IL	4,583,747
Mechanical Inc., Freeport, IL	4,860,500
The YMI Group, Inc. Elk Grove Village, IL	4,371,512
Quality Control Systems Inc., Crete, IL	Withdrawn

Electrical, Fire Alarm, and Security: Twenty-three (23) bids were solicited and six (6) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 24.01 – Electrical, Fire Alarm, and Security</b>	
<b>Broadway Electric, Inc., Elk Grove Village, IL</b>	<b>\$3,666,200</b>
Aldridge Electric, Inc. Libertyville, IL	4,650,300
Fitzgerald's Electric Contracting, Inc., Big Rock, IL	4,480,710
Hy-Power Electric Company, New Lenox, IL	3,863,800
McWilliams Electric Company, Inc., Schaumburg, IL	4,297,197
Titan Electric, LLC, Itasca, IL	4,357,906

Excavation, Earthwork, and Site Utilities: Twelve (12) bids were solicited and four (4) bids were received.

<b>BID RESULTS: Building M Renovations</b> <b>Bid Package #1: 31.01 – Excavation, Earthwork, and Site Utilities</b>	
<b>Lenny Hoffman Excavating, Inc., Wilmette, IL</b>	<b>\$1,105,931</b>
DK Contractors, Inc., Pleasant Prairie, WI	1,141,815
Glenbrook Excavating & Concrete, Inc., Wauconda, IL	1,500,000
Maneval Construction Co., Inc., Ingleside, IL	1,235,000

Landscaping: Five (5) bids were solicited and two (2) bids were received.

<b>BID RESULTS: Building M Renovations            Bid Package #1: 32.01 - Landscaping</b>	
<b>Woodland Commercial Landscape, Inc., Naperville, IL</b>	<b>\$228,000</b>
Landworks Limited, Bolingbrook, IL	317,585

A summary of the bid packages is as follows:

<u>Trade</u>	<u>Bid</u>
02.01 – Demolition	\$598,900
03.01 – Cast-in-Place Concrete	1,550,000
03.02 – Caissons	235,016
04.01 – Masonry and Tuck Pointing	1,670,400
05.01 – Structural Steel and Miscellaneous Metals	1,892,700
06.01 – General Trades	4,538,000
06.02 – Carpentry	1,148,450
06.03 – Tile	925,955
07.01 – Roofing	1,083,000
08.01 – Aluminum Storefront, Curtain Wall, and Glazing	778,815
09.01 – Metal Framing, Drywall and Acoustic Ceilings	1,646,330
21.01 – Fire Protection	368,000
22.01 – Plumbing	1,878,000
23.01 – Heating, Ventilation, Air Conditioning and Building Automation	3,611,500
24.01 – Electrical, Fire Alarm, and Low Voltage	3,666,200
31.01 – Excavation, Earthwork and Site Utilities	1,105,931
32.01 – Landscaping	<u>228,000</u>
Subtotal	26,925,197
Construction Management Fees	775,073
General Conditions	1,943,444
General Requirements	1,213,746
Bonds and Insurance	<u>920,521</u>
Subtotal	31,777,981
10% Contingency	3,177,798
Architectural Fee (Construction Administration & Alternates)	<u>511,316</u>
Total	<u>\$35,467,095</u>

## **Rationale**

The following contractors were selected as the lowest-responsible and responsive bidders:

- 02.01 – Demolition – Milburn, LLC
- 03.01 – Cast-in-Place Concrete – Elliot Construction Corporation
- 03.02 – Caissons – Michels Corporation
- 04.01 – Masonry – Jimmy' Z Masonry Corporation
- 05.01 – Structural Steel and Miscellaneous Metals – T.A. Bowman Constructors, LLC
- 06.01 – General Trades – Stuckey Construction Company, Inc.
- 06.02 – Carpentry – Hargrave Builders, Inc.
- 06.03 – Tile – Northern Illinois Terrazzo and Tile Company
- 07.01 – Roofing – A-1 Roofing Company
- 08.01 – Aluminum Storefront, Curtain Wall, and Glazing – Lake Shore Glass & Mirror Company, Inc.
- 09.01 – Metal Framing, Drywall and Acoustic Ceilings – Doherty Construction, Inc.
- 21.01 – Fire Protection – United States Alliance Fire Protection, Inc.
- 22.01 – Plumbing – A&H Plumbing and Heating Co., Inc.
- 23.01 – Heating, Ventilation, Air Conditioning and Building Automation – MG Mechanical Contracting, Inc.
- 24.01 – Electrical, Fire Alarm, and Security – Broadway Electric, Inc.
- 31.01 – Excavation, Earthwork and Site Utilities – Lenny Hoffman Excavating, Inc.
- 32.01 – Landscaping – Woodland Commercial Landscape, Inc.

## **Funding Source**

Funds in the amount of \$35,467,095 are provided in the Operations and Maintenance (Restricted) Fund budget. This amount is contained in the total Building M Addition and Renovation Project budget of \$38,000,000.

**Subject:** Approval of Requests for Proposals

**Recommended by:**  
Bret Bonnstetter, Controller  
Accounting Services

**Description**

There are no requests for proposals this month.

**Information**

Not applicable to this exhibit.

**Rationale**

Not applicable to this exhibit.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Commissioning Services for the Building M Addition and Renovation Project

**Recommended by:**

Darryl Knight, Executive Director  
Facilities Management

**Description**

A recommendation is being made to issue a purchase order to Greener Engineering, Inc. for commissioning services for the Building M addition and renovation project.

**Information**

It is important to commission the building to provide a thorough and comprehensive testing of the mechanical system's performance. The scope of services includes commissioning of HVAC equipment, domestic water heating and distribution, lighting controls, and building automation systems.

This work is scheduled to start in March, 2017 and be completed by August, 2019. The not-to-exceed cost to retain Greener Engineering, Inc. is \$72,000.

**Rationale**

Greener Engineering, Inc. is best qualified to provide commissioning services for the Building M addition and renovation project as they have completed the preconstruction commissioning services for Building M.

**Funding Source**

Funds in the amount of \$72,000 are provided in the Operations and Maintenance (Restricted) Fund budget. This amount is contained in the total Building M addition and renovation project budget of \$38,000,000.

**Subject:** Consultant to Plan, Design, Create and Implement Outreach Materials and Activities for Apprenticeship Program

**Recommended by:**  
Maria Coons, Vice President

### **Description**

A recommendation is being made to accept a proposal from Exclaim to plan, design, and create appropriate outreach materials and activities focused on growing the Harper Registered Apprenticeship programs, and issue a Purchase Order for this body of work.

### **Information**

In October 2013, as part of an eight community college consortium, Harper College was awarded a \$1,151,774 Trade Adjustment Community College Career Training (TAACCCT) grant. This four-year grant titled the Leveraging, Integrating, Networking, Coordinating Supplies (LINCS) in Supply Chain Management, is managed by Broward College in Fort Lauderdale, Florida. In October 2015, Harper College was awarded a \$2.5 million American Apprenticeship Initiative (AAI) grant. This five-year grant focuses on increasing the number of US registered apprentices and registered apprenticeship programs. Companies are looking for ways to fill their skills gaps and job openings. Students interested in supply chain management and related fields in advanced manufacturing may enter these types of careers through Registered Apprenticeship programs. Harper, utilizing LINCS and AAI grants, can assist both companies and individuals in our district by launching and growing Registered Apprenticeship Programs.

In order to inform companies and potential apprentices in the Harper district about the scope and benefits these careers, a targeted plan defining, designing, and creating appropriate outreach materials and activities is required. These endeavors are needed to ensure the grant outcomes can be met.

### **Rationale**

A request for proposal was issued in December, 2016. Exclaim Tours was selected based on the evaluation criteria established in the RFP. The goal of this project is to build on the creative work already completed and ensure consistency. Overall objectives of project include the following: expansion of the Harper supply chain management and apprenticeship branding; continuous enhancement and upgrading of the Harper apprenticeship website; design and implementation of variety of outreach materials and media targeting these two grant activities. The capability for tracking website and social media analytics in order to document results is required.

**Funding Source**

Funds in the amount of \$250,000 are being funded through the AAI and TAACCCT LINCS grants.

**Subject:** Proposed Change Item for the Building F Renovation Project

**Recommended by:**

Darryl Knight, Executive Director  
Facilities Management

**Description**

A recommendation is being made to approve the proposed change item to Pepper Construction for the Building F Renovation Project.

**Information**

This change order is the award of Alternate Bid for acoustical ceiling baffles and lighting at the main entrance lobby and the student study spaces located at the northwest corner of the second and third floors. This change will include upgraded finishes and additional acoustical control in these areas. This was bid as an alternate so it could be included in the project if funding became available. The Construction Manager and Architect have recommended this alternate be funded from the project contingency.

A change order will be issued to Pepper Construction for the acoustical baffles and lighting for the Building F Renovation Project in the amount of \$120,245 since the contracts for this project were assigned to Pepper Construction.

Metal Framing and Drywall	\$20,550
Painting	4,800
Fire Protection	3,330
HVAC	32,950
Electrical	53,340
CM Services	<u>5,275</u>
Total	<u>\$120,245</u>

**Rationale**

Board Approval is required for individual changes in excess of \$50,000 or 10% of the contract, whichever is less.

**Funding Source**

Funds in the amount of \$120,245 are provided Operations and Maintenance (Restricted) Fund. The amount of this change will be deducted from the project contingency.

**Subject:** Professional Services Change Order for Leadership in Energy & Environmental Design (LEED) certification of Building M Addition and Renovation Project

**Recommended by:**  
Darryl Knight, Executive Director  
Facilities Management

### **Description**

A recommendation is being made to authorize a change order to Cannon Design for architectural and engineering services for LEED Silver Certification for the Building M addition and renovation project.

### **Information**

To meet the American College & University Presidents' Climate Commitment (ACUPCC), the College established a policy that all new campus construction and renovation projects at Harper will meet LEED Silver standards. This change order will include services and fees to register and submit the project to US Green Building Council (USGBC) for LEED Silver Certification.

The cost to retain Cannon Design for this change order is \$93,000. Following is a summary of the fees:

Base Fee	\$78,200
Reimbursables (estimate)	<u>15,000</u>
Total	<u>\$93,200</u>

### **Rationale**

Board Approval is required for individual changes in excess of \$50,000 or 10% of the contract, whichever is less.

### **Funding Source**

Funds in the amount of \$93,200 are provided in the Operations and Maintenance (Restricted) Fund budget. This amount is contained in the total Building M addition and renovation project budget of \$38,000,000.

**Subject:** Approval of Personnel Actions

**Recommended by:**

Roger Spayer,  
Chief Human Resources Officer

**Description**

A recommendation is being made to approve monthly personnel actions.

**Information**

Not applicable to this Exhibit.

**Rationale**

Not applicable to this Exhibit.

**Funding Source**

Not applicable to this Exhibit.

**Subject:** Approval of Board Travel

**Recommended by:**

Maria Coons, Board Liaison  
President's Office

**Description**

Approval of Board travel expenses is required by the State of Illinois HB#4379, as of January 2017.

**Information**

In compliance with State law Local Government Travel Expense Control Act, Harper College presents the travel expenses for Board activities for approval.

**Rationale**

The Board has deemed these travel expenses necessary to support Board functions.

**Funding Source**

Funding for these items is provided for in the Education Fund (Fund 1).

**Subject:** Adoption of the Harper College Campus Master Plan 2016 Update

**Recommended by:**

Darryl Knight, Executive Director  
Facilities Management

**Description**

A recommendation is being made to adopt the Harper College Campus Master Plan 2016 Update (Update).

**Information**

In January 2016, the College commissioned Perkins Eastman to assist with an update of the 2010 Campus Master Plan (Master Plan) to confirm the space and programmatic needs of the College and prioritize associated capital investments over the latter years of the Master Plan. The College will submit the Update to the Illinois Community College Board in the form of an addendum.

The Update includes the following sections:

- Executive Summary
- Section 1 - 'Proposed Campus Plan and New Buildings' discusses strategic initiatives and plans for growth requiring new buildings or additions.
- Section 2 - 'Proposed Renovations' discusses programs and growth supported by either complete building renovations or smaller selective interior renovation projects.
- Section 3 – 'Proposed Phasing' identifies phasing for the proposed projects.

The Update articulates specific actions to achieve Harper's goals and provides a strategy to continue the momentum established in the Master Plan to guide future capital investment in the campus.

**Rationale**

The Illinois Community College Board requires the College to update their master plan every five years.

**Funding Source**

Funding for projects identified in the Campus Master Plan 2016 Update will need to be determined.



William Rainey Harper College

**CAMPUS MASTER PLAN  
2016 UPDATE**

FEBRUARY 15, 2017

# Participants

## Harper College Board of Trustees

Gregory Dowell, *Chair*  
Walt Mundt, *Vice-Chair*  
Dr. Nancy Robb, *Secretary*  
James Gallo  
Diane Hill  
William F. Kelley  
Pat Stack  
Eric DeBold, *Student Trustee*

## President & Executive Council

Dr. Kenneth Ender, *President*  
Dr. Ronald Ally, *Executive Vice President of Finance and Administrative Services*  
Laura Brown, *Vice President and Chief Advancement Officer*  
Dr. Maria Coons, *Chief of Staff and Vice President of Workforce, Planning and Institutional Effectiveness*  
Dr. Judith Marwick, *Provost*  
Michelé Smith, *Associate Provost/Special Assistant to the President for Diversity and Inclusion*

## Master Plan Update – Information Gathering Members

### *Steering Committee*

Dr. Kenneth Ender, *President*  
Dr. Ronald Ally, *Executive Vice President of Finance and Administrative Services*  
Dr. Maria Coons, *Chief of Staff and Vice President of Workforce, Planning and Institutional Effectiveness*  
Dr. Judith Marwick, *Provost*

### Academic Divisions

Dr. Jennifer Berne, *Dean of Liberal Arts*  
Dr. Kathy Bruce, *Dean of Mathematics and Science*  
Kimberly Chavis, *Dean of Health Careers*  
Dr. Travaris Harris, *Dean of Student Affairs*  
Brian Knetl, *Associate Provost of Interdisciplinary Student Success*  
Dr. Rebecca Lake, *Dean of Workforce and Economic Development*  
Dr. Mark Mrozinski, *Executive Dean and Assistant Vice President for Workforce and Strategic Alliances*  
Dr. Mary Beth Ottinger, *Dean of Career and Technical Programs*  
Kathryn Rogalski, *Dean of Business and Social Science*

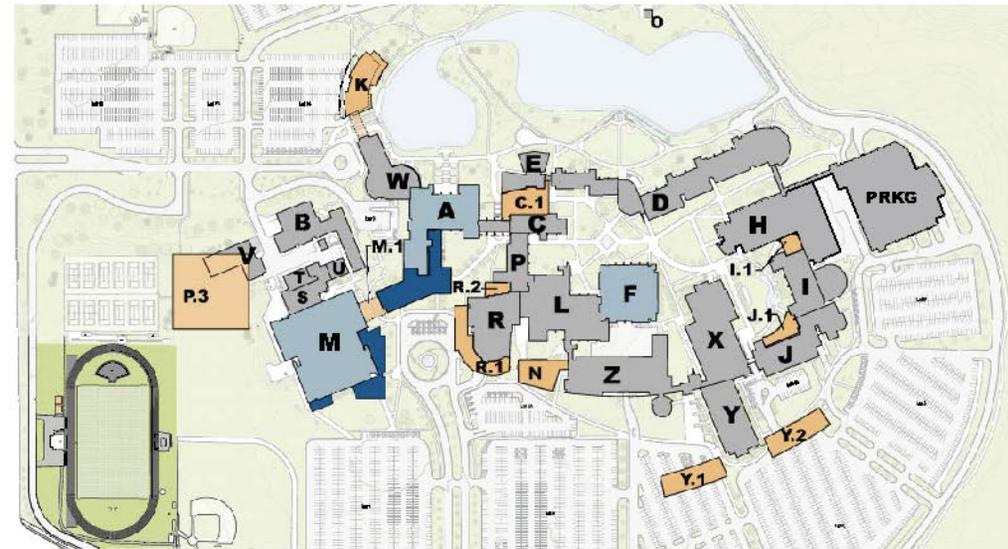
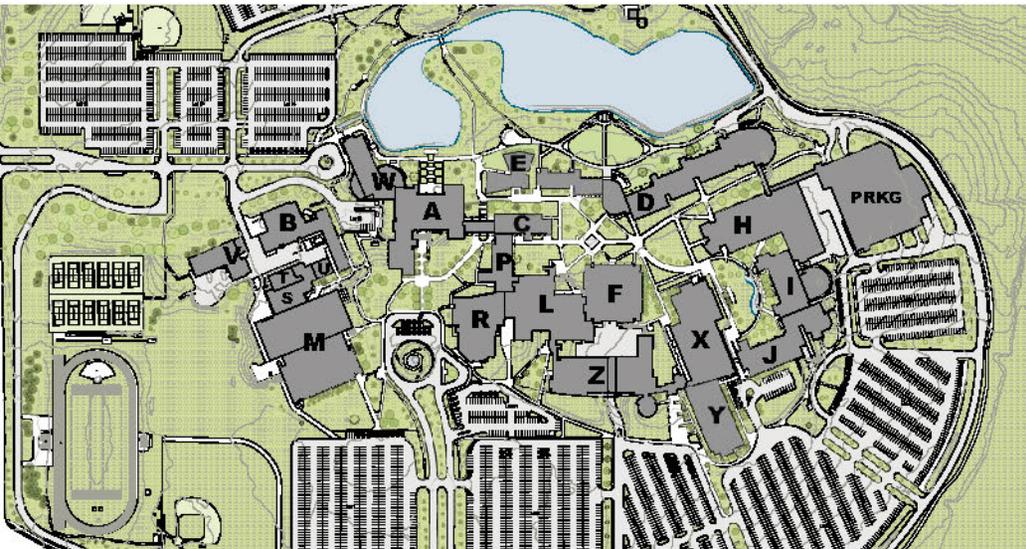
### Facilities & Planning

Darryl Knight, *Executive Director of Facilities Management*  
Stephen Petersen, *Campus Architect*

## Master Plan Update Team

Perkins Eastman

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## *Executive Summary*

# Executive Summary

In 2010, William Rainey Harper College (“Harper College” or “the College”) commissioned a Campus Master Plan (the “Master Plan” or the “Plan”) to guide the development of its campus and facilities through 2020. In the six years since, the College has made great strides in realizing the vision established within the Master Plan. Realizing this vision has entailed the design and construction of several of the projects underpinning the Plan’s first two phases.

In 2016, at the half-way point in the plan, the College commissioned Perkins Eastman to assist with an update (the “Update”) of the Master Plan to confirm the space and programmatic needs of the College and prioritize associated capital investment over the latter years of the Plan and beyond. Harper College will submit the Update to the Illinois Community College Board in 2017.

The work accomplished between 2010 and 2016 included the completion of several major projects on the North-East end of the campus. These include

the modernization and additions to Buildings D and H, the reconfiguration of surface parking lots to the North and East, and the construction of a parking structure.

These projects advanced the Plan’s goals to modernize laboratory and classroom space on campus.

Building D’s modernization added significant new space enhancing the informal and collaborative space on campus, fostering the goal to create a more collegiate and student-oriented environment. Building D has provided an identity for the Math Department along with new classroom spaces and a math lab.

Further progress on the Plan will be achieved through the modernization and additions to Buildings A, F, and M. These projects are targeted at enhancing student services, resources for learning, recreation, health and wellness opportunities on campus.

From this vantage point in 2016, looking forward to 2020 and beyond,

the College identified several common themes for the Update. These are:

## **Sustain the Harper Spirit:**

Continue working towards an effective 21st century learning environment and a welcoming campus.

## **Support Growth:**

Identify and create room for academic programs to meet current and projected needs.

## **Allow Flexibility:**

Identify growth opportunities through renovations that allow programs to respond to changing pedagogies.

## **Reflect the 21st Century Workplace:**

Create opportunities for collaborative and informal group study spaces. Create an inspired learning environment built around a cost-effective and sustainable approach to additions and renovations.

Building upon these themes, this Update assesses the current growth



Rendering generated for 2010 Master Plan



Rendering generated for 2010 Master Plan

needs and synergies between programs. The Master Plan outlined the opportunities for growth in two broad categories: Proposed New Buildings and Proposed Renovations.

This Update follows the same format for discussions and a presentation of the outcomes. The Update is presented in the following sections:

### Section 1

'Proposed Campus Plan and New Buildings' discusses strategic initiatives and plans for growth requiring new buildings or additions to buildings.

### Section 2

'Proposed Renovations' discusses programs and growth supported by either complete building renovations or smaller selective interior renovation and reconfiguration projects.

### Section 3

'Proposed Phasing and Summary of Costs' includes a summary of project costs by phase, adjusted for escalation to year 2020. The

three phases are listed separately as Priorities 1, 2 and 3, and the proposed phasing is supported by a series of campus maps indicating the projects assigned to each phase.

### Appendix A

The Appendix includes the Phasing Plans from the 2010 Master Plan as a reference.

To meet existing and projected departmental needs and program changes, the first priorities of this Update focus on significant renovations and minor additions.

### Sustainability

The Plan reflects that the renovation and modernization of existing facilities, in most cases, affords the College better value than replacement. Typically, existing structure, foundations and selective systems can be retained resulting in shorter construction timeframes and lower general conditions costs.

Harper continues its commitment to sustainability through building and renovating to LEED® Silver standards.



2016 Master Plan Update: Proposed Additions (YELLOW); Complete Building Renovations (DARK GREEN); Selective Renovations (LIGHT GREEN)



2016 Existing Buildings (GREY); Recently Completed Renovations + Additions (RED); Budgeted Additions (DARK BLUE) and Budgeted Renovations (LIGHT BLUE)

### Update to the space program

The Master Plan allocated the need for new space into two basic categories: Academic spaces and Support spaces.

The Update extends the projections through the first two phases and represents the current and projected space demands in the graphic below:

Academic Space comprises Division and Department Offices for faculty and academic staff, specialized Class Laboratories, and General Classrooms.

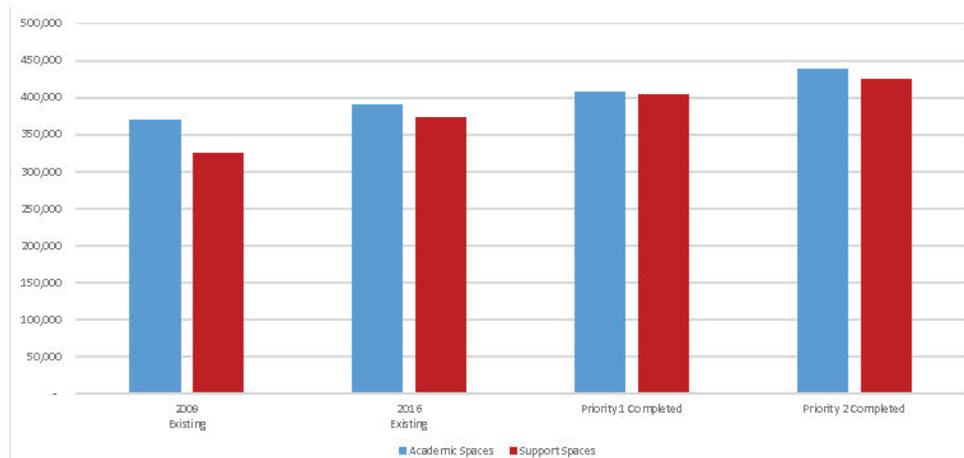
Support Space comprises Academic

Resources such as Libraries, Tutoring and Counseling, Student Services and Student Activities, Assembly, Athletics; Technology, Administrative and Campus Services. It also comprises Informal Student Study Spaces and Collaboration Areas.

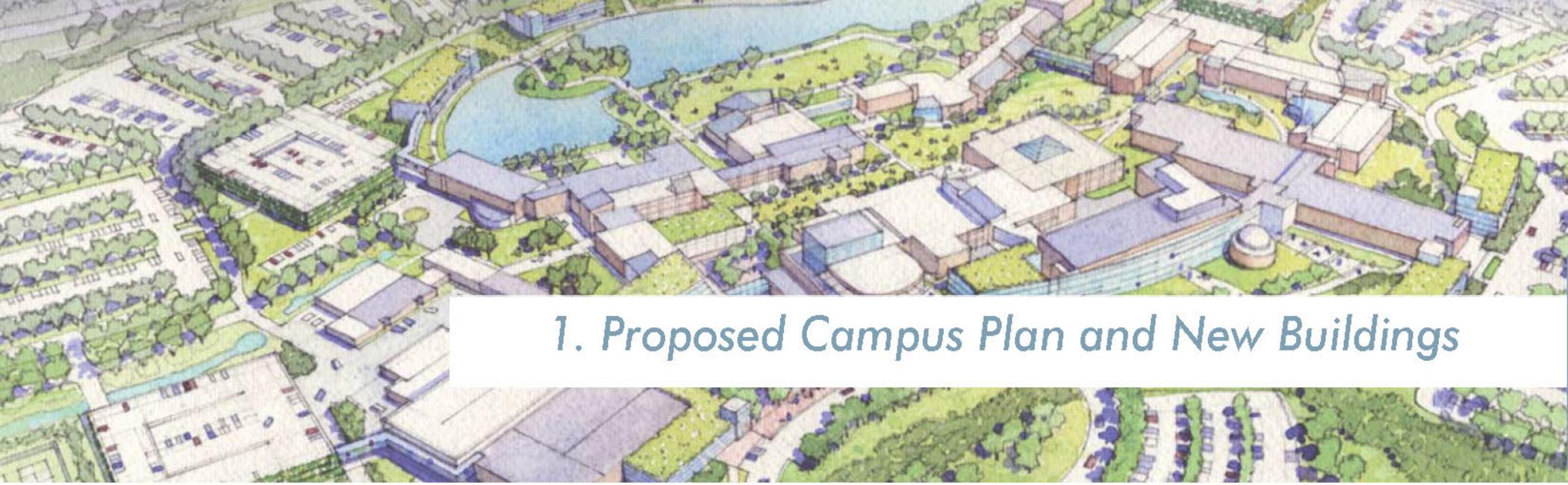
With the new parking structure and renovated surface lots, the current parking needs have been addressed.

The Update articulates specific actions to achieve Harper's goals and provides a cohesive strategy to continue the momentum established in the Master

Plan to guide capital investment in the campus to 2020 and beyond.



Current and Projected Academic and Support Needs as outlined in The Update (Net Assignable SFT)



## *1. Proposed Campus Plan and New Buildings*

# Proposed Campus Plan and New Buildings

## Space for Academic Programs to meet Current and Future Needs

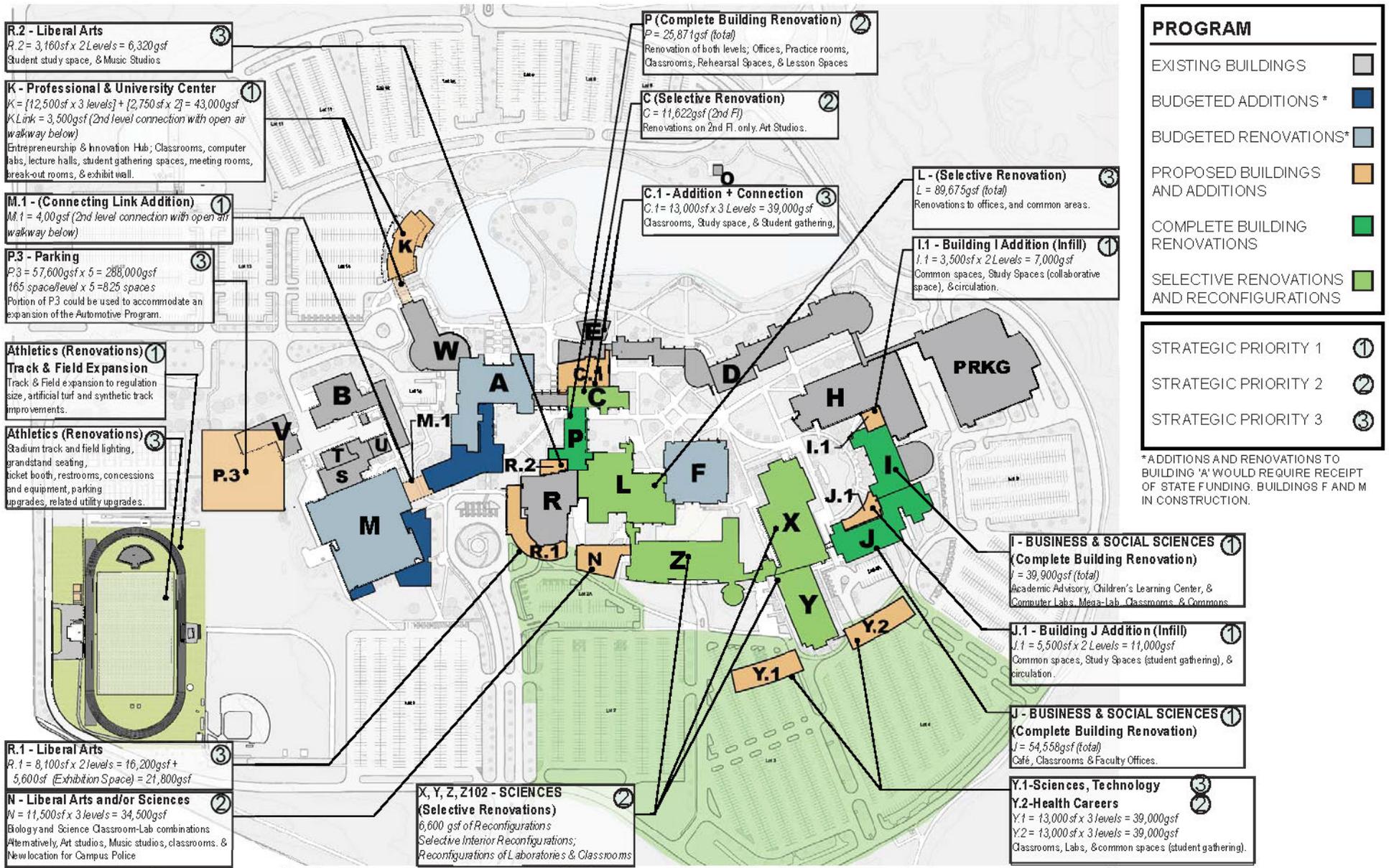
Harper College's need for academic space through 2020 will continue to be met by a variety of means, including new buildings, additions and the renovation to existing buildings. The following pages discuss the new construction projects prioritized within the Update.

## Creating Room for Growth

The review of the Master Plan relative to current needs and resources was approached by applying the following filters:

- Identify strategic new growth initiatives that could and should be supported in the Update.
- Identify on- or off-campus programs and offerings that will benefit from a consolidation.
- Determine, in each case, if space and programmatic needs are better addressed through a renovation/ addition project as compared to a new building.
- Enhance the synergies between existing programs.
- Encourage interaction through connections between buildings.





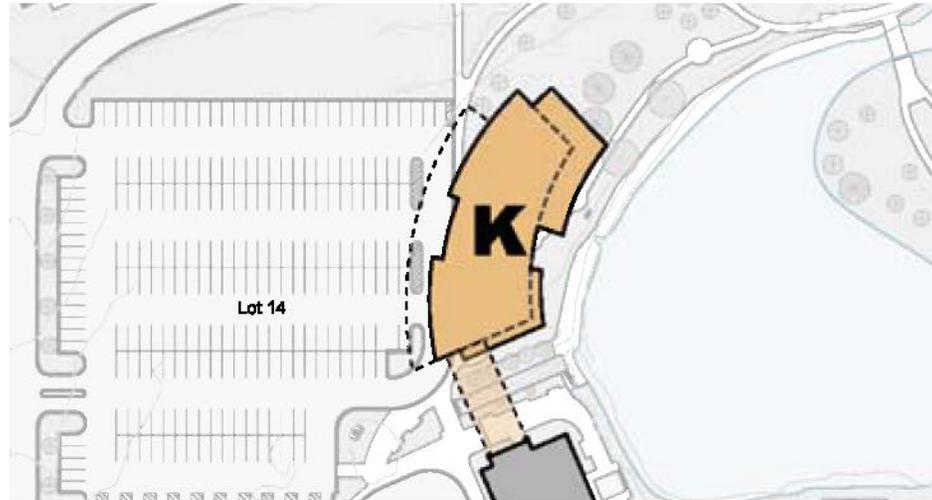
## Proposed Campus Plan with Priorities (Additions & Renovations)

## University and Professional Center housed in proposed New Building K

Initially proposed in the 2010 Campus Master Plan as Building Q, this new building's programming has evolved in the Update to house the combined programs of the University Center and the Professional Center. The building will also be the ideal location for the proposed Regional Innovation and Entrepreneurship Hub.

Benefits at this location will include:

- Allowing the College to support and encourage collaboration with local businesses.
- Supporting the 3+1 programs by providing better access to other resources on the main campus.
- Utilizing this area of the campus consistently throughout the academic week.
- Selling the Harper Professional Center (HPC) and moving those programs to the Main Campus.
- Consolidating resources and courses currently distributed between the main campus and the HPC.
- Keeping General Education students on campus further



enhancing student interaction.

### Space needs:

- The proposed 43,000 GSF new building will be distributed across 3 floors and provide the following:
  - Classrooms (4,500 NSF)
  - Computer labs (3,000 NSF)
  - Student gathering and informal collaborative work spaces (6,600 NSF)
  - Lecture halls (2,500 NSF)
  - Faculty offices (2,400 NSF)
  - Exhibit/welcome/alumni space (2,000 NSF)
  - Large and small meeting spaces
- that will be used during job and career fairs. (6,000 NSF)
- The Innovation and Entrepreneurship Hub with offices, large meeting rooms and breakout spaces. (2,000 NSF)
- The Testing and Certification Center (currently well utilized). (2,000 NSF)
- Building K will be designed for daytime and evening access including weekends.
- Connecting link at the 2nd floor to Building W, with an

open walkway below. (3,500 GSF)

### Current status:

Harper currently partners with four universities to provide 3+1 degrees in Nursing (Benedictine and Northern Illinois), General Studies (Eastern Illinois), and Criminal Justice (Governors State).

### Location:

The proposed location on the northwest side of the lake provides for:

- Distinct branding by virtue of its prominent site and proximity to ample parking.
- A single building to replace the two separate buildings that were proposed in the 2010 Master Plan. The Campus Safety Center is no longer a need.

Interim location: The Innovation Hub could function within renovated Buildings I and J until Building K is completed. This interim location would offer convenient proximity to other disciplines like Business, Management, and Manufacturing and Design disciplines.

**Sciences and or Liberal Arts Expansion - New Building N**

Previously named Building L1 in the Master Plan, the renamed Building N adds 16,200 GSF of space and provides connectivity to both Buildings Z and L. At this location Building N can provide space for departmental growth in the Liberal Arts and/or Science programs located in these adjacent buildings.

Building N can also house the Campus Police Department, providing it with a central, accessible and easily identifiable location.

Classrooms, Labs, Faculty Offices - 12,100 NSF

Student Support Spaces, Meeting Rooms, Informal Study Areas, Collaboration Areas, Campus Police - 12,100 NSF

**New Parking Garage - Building P.3**

This new parking structure will be located where it best serves programs housed within Building M.

The parking structure's priority will be deferred until need for additional parking is demonstrated.

**Future New Buildings Y.1 and Y.2**

The Master Plan proposed that two new wings be added to the Avanté Center to accommodate future growth in Health Careers, the Math and Science Division, and related technology careers.

The need for Buildings Y.1 and Y.2 (39,000 GSF each) and their location remain as outlined in the Master Plan.

Health careers continues to show strong enrollments. Growth is expected with the addition of new programs such as Respiratory Therapy, Computerized Axial Tomography, and Occupational

Therapy.

**Y.1 Math and Sciences:**

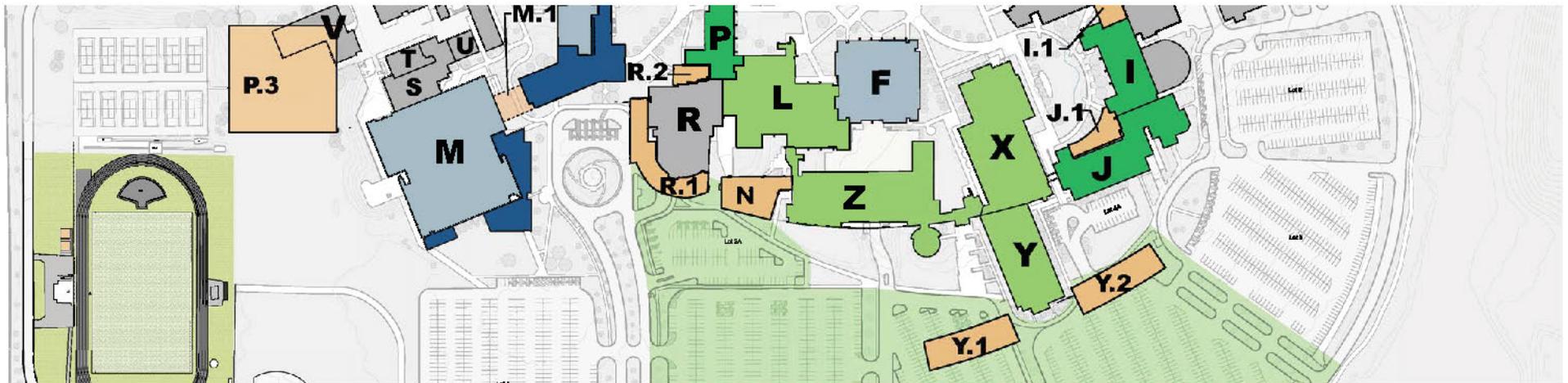
Labs, classrooms, offices -16,400 NSF

Student Support Spaces, Commons, Informal Study Areas, Collaboration Areas - 10,900 NSF

**Y.2 Health Careers:**

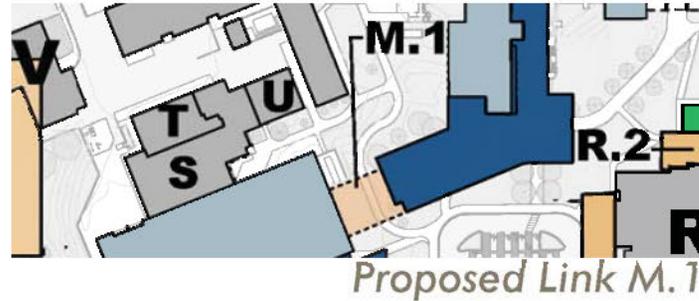
Labs, classrooms, offices -16,400 NSF

Student Support Spaces, Commons, Informal Study Areas, Collaboration Areas - 10,900 NSF

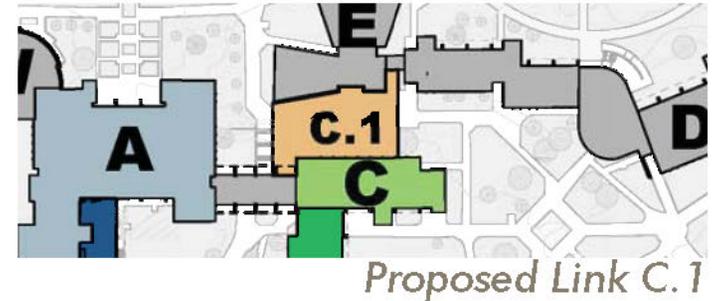


### Campus Connections and informal use areas

The addition of a few select circulation links will complete connections between a majority of the buildings on campus, improving access for students and visitors.



Proposed Link M.1



Proposed Link C.1

### Building C.1 - Link connecting Building C to Building E:

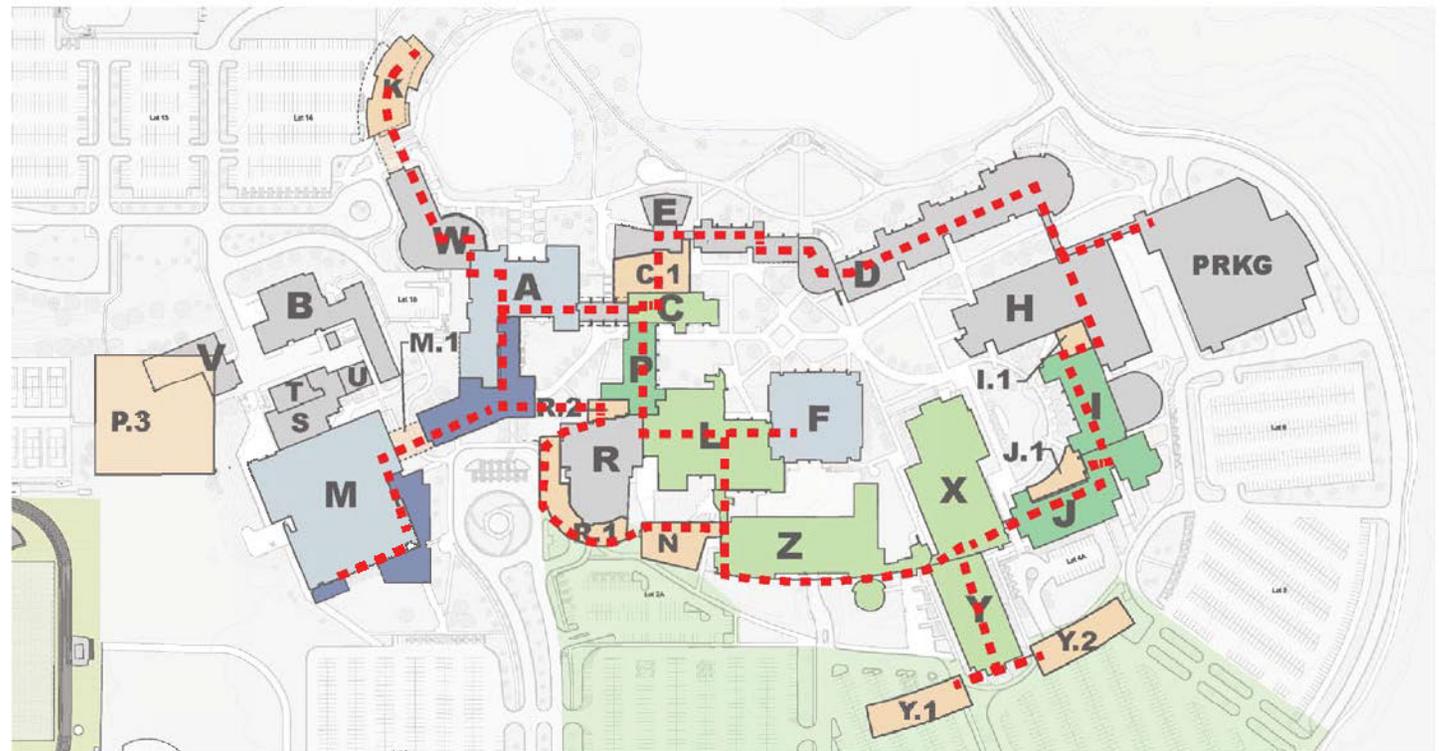
The proposed addition of Building C.1 (39,000 GSF) as identified in the Master Plan will provide for additional classrooms, informal study space, and student gathering space distributed over multiple levels.

Classrooms, Lecture Rooms - 13,700 NSE

Student Support Spaces, Commons, Informal Study Areas, Collaboration Areas - 13,700 NSE

### Building M.1 - Link connecting Building A to Building M:

The proposed addition of Building M.1 (4,000 GSF) as identified in this



Proposed Connections

Update will provide for a one-level connecting link between buildings, with an open walkway colonnade between columns below. The link will act as an informal study space for students.

Informal Study Space - 2,800 NSF.

### **Harper Learning and Career Center (“LCC”)**

The LCC is located at 1375 Wolf Road, Prospect Heights.

Programming offered at LCC focuses on community relations, continuing education, job placement and fairs, student recruitment drives, conferences, exhibits, and shows.

The location is considered a community resource for serving the Eastern portion of the Harper College district.

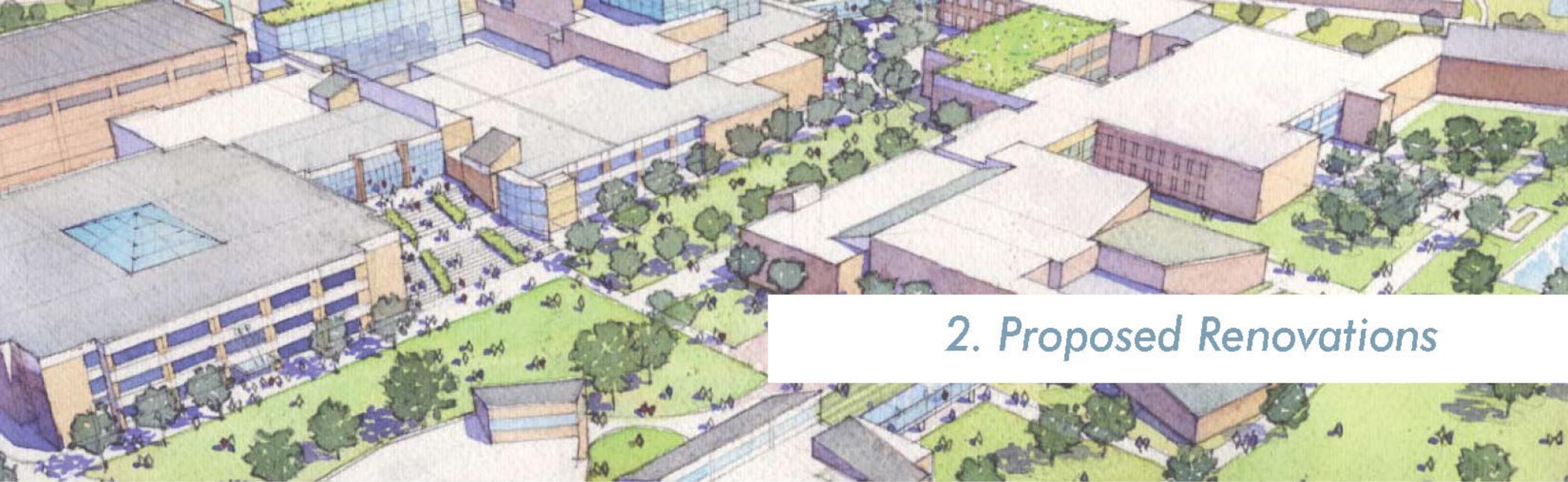
The programming focuses on adult learners.

#### *Career programs currently include:*

- Certified Nursing Assistant (CNA)
- Early Childhood Education Assistant Teacher
- Phlebotomy

#### *Current space usage:*

- General Education Classrooms
- Certified Nursing Assistant (CNA) Lab
- Phlebotomy Lab
- Computer Lab
- Early Childhood space
- Truck driver training and licensing for a Commercial Driver’s License (CDL)
- Spaces are used daytime, evenings, and weekends



## *2. Proposed Renovations*

# Proposed Renovations

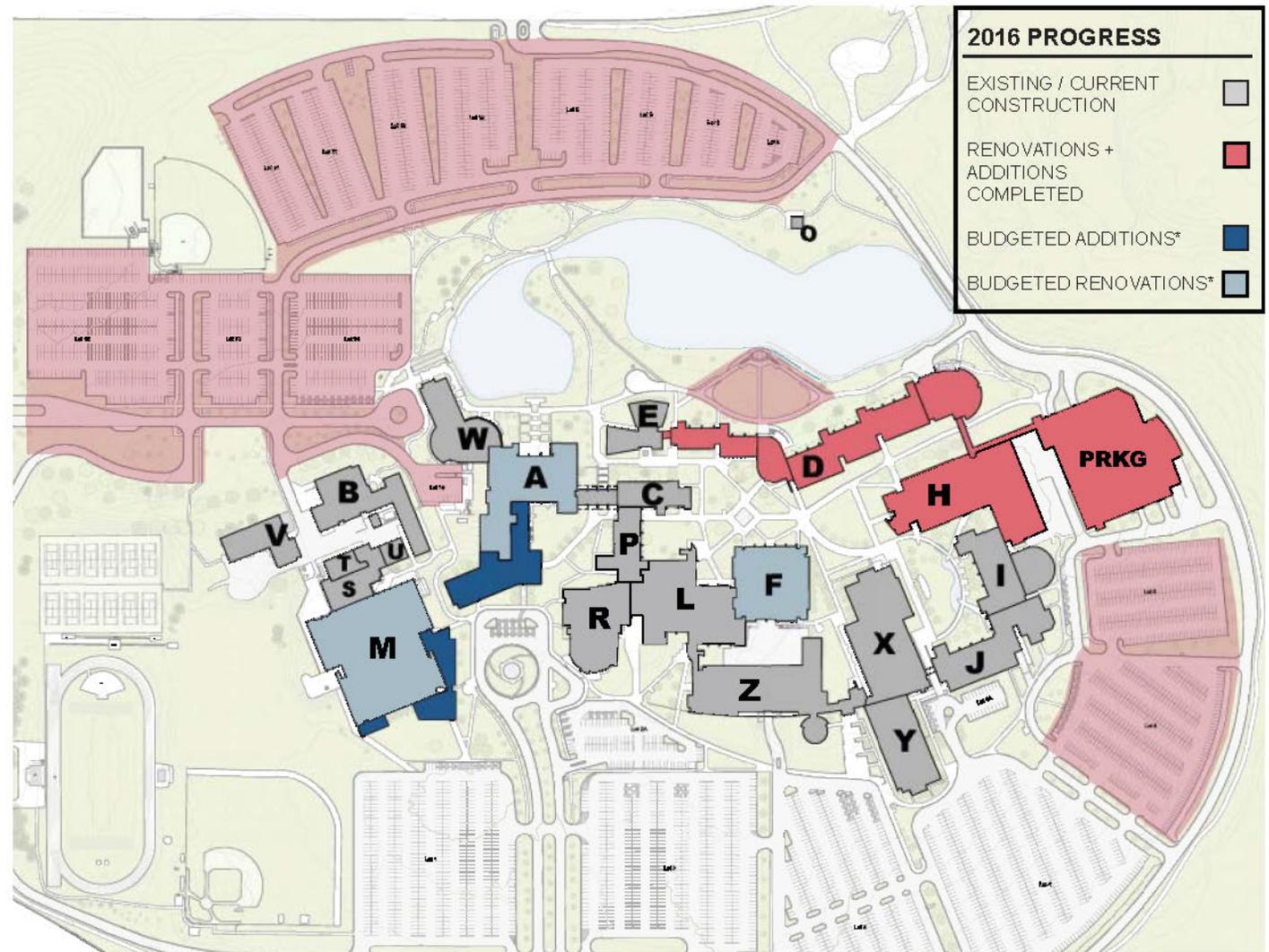
This Update to the Master Plan proposes a series of renovation and reconfiguration projects in addition to the new construction discussed in the previous section.

The graphic on the right documents progress towards projects established in the Master Plan. The renovated and expanded Building D provides modern instructional spaces as well as a popular Starbucks, and informal study spaces. In addition, a series of major projects are budgeted and in different stages of design and construction. These projects include:

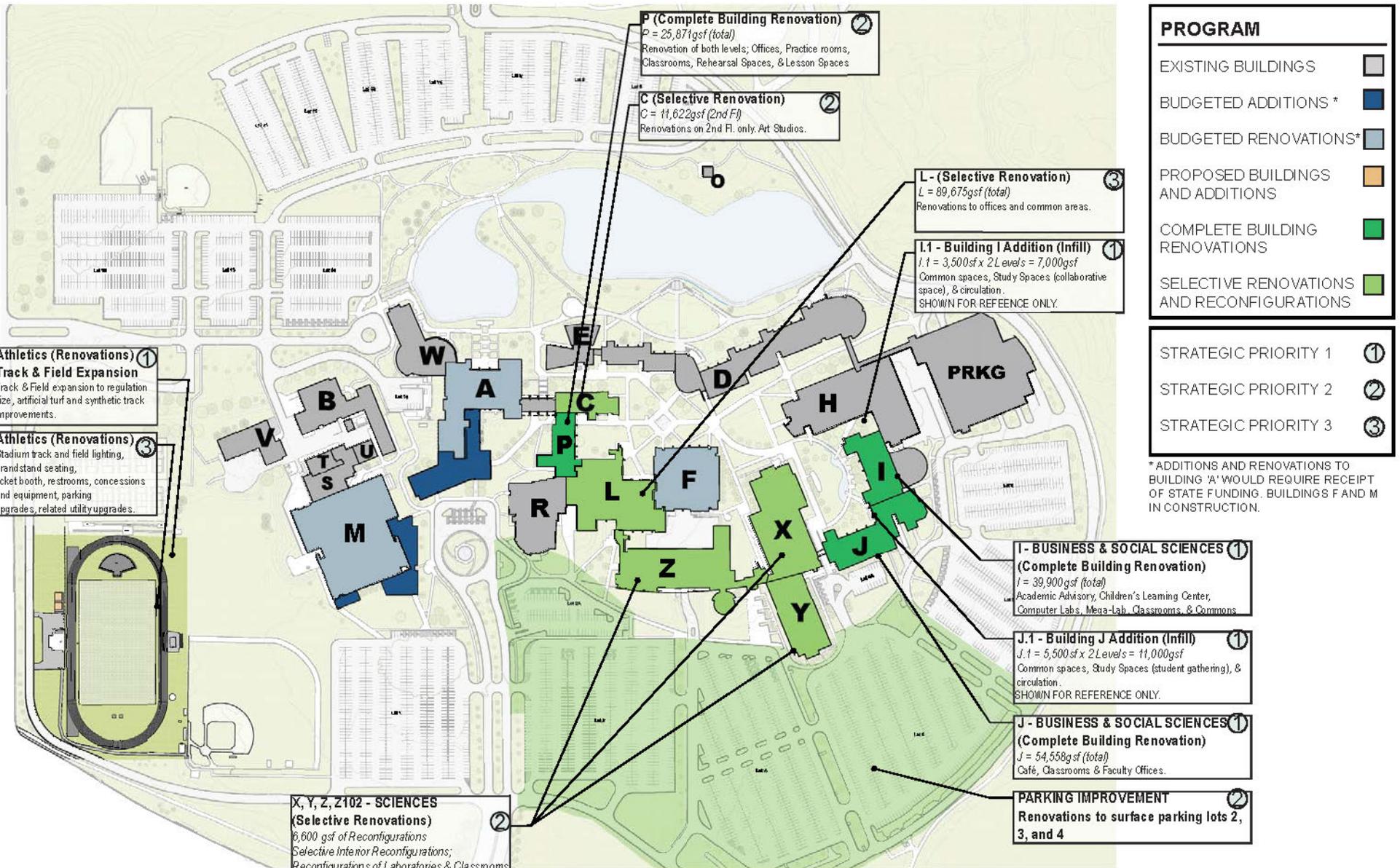
- The Canning Center for Student Services at Building A (awaiting the release of funds from the State of Illinois)
- Building F (in construction)
- Building M (in construction)

This section addresses how modernization, interior re-configurations, and renovations can support the plans for growth within each academic division based upon current and projected needs developed with the College. Other improvements (highlighted graphically only) include:

- Surface lot renovations and improvements



2016 Current Campus Plan with Projects-in-Progress



## 2016 Proposed Campus Plan Priorities (Renovations Only)

(New buildings are not shown for clarity - please refer 01-3 for the comprehensive plan)

**Health Careers, Buildings X and Z**

*Building X - 97,395 GSF, 55,875 NSF. Built 2004*

*Building Z - 141,583 GSF, 70,065 NSF. Built 2004*

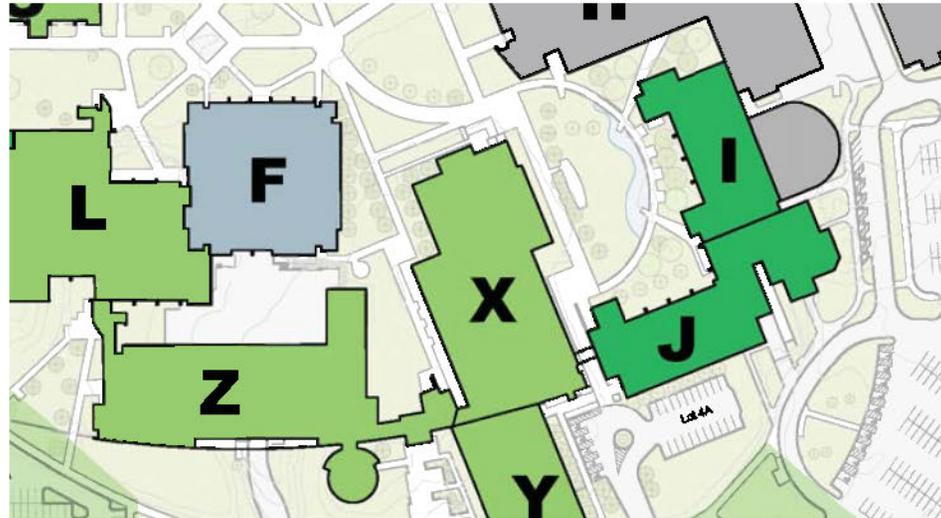
Nursing and Health Careers continue to project enrollment growth. Growth and expansion needs, e.g., lab-classroom combinations, will be met with selective interior reconfigurations in Buildings X and Z.

Room for growth will come from meeting the need for general classroom spaces elsewhere on campus in new or existing buildings.

Per The Master Plan, if significant growth occurs in Health Careers enrollment, programing needs will be addressed in the proposed new Building Y.2

*The following programs, some current and some under development, will fit within reconfigured existing spaces:*

- Sonography
- Surgical Technology Lab
- Physical Therapy Assistant Lab
- Larger space for Simulation Labs



- Health Information Technology
  - Sterilization Certification
  - Massage Therapy
- Need for added space:*
- Radiography
  - Cardiac CT Scanning
  - Larger lead-lined Radiology space.

- Projected growth areas:*
- Computerized Axial Tomography
  - Occupational Therapy
  - Respiratory Therapy

Building M is slated to house some

clinical assignments currently located off campus.

**Business and Social Science, Buildings I and J**

*Building I - 39,000 GSF, 22,786 NSF. Built 1980*

*Building J - 54,558 GSF, 29,621 NSF. Built 1980*

Buildings I and J are in need of significant renovations and upgrades. They are among the oldest buildings on campus that have not undergone

significant modernization. The academic programs housed here are in demand, and enrollment growth will be supported with renovated spaces.

*Strategic needs:*

- Infill addition J.1 will create spaces that fulfill the need for more collaborative and informal learning spaces
- Infill addition I.1 will create an improved connection between Buildings H and I, and will foster interaction between the technical and creative disciplines.
- The larger footprint of the buildings will also address some of the instructional space needs, allowing for reconfiguration of existing classrooms, and the development of larger learning spaces.

*Laboratory space (update) needs:*

- Anthropology
- Geography
- Psychology

*Lecture Space needs:*

- The Theatre in Building J does not function well as an educational space or as a large lecture hall. There is a need for a larger lecture

hall.

- The Theater Box office space will be available for other uses once the Canning Center opens.

**Computer Lab needs:**

- There is a need for larger classroom/ computer lab combinations that can accommodate 25 to 35 students.

**Child Learning Center needs:**

- While functioning at capacity, this program requires an updated space with a larger and improved observation area.

**Early Childhood Education needs:**

- A dedicated lab space for students enrolled in the college program.
- Additional classroom space (some of which can be met by relocating Counseling Services to their future home within the renovated Canning Center at Building 'A').
- Future: Alternative program for infants and toddlers.

**General needs:**

- Entrance and lobby improvements.
- Complete HVAC and interior finishes upgrades.

**Interim location for The Innovation and Entrepreneurship Center**

- The added space from infill construction at Buildings I.1 and J.1 will provide for collaboration spaces vital to the success of current programs.
- With the added space, the renovated Buildings I and J provide the best temporary and interim location until such time that the new Building K is completed.

**Liberal Arts, Buildings C, L, and P**

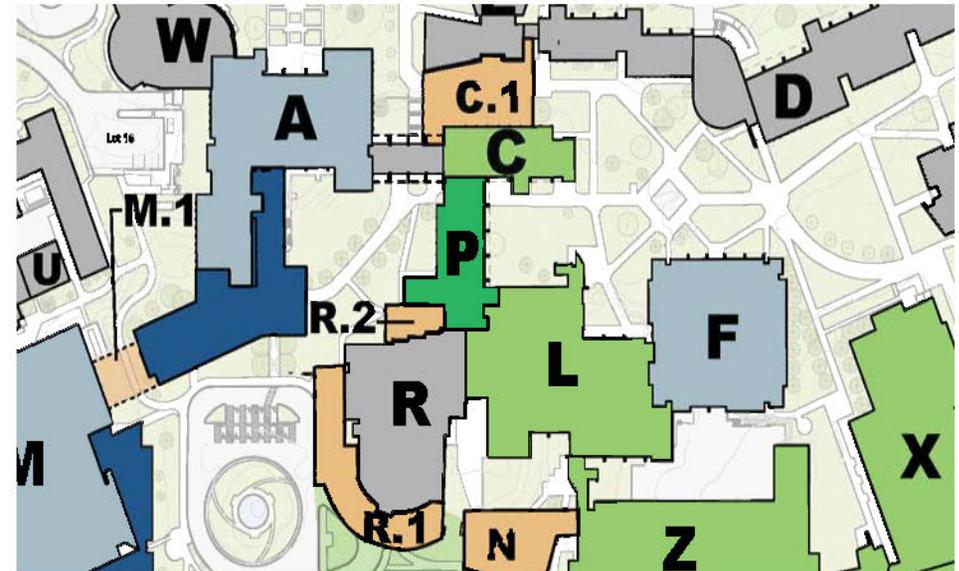
*Building C - 23,244 GSF, 12,161 NSF. Built 1969*

*Building L - 88,675 GSF, 50,819 NSF. Built 1994*

*Building P - 25,871 GSF, 10,916 NSF. Built 1974*

Building C: The second floor needs to be renovated.

Building L: Offices and common area finishes need to be refreshed. Built in 1992, the systems are functional and the building does not require a complete building renovation.



Building P: A significant renovation is needed along with a need to add or update the following:

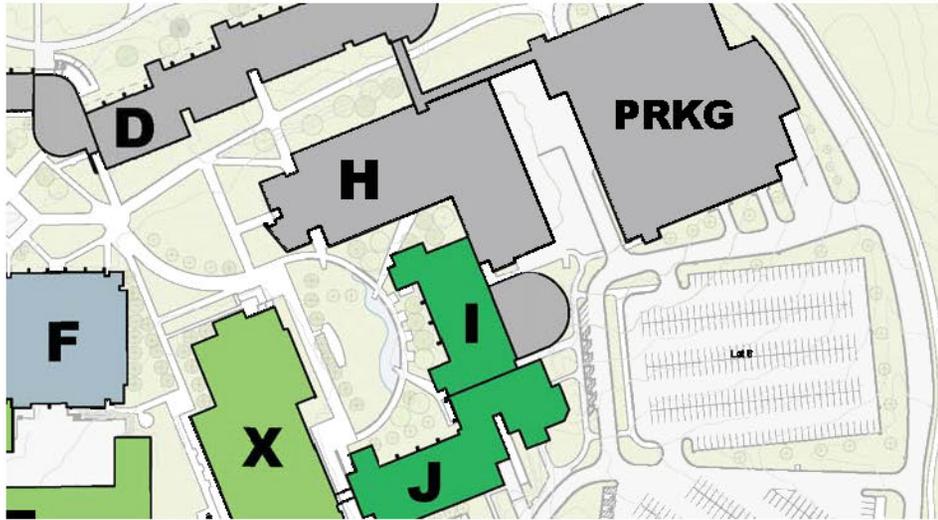
- Art studios, classroom technology, furniture and carpeting.
- Informal student gathering and study spaces.
- Improvements are needed at the private instruction and rehearsal rooms at Building P (acoustics, door seals, and vision panels).
- Rehearsal studios need more space.
- Upgrades are needed for HVAC

distribution and lighting. Spaces and finishes need to be refreshed.

**Building R, Performing Arts**

*Building R - 44,805 GSF, 19,623 NSF. Built 2002*

- Pre-function, multipurpose and gallery spaces were proposed on the Master Plan.
- The theatre expansion remains a need, but not as a high priority.
- The R.1 addition will allow for new



Music and Arts program spaces.

- Theatre and the Arts are noted as valuable community resources, and function as a welcoming entry point to the campus.
- Spaces and finishes need to be refreshed.
- Currently no enrollment growth is projected in these areas

**Career and Technical Programs (CTP), Buildings H and I**

*Building H - 98,272 GSF, 39,584 NSF. Built 1977*

*Building I - 39,900 GSF, 22,786 NSF. Built 1980*

Programs in Buildings H and I continue to evolve and require additional space.

***New program priorities include:***

- Automotive Technology
- Building Energy Systems Technology

***Needs for space re-configurations:***

- If the Mega-Lab is re-configured, it will support growth opportunities for Information Technology.
- There is a need for additional space

in Building H for individual project work.

- Dedicated project space is currently being used for classes; classrooms are small.

***Manufacturing Program:***

- Manufacturing has benefited from grant-driven growth in the past, and has reflected the needs of local partners.
- Scheduling improvements will increase use of existing space, and will increase access to courses highlighting newer equipment and technology.
- The Division will work on attracting more apprenticeships.
- The addition of space for the storage of bulk consumables (raw materials) will improve bulk-pricing advantages, as well as improve security for the materials stored.

***Re-allocation of existing spaces:***

- The projected elimination of Plumbing & Carpentry from the curriculum will create room for growth.
- The Division has withdrawn some offerings (AAS Degree) and has

started new ones in their place (Industrial Maintenance Mechanic). These spaces have been successfully re-allocated internally.

- New courses within HVAC will be located within the Building and Energy Systems Technology Lab (H 184, 186 & 166).

***Projected growth areas:***

The following programs may be offered in the future. While not immediate priorities, these potential programs offer the opportunity to engage with Math and Science program offerings in Building Y, and could promote various synergies through shared space usage:

- A 'Maker Space' (an open innovation lab for rapid prototyping and traditional hand tool workshops) that will be considered in conjunction with Math & Science.
- A digital fabrication environment that can expedite prototyping in a 'sandbox environment', where creativity and exploration is encouraged.
- The addition of spaces and equipment to support 3-D Printing and Additive Manufacturing.

**Math and Science, Building D or Math, Avanté (X, Y, Z) for Sciences**

***Biology in Building Z - 141, 583 GSF***

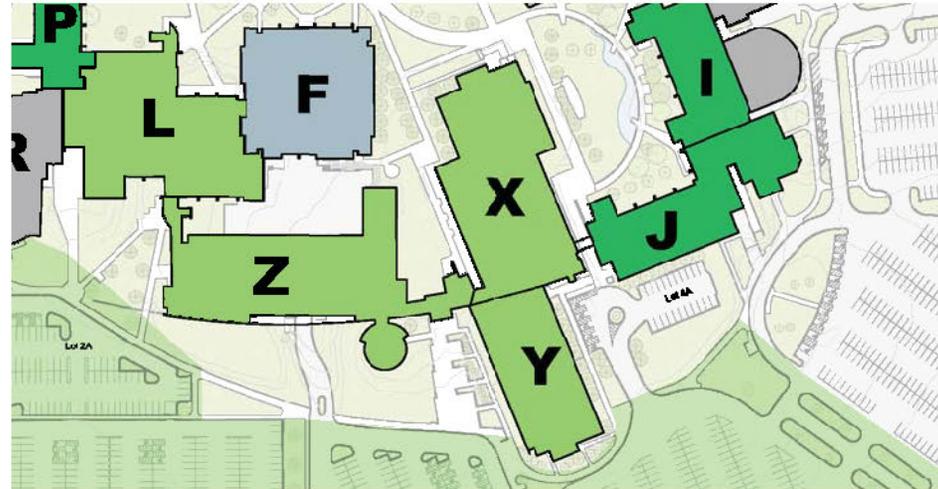
Program enrollment is growing. The following space needs are listed by priority:

High priority:

- Addition of lab space in Biology.
- Creation of adjunct faculty offices for Biology on the 2nd floor of Z. Currently the adjunct office is located in Y. This location diminishes opportunities for interaction and collaboration between the full time faculty and adjuncts.

Moderate priority:

- Addition of lab space in Microbiology.
- Re-configuration to create large lecture spaces: The existing arrangements meet the needs for large lecture halls. If Z102 is converted into a dedicated planetarium, a replacement space will be needed.
- Addition of dedicated equipment and services to improve program



support (De-ionized water, steam).

***Sciences & Technology in Building Y - 57,792 GSF, 34,985 NSF. Built 2004:***

The Mega-Lab can be better utilized and subdivided into several smaller venues.

In conjunction with a Mega-Lab study, the need for a 3-D printing hub shared between Math and Science and Career and Technical Programs will be studied.

- Y could host a 3D printing lab in the future if demand requires, building on synergies with Career

and Technical Program offerings.

- Locations of shared spaces or labs will depend on choice of open 'at-large' access versus limited, program-only access.

***Projected growth areas***

- Computer Science's enrollment is growing. We expect that the renovated Building D will provide sufficient computer lab space. This will be closely monitored along with enrollment growth.
- Kinesiology, exercise-based Science, and Personal Training

will benefit from the proposed collaboration with Northwest Community Hospital. Kinesiology is currently housed in M, so there are no impacts to space in Avanté.

### **Planetarium (Astronomy)**

The lecture room Z102 is ideally suited for conversion to a planetarium to support Astronomy.

A planetarium with a digital full-dome projection system will not only enhance the instruction of astronomy, but can also be utilized by other science and non-science disciplines. It can also serve as a venue for K-12 school tours and field trips.

Additionally, it could be open to the public on weekends and evenings when not used for academic purposes.

The room will require a significant reconfiguration and renovation to add a new roof to accommodate a dome ceiling with a planetarium projection system.

While acknowledging the significant cost of conversion, the benefits helped reaffirm that the Planetarium remains a long term goal for the College.





## Sports and Athletics

The stadium, track, field, and amenity spaces need upgrades and modernization. The current field is smaller than required for regulation soccer, and the track needs a new surface.

A concession stand, accessible restrooms, and a ticket booth, along with the addition of track and field lighting, would better support the growth of the program and reinforce use from partners. It is noted that there are only two Community College Division III Track programs in Illinois—Harper and Triton.

The improvements will create the potential for partnerships between the

community and Building M users. The improvements will also make the facilities desirable to high schools, park districts, and club teams. In addition, enhanced appeal for visiting sporting events solicited by the convention bureau could increase the potential for rental revenue.

### *Immediate needs:*

- Install an NCAA/NJCAA regulation soccer field with an 8 lane track and a synthetic track surface.

### *Future needs:*

If there is sufficient demand in the future, the College could consider the addition of the following amenities and features:

- Upgrade the field to an artificial

turf playing surface in conjunction with community partnership and support.

- Upgrade the seating and grandstand, provide for accessibility improvements.
- Provide stadium, track, and field illumination.
- Provide a first aid/training room, ticket booth, concessions stand, public restrooms, and storage.
- Provide tall netting behind the goals for ball control.
- Line the field for lacrosse and or rugby to make it attractive to partners.
- Surround the stadium with security fences and secure the parking lots with gates.
- Accessibility improvements to parking areas in the form of

striping, signage improvements, and accessible spaces added close to seating.

### *Supportive ideas:*

- Create a running club for all students.
- Continue the community's use of the track lanes.
- Consider revenue from youth track team rentals.
- Allow adult distance club runners to continue renting the track.
- Allow teams to use changing rooms in Building M.



### Surface Parking Lot Improvements

Surface lots 2,3 and 4 and the ring road are slated for renovations and improvements, including associated underground electric and storm water infrastructure and utilities.



### 3. 2016 Proposed Phasing and Summary of Costs

# Proposed Phasing and Summary Costs

William Rainey Harper College

Perkins Eastman

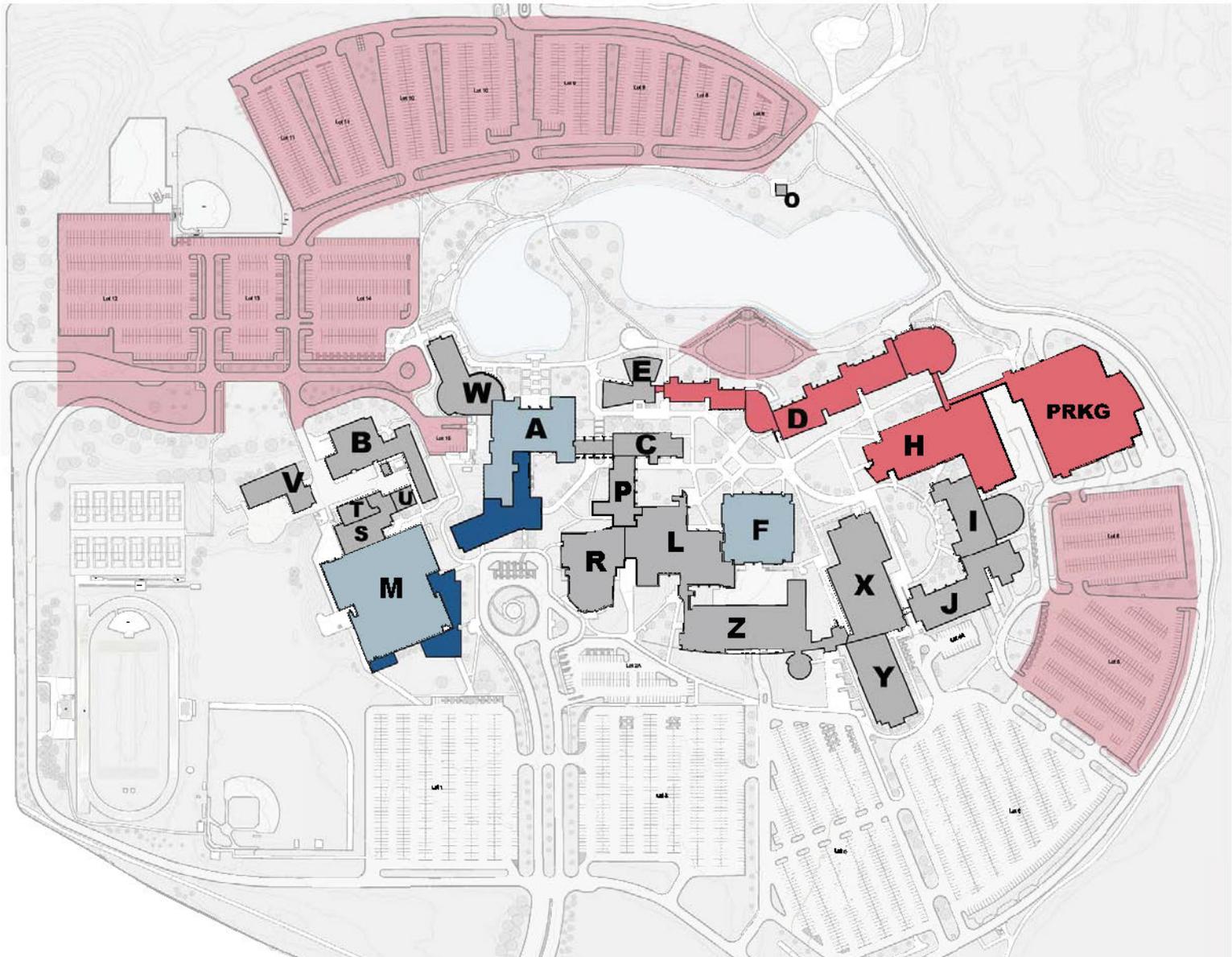
2/6/2017

## 2016 Campus Master Plan Update: Year 2020 budgets assigned to priority groupings

Priority	Building Location	Improvement	Program	2020 Cost	2020 Cost w/ Add-On's
Priority Phase #1	Building I	Renovation	Career and Technical programs	\$ 12,657,000	\$ 16,454,100
	Building I.1	Addition	Infill addition to Building I	\$ 3,109,000	\$ 4,041,700
	Building J	Renovation	Renovation to Building J Business & Social Sciences, Early Childhood	\$ 17,307,000	\$ 22,499,100
	Building K or Building J.1 **	New building - OR - Addition	New Professional & University Center, Innovation/Entrepreneurship Hub; OR - Infill addition to Building J	\$ 21,992,000	\$ 28,589,600
	Building M.1	Addition	Addition of connecting link between Buildings M and A	\$ 1,846,000	\$ 2,399,800
	Athletics	Renovation	Soccer grass field expansion with new 8-lane synthetic track	\$ 2,501,000	\$ 2,813,625
	Campus-wide	Upgrade and maintenance	Infrastructure and utilities	TBD	TBD
<b>Year 2020 budget for Priority 1 projects **</b>					<b>\$ 76,797,925</b>
** Priority 1 Cost for 2020 includes an 'EITHER/OR' option - i.e., projected costs would support <u>either</u> Building-K <u>or</u> Building-J.1 Add-On's include LEED, Fees & Testing, FF&E, and Owner Costs (Athletics Track and Field does not include LEED and FF&E costs)					
Priority Phase #2	Building C	Renovation	Liberal Arts	\$ 3,807,000	\$ 4,949,100
	Building N or Building Y.2**	New building	Sciences / Liberal Arts, Campus Police or Health Careers	\$ 18,895,000	\$ 24,563,500
	Building P	Renovation	Liberal Arts	\$ 8,207,000	\$ 10,669,100
	Buildings X,Y, Z	Renovation	Sciences (Biology), Health Careers	\$ 4,188,000	\$ 5,444,400
	Z-102	Renovation	Conversion to Planetarium	\$ 2,192,000	\$ 2,849,600
	Surface Lots 2,3,4	Renovation	Parking Lot Renovations and Improvements	\$ 6,921,000	\$ 7,786,125
	Campus-wide	Upgrade and maintenance	Infrastructure and utilities	TBD	TBD
<b>Year 2020 budget for Priority 2 projects **</b>					<b>\$ 56,261,825</b>
** Priority 2 Cost for 2020 includes an 'EITHER/OR' option - i.e., projected costs would support <u>either</u> Building-N <u>or</u> Building-Y.2 Add-On's include LEED, Fees & Testing, FF&E, and Owner Costs (Surface Lots do not include LEED and FF&E costs)					
Priority Phase #3	Building C.1	New building	Addition of connecting link between C and E	\$ 17,995,000	\$ 23,393,500
	Building L	Renovation	Renovations (Offices, common areas)	\$ 3,621,000	\$ 4,707,300
	Building P.3	New building	Parking Garage	\$ 14,275,000	\$ 16,844,500
	Building R.1	Addition	Liberal Arts	\$ 9,430,000	\$ 12,259,000
	Building R.2	Addition	Liberal Arts	\$ 2,917,000	\$ 3,792,100
	Building Y.1	New building	Math and Sciences	\$ 18,895,000	\$ 24,563,500
	Athletics	Renovations, new buildings	New artificial turf field, lighting for track & field, grandstand seating. New buildings for ticket booth, restrooms, concessions and eqpt storage. Parking upgrades.	\$ 4,395,000	\$ 5,713,500
	Campus-wide	Upgrade and maintenance	Infrastructure and utilities		TBD
<b>Year 2020 budget for Priority 3 projects</b>					<b>\$ 91,273,400</b>
Add-On's include LEED, Fees & Testing, FF&E, and Owner Costs (Building P.3 does not include FF&E)					

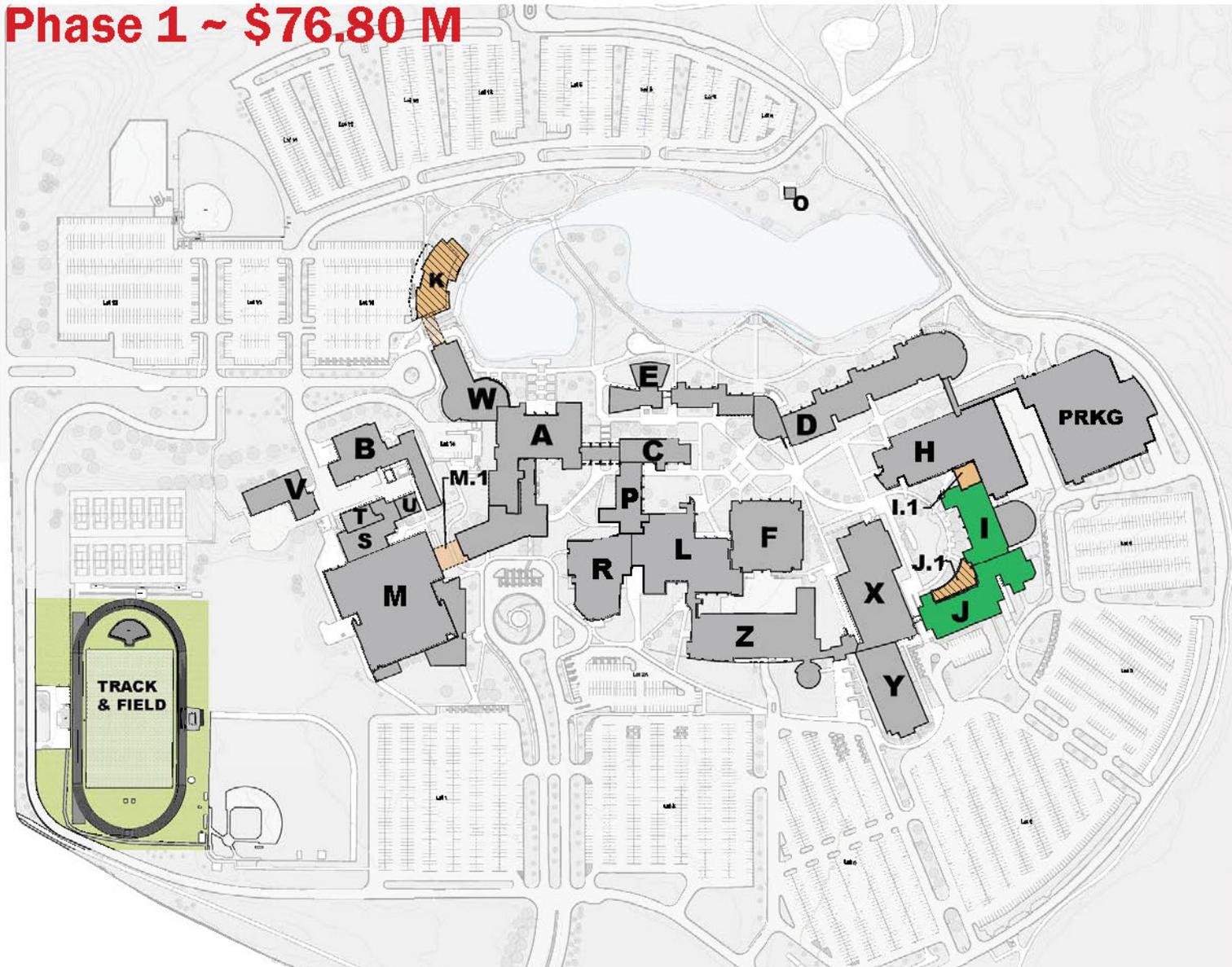
<b>Cost of Phases 1 and 2</b>	<b>\$ 133,059,750</b>
<b>Cost of Phases 1, 2 and 3</b>	<b>\$ 224,333,150</b>

PROGRAM	
EXISTING BUILDINGS / COMPLETED IN PHASE	Grey square
BUDGETED ADDITIONS	Dark blue square
BUDGETED RENOVATIONS	Light blue square
PROPOSED BUILDING ADDITIONS	Orange square
COMPLETE BUILDING RENOVATIONS	Green square
SELECTIVE RENOVATIONS AND RECONFIGURATIONS	Light green square
RENOVATIONS + ADDITIONS COMPLETED	Red square



Phase 0

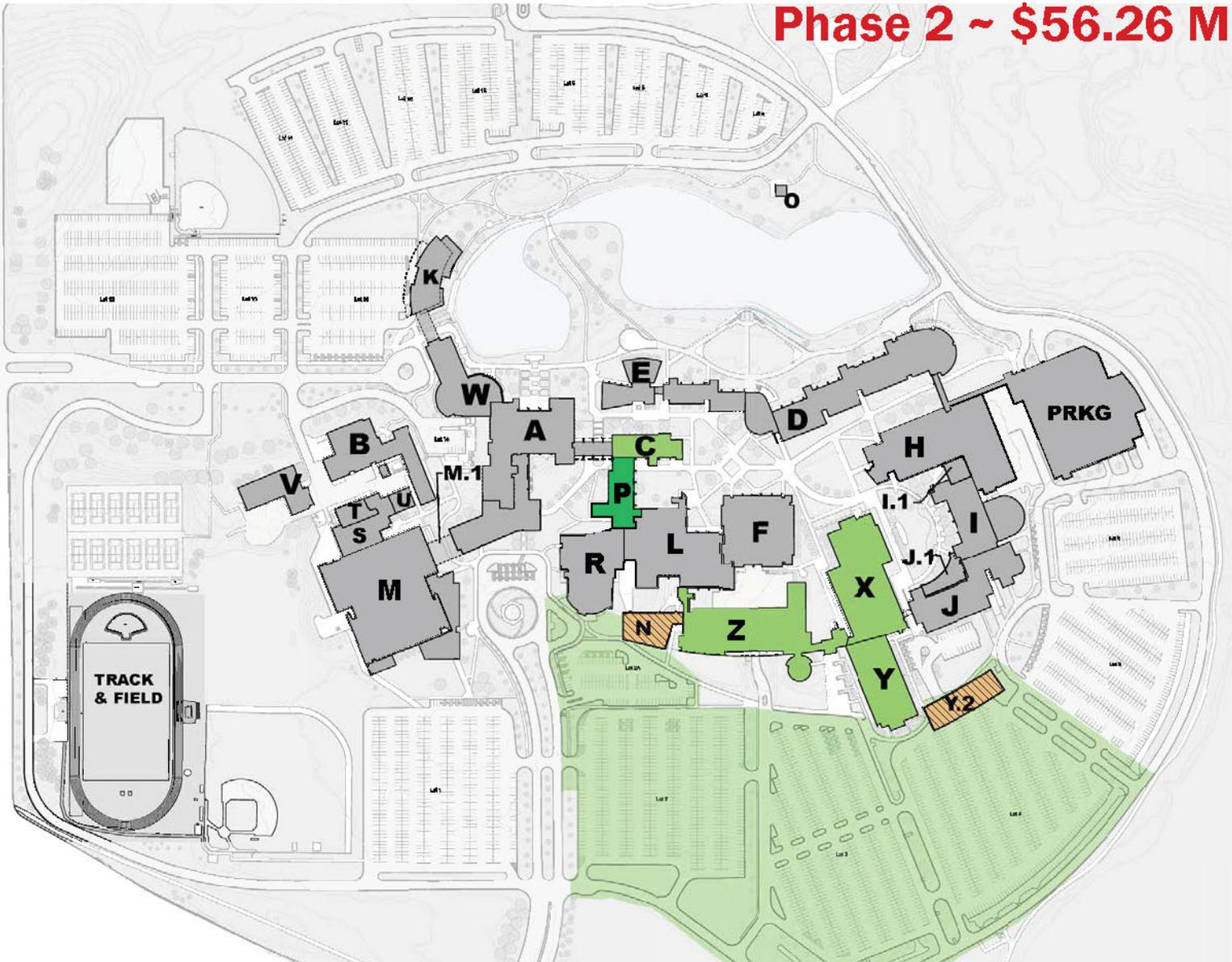
# Phase 1 ~ \$76.80 M



PROGRAM	
EXISTING BUILDINGS / COMPLETED IN PHASE	■
BUDGETED ADDITIONS	■
BUDGETED RENOVATIONS	■
PROPOSED BUILDING ADDITIONS	■
COMPLETE BUILDING RENOVATIONS	■
SELECTIVE RENOVATIONS AND RECONFIGURATIONS	■
PROPOSED EITHER / OR DESIGNATION	■

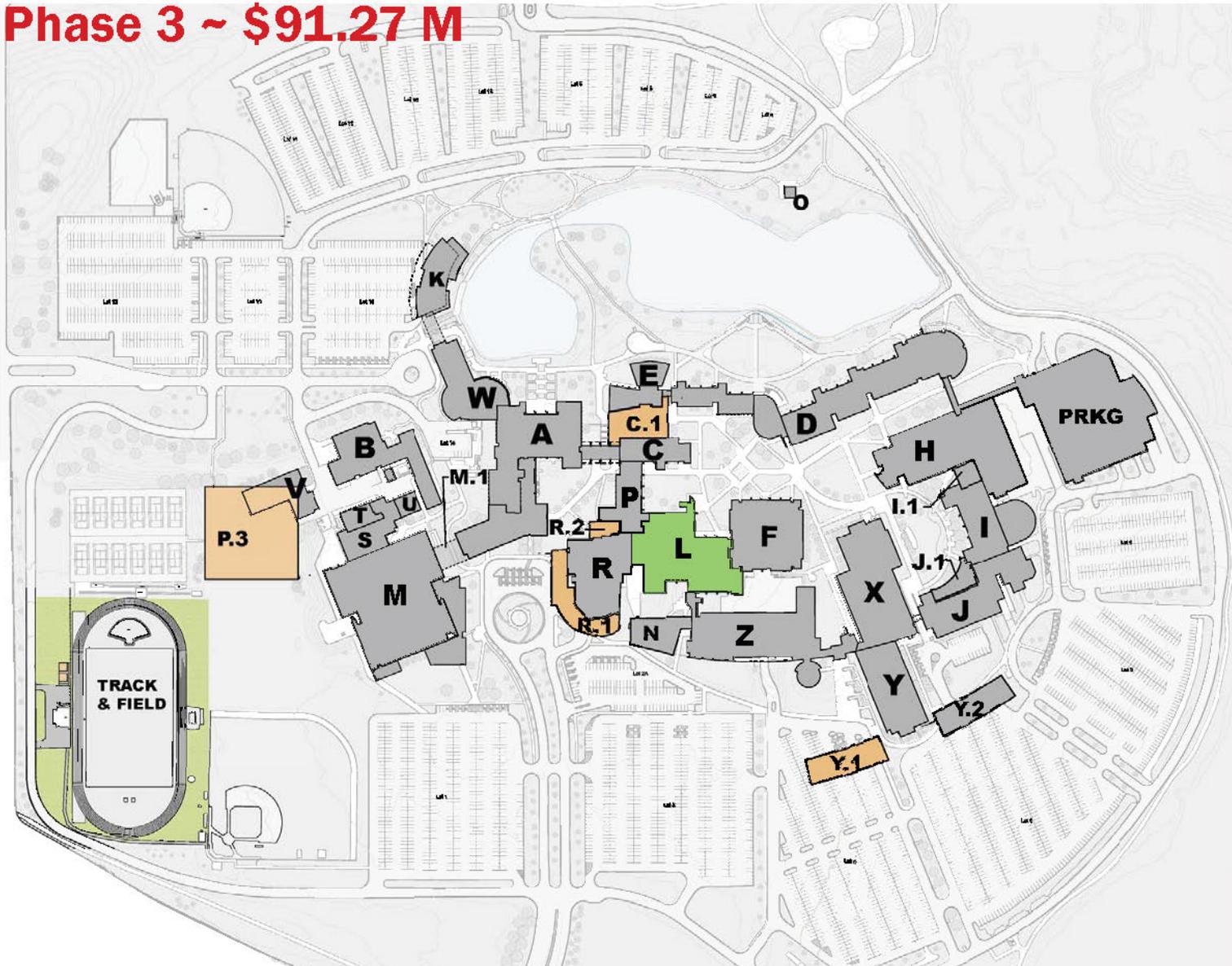
Phase 1

PROGRAM	
EXISTING BUILDINGS / COMPLETED IN PHASE	■
BUDGETED ADDITIONS	■
BUDGETED RENOVATIONS	■
PROPOSED BUILDING ADDITIONS	■
COMPLETE BUILDING RENOVATIONS	■
SELECTIVE RENOVATIONS AND RECONFIGURATIONS	■
PROPOSED EITHER / OR DESIGNATION	■



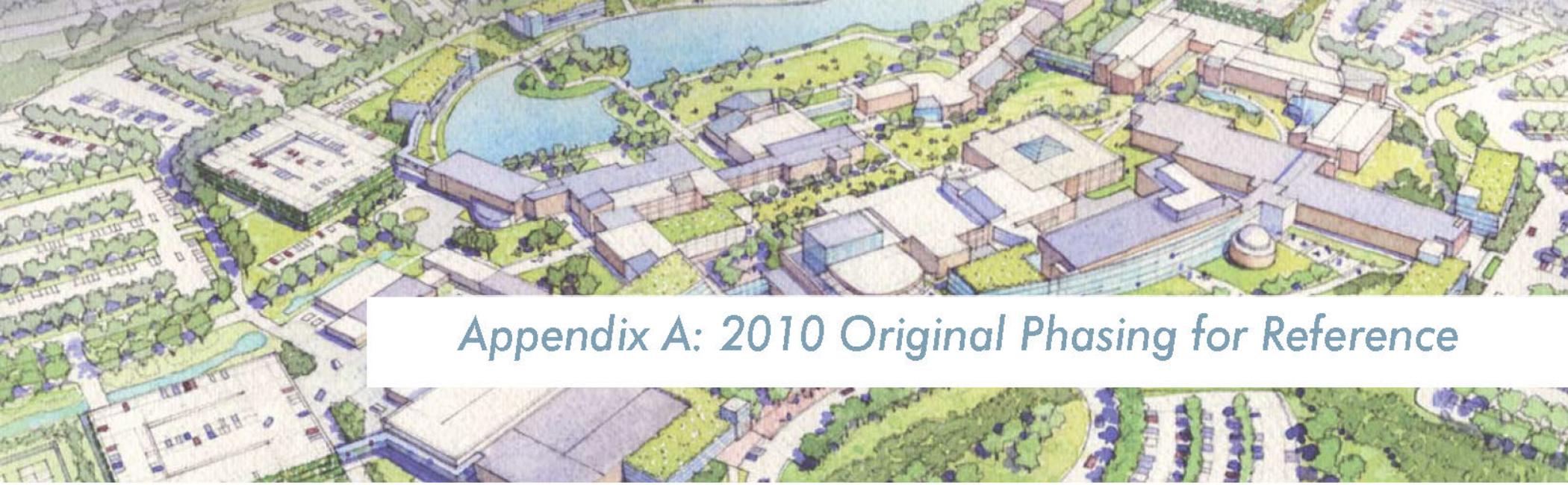
Phase 2

# Phase 3 ~ \$91.27 M



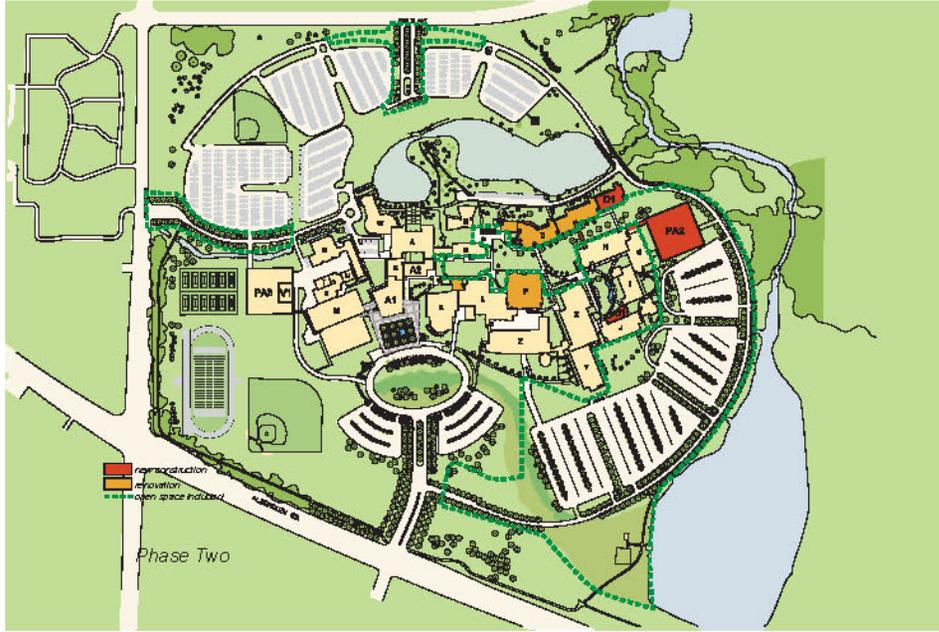
PROGRAM	
EXISTING BUILDINGS / COMPLETED IN PHASE	Grey square
BUDGETED ADDITIONS	Dark blue square
BUDGETED RENOVATIONS	Light blue square
PROPOSED BUILDING ADDITIONS	Orange square
COMPLETE BUILDING RENOVATIONS	Green square
SELECTIVE RENOVATIONS AND RECONFIGURATIONS	Light green square

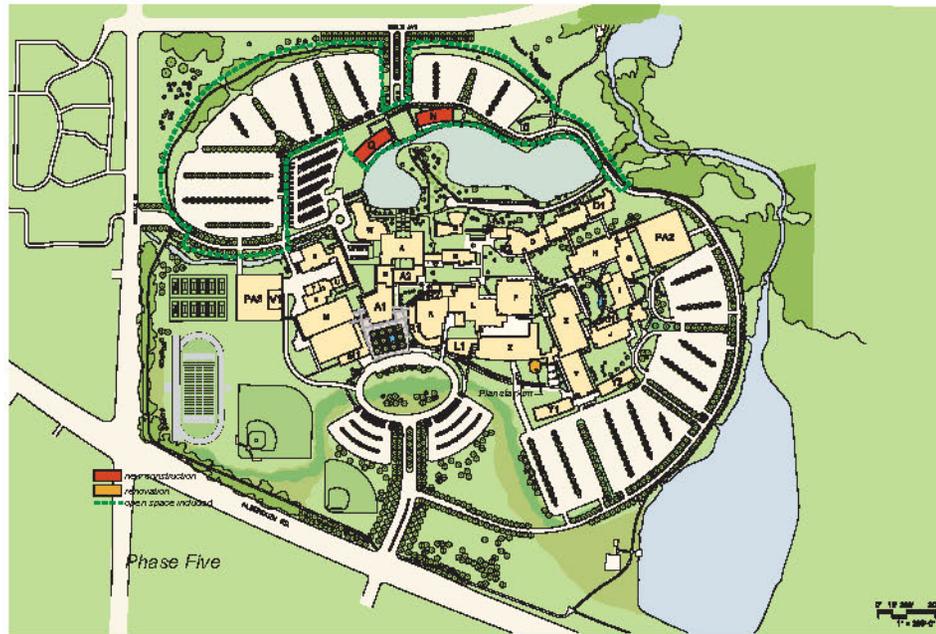
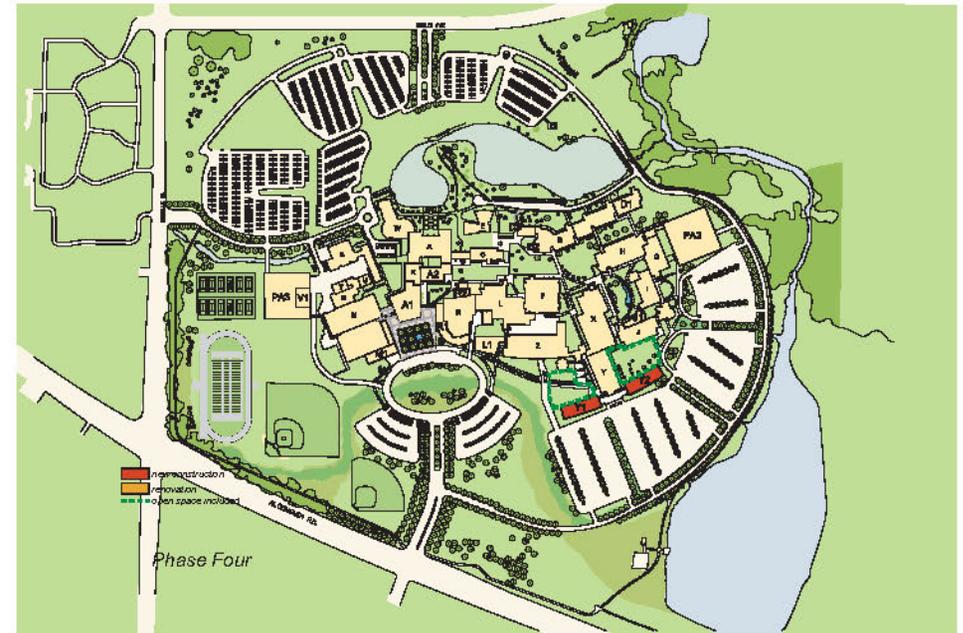
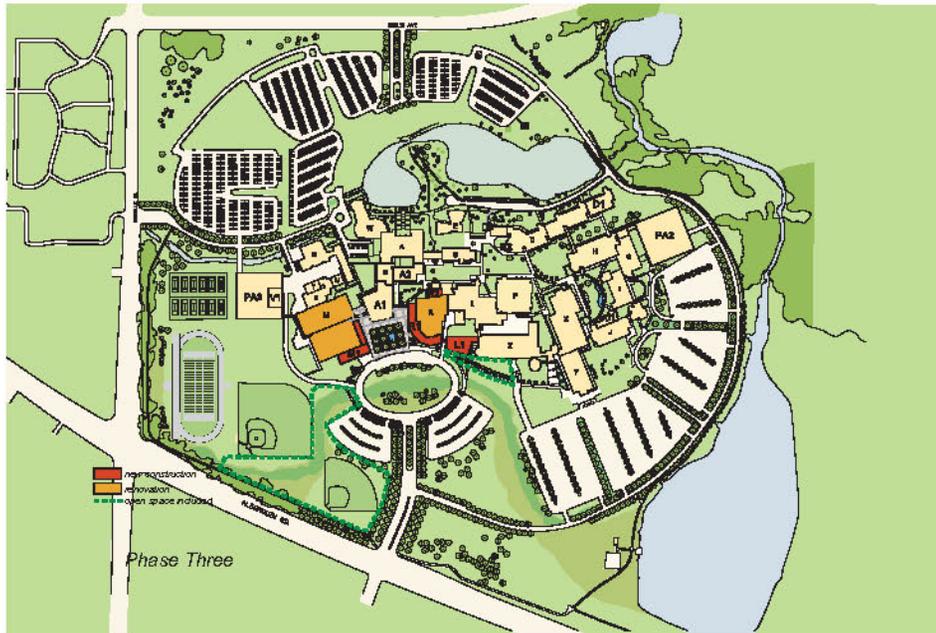
Phase 3



*Appendix A: 2010 Original Phasing for Reference*

# 2010 Original Phasing for Reference Only





**Subject:** 2017-2018 Proposed Credit Course Fee Changes

**Recommended by:**

Dr. Judith Marwick, Provost

**Description**

The Proposed Credit Course Fee changes for 2017-18 academic year are being recommended to ensure currency of appropriate fees for Harper College courses. The fees need to be incorporated into the College's registration system. Therefore, fee changes must be acted upon prior to the February 21, 2017 early registration date for the Summer 2017 session.

**Information**

The Proposed Credit Course Fee Changes for 2017-2018 have been reviewed by the department chair/coordinators and division deans. Upon approval, the fees will be published on the website and in other appropriate publications in time for the summer registration cycle.

The table below shows a breakdown of all the credit courses that will be active during the 2017-18 academic year. This list is inclusive of all of the proposed course fee changes, deleted courses, courses with no changes in fees and courses that do not have fees attached to them.

Courses	Description
4	New course with fees
34	Courses with reduced fees
38	Courses with increased fees
3	Deleted courses (with fees)
349	Courses with no changes in fees
796	Courses without fees
1,213	Total active courses

**Rationale**

The Board is to establish a fee schedule per sections 05.05.00 of the Board Policy Manual. These fees are to cover the costs of consumable supplies, materials, or licensing for tests or software that is specific to a particular course and beyond those covered by the regular tuition.

**Funding Source**

Not applicable to this exhibit.

Consent Agenda  
Exhibit X-A.9  
 February 15, 2017

DEPT	Course #	Course TITLE	CURRENTLY 2016-17	PROPOSED 2017-18	PROPOSED CHANGE
ART	151	Digital Photography Studio	\$75.00	\$100.00	\$25.00
AST	201	Advanced Astronomy	\$35.00	\$0.00	(\$35.00)
CNa	101	Nursing Assistant Training	\$113.00	\$101.00	(\$12.00)
DCS	107	Sonography Theory I	\$12.00	\$0.00	(\$12.00)
DCS	207	Sonography Theory II	\$102.00	\$0.00	(\$102.00)
DHY	100	Pre-Clinic	\$192.00	\$180.00	(\$12.00)
DHY	250	Clinical Dental Hygiene III	\$272.00	\$260.00	(\$12.00)
DIT	150	Dietetic Clinical Laboratory I	\$114.00	\$52.00	(\$62.00)
DIT	200	Dietetic Clinical Laboratory II	\$22.00	\$10.00	(\$12.00)
DMS	107	Sonography Theory I	\$12.00	\$0.00	(\$12.00)
DMS	204	Abdominal/Small Parts Sonography Theory I	\$102.00	\$0.00	(\$102.00)
EGR	120	Engineering Graphics I	\$50.00	\$55.00	\$5.00
EGR	121	Engineering Graphics II	\$50.00	\$0.00	(\$55.00)
ESC	100	Rocks and Minerals Survey	Proposed New	\$35.00	\$35.00
GEG	150	GIS and Mapping Principles	\$0.00	\$25.00	\$25.00
GEG	153	Applications for GIS	\$0.00	\$25.00	\$25.00

Consent Agenda  
Exhibit X-A.9  
February 15, 2017

HIT	235	Advanced Medical Coding	NEW	\$24.00	\$24.00
HIT	240	Health Information Technology Externship	\$82.00	\$20.00	(\$62.00)
HSC	106	Health Occupations Career Observation	\$82.00	\$55.00	(\$17.00)
MFT	201	Advanced CNC	\$100.00	\$125.00	\$25.00
MNT	115	Basic Carpentry	\$50.00	\$75.00	\$25.00
MNT	125	Basic Plumbing	\$50.00	\$75.00	\$25.00
MNT	215	Commercial Carpentry	\$50.00	\$75.00	\$25.00
MNT	225	Commercial Plumbing	\$50.00	\$75.00	\$25.00
MNT	228	Small Equipment Maintenance	\$50.00	\$75.00	\$25.00
MNT	235	Maintenance Troubleshooting Skills	\$25.00	\$75.00	\$50.00
MNT	255	Belts/Bearings and Mechanical Drives	\$25.00	\$75.00	\$50.00
MNT	281	Topics in Maintenance Technology	\$0.00	\$25	\$25.00
MOA	215	Clinical Procedures	\$12.00	\$143.00	\$131.00
MOA	235	Health Care Office Procedures	\$155.00	\$0.00	(\$155.00)
MOA	265	Medical Receptionist Externship	\$12.00	\$0.00	(\$12.00)
MOA	275	Health Insurance Specialist Externship	\$12.00	\$0.00	(\$12.00)
MTP	110	Massage Practice Fundamentals	\$0.00	\$80.00	\$80.00

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 February 15, 2017

MTP	128	Massage Therapy Clinical I	\$12.00	\$123.00	\$111.00
MTP	138	Massage Therapy Clinical II	\$12.00	\$123.00	\$111.00
NET	105	IT Fundamentals	\$92.00	\$50.00	(\$42.00)
NET	111	A+ Hardware	\$66.00	\$60.00	(\$6.00)
NET	112	A+ Operating Systems Technologies	\$66.00	\$60.00	(\$6.00)
NET	121	Introduction to Networking	\$37.00	\$85.00	\$48.00
NET	122	Internet Protocols	\$88.00	\$0.00	(\$88.00)
NET	201	Service Desk/Service Management	\$129.00	\$115.00	(\$14.00)
NET	240	Linux Fundamentals	\$118.00	\$115.00	(\$3.00)
NET	260	Windows Server Administration	\$50.00	\$70.00	\$20.00
NET	270	Cisco Networking (CCNET)	\$107.00	\$90.00	(\$17.00)
NET	280	Network Security Fundamentals	\$136.00	\$115.00	(\$21.00)
NET	290	Cloud Computing	Proposed New	\$115.00	\$115.00
NUR	101	PN Fundamentals	\$238.00	\$174.00	(\$64.00)
NUR	102	Medical Surgical Nursing for the PN	\$150.00	\$100.00	(\$50.00)
NUR	104	PN Field Experience	\$131.00	\$100.00	(\$31.00)
NUR	108	PN Mental Health Nursing	\$25.00	\$0.00	(\$25.00)

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February 15, 2017

NUR	109	PN Maternity	\$32.00	\$82.00	\$50.00
NUR	110	Nursing Concepts and Practice	\$52.00	\$0.00	(\$52.00)
NUR	112	Nursing Roles Clinical	\$130.00	\$118.00	(\$12.00)
NUR	140	Adult Health Concepts I	\$59.00	\$0.00	(\$59.00)
NUR	141	Adult Health Clinical I	\$140.00	\$88.00	(\$52.00)
NUR	199	Bridge to Advanced Nursing	\$62.00	\$115.00	\$53.00
NUR	201	Child Bearing Concepts	\$135.00	\$0.00	(\$135.00)
NUR	220	Child Bearing Clinical	\$60.00	\$195.00	\$135.00
NUR	250	Pediatric Health Clinical	\$60.00	\$115.00	\$55.00
NUR	260	Adult Health Clinical III	\$115.00	\$63.00	(\$52.00)
PHB	101	Phlebotomy Principles and Practice	\$205.00	\$88.00	(\$117.00)
PHS	111	Introduction to Physical Science	\$35.00	\$0.00	(\$35.00)
PHY	110	Introduction to Physics	Proposed New	\$35.00	\$35.00
PTA	105	Orthopedics for Physical Therapy Assistant	\$0.00	\$150.00	\$150.00
PTA	201	Cardiopulmonary/Integumentary for PTA	\$0.00	\$50.00	\$50.00
PTA	205	Therapeutic Modalities	\$0.00	\$50.00	\$50.00
PTA	235	Clinical Experience III	\$0.00	\$100.00	\$100.00

Consent Agenda  
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 February 15, 2017

RAD	102	Radiologic Procedures I	\$112.00	\$110.00	(\$12.00)
SUR	103	Fundamentals of Surgical Technology Lab	\$0.00	\$100.00	\$100.00
SUR	104	Surgical Procedures I	\$0.00	\$50.00	\$50.00
SUR	111	Fundamentals of Sterile Processing Lab	\$0.00	\$125.00	\$125.00
SUR	201	Surgical Procedures II	\$0.00	\$50.00	\$50.00
SUR	203	Surgical Procedures III	\$0.00	\$50.00	\$50.00
WLD	110	Welding I	\$75.00	\$100.00	\$25.00
WLD	210	Welding II	\$75.00	\$100.00	\$25.00
WLD	212	Welding IV	\$100.00	\$115.00	\$15.00
WLD	225	Advanced Blueprint Reading	\$25.00	\$75.00	\$50.00
WLD	240	Cutting Processes	\$75.00	\$100.00	\$25.00
WLD	253	Welding Power Sources	\$25.00	\$75.00	\$50.00
WLD	285	Topics in Welding Technology	\$75.00	\$25/ credit	\$0.00

**Subject:** Student Service Awards (Fall 2016)

**Recommended by:** Dr. Judith Marwick, Provost

### **Description**

Student Service Awards are recommended after the conclusion of fall and spring semesters to recognize nominated Harper College students for their excellence in campus involvement and leadership. They are recommended in lieu of salaries, tuition waiver grants, or other means of payment for particular student positions. They are recommended based on consideration of a nominator's assessment of a student's leadership performance, position(s) held in clubs or organizations, length of service, academic credit-hour completion, and the grade-point average achieved during the specified semester. A student's eligibility for an award is based on an evaluation of each of these criteria.

### **Information**

Monetary student awards are presented in recognition of specific campus responsibilities by the Center for Student Involvement as a means of compensating student leaders for significant contributions to campus life. Each individual award amount is dependent on the number of credit hours which a student successfully completed for the semester and is equivalent to a rebate of the value of one-quarter, one-half, or one-whole semester's tuition. The Board of Trustees is asked to approve Student Service Awards after each semester. Historically, Auxiliary funds have been allotted each year for these awards, and the Educational Foundation provides the funding for the Student Trustee's award.

### **Rationale**

As per Board Policy 3.12, Student Service Awards are presented to students for outstanding contributions in the area of student involvement and campus life. Distribution of Student Service Awards requires approval of the Board of Trustees.

### **Funding Source**

The amount of \$119.25 per credit hour, up to three (3) credit hours for a "quarter" award, up to six (6) credit hours for a "half" award, and up to twelve (12) credit hours for a "full" award will be paid from the auxiliary Student Activity Fund (account number 3300-9010-858-5902001; a total payment of \$29,633.60 from this budget). In addition, the Educational Foundation will contribute \$119.25 per credit hour earned for the Student Trustee's award (for a total of \$1,192.50), funded by the General Scholarship Fund (account number 0001-0000-000-1309011).

The 35 awards recommended for fall semester 2016 total \$30,826.10 to be awarded to outstanding student leaders.

\*PROPOSED\*

STUDENT ID#	STUDENT LAST NAME	STUDENT FIRST NAME	NOMINATING STUDENT ORGANIZATION	FULL/HALF?	AWARD AMOUNT
H00663306	Akinyele	Suliat	Black Student Union	Half	\$ 715.50
H00734979	Ball	Kaitlyn	Harper Dance Company	Full	\$ 1,431.00
H00647314	Barrette	Rosalie	Phi Theta Kappa	Full	\$ 1,431.00
H00759316	Bogucki	Breanna	ADS Success Club	Full	\$ 1,431.00
H00623513	Chamberlain	Patrick	Water Polo Club	Half	\$ 357.75
H00683642	Collins	Donald	Law Association	Half	\$ 178.87
H00713297	Cruz	Ivan	Student Government Association	Full	\$ 1,431.00
H00701708	DeBold *	Eric	Student Trustee *	Full	\$ 1,192.50
H00298066	DeCastro	Ricardo	Graphic Design Club	Half	\$ 357.75
H00738302	Furukawa	Elli	Phi Theta Kappa	Full	\$ 1,431.00
H00001668	Glasby	Amanda	Law Association	Half	\$ 178.87
H00708514	Helsdingen	Kayla	Health Career and Pre-Med Club	Full	\$ 1,431.00
H00753538	Jelen	Patrycja	National Kitchen and Bath Association	Half	\$ 715.50
H00732835	Johnson	Gabriella	Pom/Dance	Full	\$ 1,431.00
H00655846	Kaup	Daniel	WHCM	Half	\$ 357.75
H00448563	Lederer	Anne	National Kitchen and Bath Association	Half	\$ 655.87
H00709899	Licari	Samantha	Hospitality Service Club	Half	\$ 715.50
H00733264	Lorenzo	Julie	Ethics Bowl	Half	\$ 715.50
H00684744	Makdah	Lydia	Student Activities Board	Full	\$ 1,252.12
H00733702	Markiewicz	Natalia	Honors	Full	\$ 1,431.00
H00756722	Mesa	Blaise	WHCM	Half	\$ 715.50
H00711901	Miller	Brian	Theater Club	Half	\$ 715.50
H00719860	Neumann	Niki	Water Polo Club	half	\$ 715.50
H00656944	Periman	Cory	Water Polo Club	Half	\$ 477.00
H00733781	Priniski	Scott	Student Nurses Association	Full	\$ 1,311.75
H00704652	Recendez	Jose	Film Club	Full	\$ 715.50
H00739619	Rizvi	Syed Muhammad Wali	Honors	Full	\$ 1,431.00
H00759522	Robertson	Steven	Business and Entrepreneurship Club	Half	\$ 715.50
H00761267	Safakas	Niki	Student Government Association	Half	\$ 715.50
H00719252	Schirmbeck	Teagan	Harper's Bizarre Club	Full	\$ 1,431.00
H00088848	Weaver	Geneen	WHCM	Half	\$ 357.75
H00654122	Wenzel	Nicholas	Graphic Design Club	Half	\$ 715.50
H00745441	Wurtz	Andrew	Hospitality Service Club	Half	\$ 715.50
H00129424	Xie	Lillian	Student Government Association	Half	\$ 715.50
H00746005	Zavelevich	Julie	Business and Entrepreneurship Club	Half	\$ 536.62
<b>35 TOTAL AWARDS:</b>					<b>\$ 30,826.10</b>
<i>* The Harper College Educational Foundation contributes to the funding of the Student Trustee's award.</i>					

**Subject:** Building F Naming in Recognition of David and Diane Hill

**Recommended by:**

Laura Brown, Vice President and Chief Advancement Officer

**Description**

A recommendation is being made to name Building F (also known as the Library) the David K. Hill Family Library in recognition of David and Diane Hill's contributions to Harper College in excess of \$1,000,000.

**Information**

Diane and David Hill have been instrumental in providing funding for the Deaf Institution, Individual Scholarships, capital campaigns and recently the Promise Scholarship Program. It is with deep respect that the Harper College Educational Foundation Board recommends the naming of the library at Harper College, as the David K. Hill Family Library.

A formal dedication will occur with the reopening of the Library upon completion of the construction of Building F.

**Rationale**

In April 2014, the Board adopted the Gift Acceptance and Recognition Policy. David and Diane Hill have donated more than \$1,000,000 in support of Harper College students and programs. As part of the College's Gift Acceptance and Recognition Policy, their cumulative giving of \$1,000,000 represents a Top Tier naming opportunity to include buildings on the Harper College campus.

**Funding Source**

Not applicable to this exhibit.

## Consent Agenda for Information

- X-B.1 Monthly Financial Statements
- X-B.2 Board Committee and Liaison Reports
- X-B.3 Grants and Gifts Status Report
- X-B.4 Review of Consortium, Cooperative and State of Illinois Contracts Purchasing Status Report
- X-B.5 Faculty Tenure Status – Second Year Fall Hires
- X-B.6 Faculty Tenure Status Report (1st Years)

**Subject:** Monthly Financial Statements

**Recommended by:**  
Bret Bonnstetter, Controller  
Accounting Services

**Description**

Monthly financial statements for review.

**Information**

Not applicable for this exhibit.

**Rationale**

Not applicable for this exhibit.

**Funding Source**

Not applicable for this exhibit.

**WILLIAM RAINEY HARPER COLLEGE**  
**FY 2016/2017 BUDGET AND EXPENDITURES**  
 December 31st, 2016 (UNAUDITED)

Consent Agenda  
Exhibit X-B.1  
 February 15, 2017

**EDUCATION FUND**

DIVISION	BUDGET	BUDGET YTD	EXPENDITURES YEAR TO DATE	FUTURE COMMITMENTS	% PAID OR COMMITTED	UNCOMMITTED BALANCE
<b>President</b>						
President & Board of Trustees	\$ 962,303	\$ 410,134	\$ 436,120	\$ 328,064	79.41%	\$ 198,119
<b>Student Success</b>						
Provost	\$ 1,000,402	\$ 426,371	\$ 286,258	\$ 141,861	42.79%	\$ 572,283
Business & Social Sciences	\$ 5,789,116	\$ 2,467,321	\$ 2,314,499	\$ 1,322,940	62.83%	\$ 2,151,677
Liberal Arts	\$ 13,308,999	\$ 5,672,295	\$ 5,468,273	\$ 3,632,667	68.38%	\$ 4,208,059
Resources for Learning	\$ 3,326,137	\$ 1,417,600	\$ 1,320,228	\$ 727,119	61.55%	\$ 1,278,790
Health Careers	\$ 6,957,532	\$ 2,965,300	\$ 2,755,089	\$ 1,500,984	61.17%	\$ 2,701,459
Math & Science	\$ 9,691,908	\$ 4,130,691	\$ 4,130,217	\$ 2,580,602	69.24%	\$ 2,981,089
Enrollment Services	\$ 5,152,190	\$ 2,195,863	\$ 2,288,169	\$ 1,860,708	80.53%	\$ 1,003,313
Student Affairs	\$ 2,259,440	\$ 962,973	\$ 958,203	\$ 549,901	66.75%	\$ 751,336
Student Involvement	\$ 206,698	\$ 88,095	\$ 75,743	\$ 74,736	72.80%	\$ 56,219
Student Development	\$ 5,262,202	\$ 2,242,750	\$ 2,288,899	\$ 1,481,185	71.64%	\$ 1,492,118
Career & Technical Programs	\$ 5,422,004	\$ 2,310,858	\$ 2,439,337	\$ 1,326,393	69.45%	\$ 1,656,274
Associate Provost	\$ 1,911,823	\$ 814,819	\$ 771,728	\$ 547,767	69.02%	\$ 592,328
<b>Sub total</b>	<b>\$ 60,288,451</b>	<b>\$ 25,694,938</b>	<b>\$ 25,096,643</b>	<b>\$ 15,746,863</b>	<b>67.75%</b>	<b>\$ 19,444,945</b>
<b>Finance &amp; Administrative Services</b>						
Administrative Services	\$ 340,583	\$ 145,156	\$ 157,534	\$ 131,110	84.75%	\$ 51,939
Accounting Services	\$ 1,894,200	\$ 807,308	\$ 767,273	\$ 590,215	71.67%	\$ 536,712
Information Technology	\$ 9,501,724	\$ 4,049,635	\$ 3,673,562	\$ 3,234,782	72.71%	\$ 2,593,380
Human Resources	\$ 1,725,432	\$ 735,379	\$ 709,578	\$ 452,511	67.35%	\$ 563,343
<b>Sub total</b>	<b>\$ 13,461,939</b>	<b>\$ 5,737,478</b>	<b>\$ 5,307,947</b>	<b>\$ 4,408,618</b>	<b>72.18%</b>	<b>\$ 3,745,374</b>
<b>Workforce, Planning &amp; Institutional Effectiveness</b>						
Planning & Institutional Effectiveness	\$ 1,389,307	\$ 592,123	\$ 531,565	\$ 476,622	72.57%	\$ 381,120
Workforce & Economic Development	\$ 701,844	\$ 299,126	\$ 323,022	\$ 248,646	81.45%	\$ 130,176
Workforce & Strategic Alliances	\$ 440,716	\$ 187,833	\$ 209,861	\$ 146,065	80.76%	\$ 84,790
<b>Sub total</b>	<b>\$ 2,531,867</b>	<b>\$ 1,079,082</b>	<b>\$ 1,064,448</b>	<b>\$ 871,333</b>	<b>76.46%</b>	<b>\$ 596,086</b>
<b>Institutional Advancement &amp; Communications</b>						
Advancement	\$ 960,305	\$ 409,282	\$ 424,737	\$ 333,389	78.95%	\$ 202,179
Publications & Comm Services	\$ 2,973,080	\$ 1,267,127	\$ 1,397,412	\$ 924,560	78.10%	\$ 651,108
Media Comm & Gov't Relations	\$ 717,593	\$ 305,838	\$ 275,492	\$ 136,547	57.42%	\$ 305,554
<b>Sub total</b>	<b>\$ 4,650,978</b>	<b>\$ 1,982,247</b>	<b>\$ 2,097,641</b>	<b>\$ 1,394,496</b>	<b>75.08%</b>	<b>\$ 1,158,841</b>
<b>Institutional</b>						
Institutional	\$ 12,902,346	\$ 5,498,980	\$ 4,774,394	\$ 472,296	40.66%	\$ 7,655,656
<b>Grand Total:</b>	<b>\$ 94,797,884</b>	<b>\$ 40,402,858</b>	<b>\$ 38,777,193</b>	<b>\$ 23,221,670</b>	<b>65.40%</b>	<b>\$ 32,799,021</b>

Note: Future salary costs for all full-time and regular faculty and staff are encumbered as future commitments. Future commitments include salaries for adjunct faculty and overload only when these expenses enter the payroll system (which occurs during Fall, Spring and Summer semesters). Salaries are not encumbered in future commitments for temporary employees (part-time and full-time) and student aides.

**WILLIAM RAINEY HARPER COLLEGE**  
**FY 2016/2017 BUDGET AND EXPENDITURES**  
 December 31st, 2016 (Unaudited)

Consent Agenda  
Exhibit X-B.1  
 February 15, 2017

**OPERATIONS AND MAINTENANCE FUND**

DIVISION	BUDGET	EXPENDITURES YEAR TO DATE	FUTURE COMMITMENTS	% PAID OR COMMITTED	UNCOMMITTED BALANCE
<b>Institutional</b>					
Institutional	\$ 1,307,826	\$ 348,963	\$ 106,566	34.83%	\$ 852,297
<b>Finance &amp; Administrative Services</b>					
Administrative Services	\$ 1,581,136	\$ 657,523	\$ 522,948	74.66%	\$ 400,665
Information Technology	\$ 1,502,053	\$ 553,687	\$ 527,820	72.00%	\$ 420,546
Facilities Management	\$ 12,829,586	\$ 4,943,640	\$ 2,954,568	61.56%	\$ 4,931,378
Sub total	\$ 15,912,775	\$ 6,154,850	\$ 4,005,336	63.85%	\$ 5,752,589
<b>Grand Total:</b>	<b>\$ 17,220,601</b>	<b>\$ 6,503,813</b>	<b>\$ 4,111,902</b>	<b>61.65%</b>	<b>\$ 6,604,886</b>

**AUDIT FUND**

DIVISION	BUDGET	EXPENDITURES YEAR TO DATE	FUTURE COMMITMENTS	% PAID OR COMMITTED	UNCOMMITTED BALANCE
<b>Institutional</b>					
Institutional	\$ 15,273	\$ -	\$ -	0.00%	\$ 15,273
<b>Grand Total:</b>	<b>\$ 15,273</b>	<b>\$ -</b>	<b>\$ -</b>	<b>0.00%</b>	<b>\$ 15,273</b>

**LIABILITY, PROTECTION AND SETTLEMENT FUND**

DIVISION	BUDGET	EXPENDITURES YEAR TO DATE	FUTURE COMMITMENTS	% PAID OR COMMITTED	UNCOMMITTED BALANCE
<b>Institutional</b>					
Institutional	\$ 300,000	\$ 351,022	\$ 4,205	118.41%	\$ (55,227)
<b>Grand Total:</b>	<b>\$ 300,000</b>	<b>\$ 351,022</b>	<b>\$ 4,205</b>	<b>118.41%</b>	<b>\$ (55,227)</b>

Note: Future salary costs for all full-time and regular faculty and staff are encumbered as future commitments. Future commitments include salaries for adjunct faculty and overload only when these expenses enter the payroll system (which occurs during Fall, Spring and Summer semesters). Salaries are not encumbered in future commitments for temporary employees (part-time and full-time) and student aides.

**WILLIAM RAINEY HARPER COLLEGE**  
**FY 2016/2017 SALARY BUDGET AND EXPENDITURES**  
**December 31st, 2016 (UNAUDITED)**

Consent Agenda  
**Exhibit X-B.1**  
February 15, 2017

**EDUCATION FUND**

DIVISION	BUDGET	EXPENDITURES YEAR TO DATE	FUTURE COMMITMENTS	% PAID OR COMMITTED	UNCOMMITTED BALANCE
<b>President</b>					
President & Board of Trustees	\$ 729,784	\$ 360,361	\$ 316,706	92.78%	\$ 52,717
<b>Student Success</b>					
Provost	\$ 534,777	\$ 147,033	\$ 141,862	54.02%	\$ 245,882
Business & Social Sciences	\$ 5,118,231	\$ 2,041,936	\$ 1,320,274	65.69%	\$ 1,756,021
Liberal Arts	\$ 11,715,526	\$ 4,731,962	\$ 3,628,266	71.36%	\$ 3,355,298
Resources for Learning	\$ 2,488,389	\$ 922,305	\$ 725,717	66.23%	\$ 840,367
Health Careers	\$ 5,504,509	\$ 2,234,031	\$ 1,452,785	66.98%	\$ 1,817,693
Math & Science	\$ 8,338,915	\$ 3,493,821	\$ 2,546,434	72.43%	\$ 2,298,660
Enrollment Services	\$ 4,087,395	\$ 1,848,650	\$ 1,857,894	90.68%	\$ 380,851
Student Affairs	\$ 1,605,527	\$ 674,578	\$ 530,970	75.09%	\$ 399,979
Student Involvement	\$ 150,777	\$ 67,858	\$ 73,659	93.86%	\$ 9,260
Student Development	\$ 4,381,552	\$ 1,869,690	\$ 1,478,625	76.42%	\$ 1,033,237
Career & Technical Programs	\$ 4,423,347	\$ 1,988,803	\$ 1,196,214	72.00%	\$ 1,238,330
Associate Provost	\$ 1,379,492	\$ 559,514	\$ 545,971	80.14%	\$ 274,007
<b>Sub total</b>	<b>\$ 49,728,437</b>	<b>\$ 20,580,181</b>	<b>\$ 15,498,671</b>	<b>72.55%</b>	<b>\$ 13,649,585</b>
<b>Finance &amp; Administrative Services</b>					
Administrative Services	\$ 272,871	\$ 131,438	\$ 131,110	96.22%	\$ 10,323
Accounting Services	\$ 1,546,923	\$ 628,516	\$ 578,146	78.00%	\$ 340,261
Information Technology	\$ 6,179,058	\$ 2,616,970	\$ 2,742,770	86.74%	\$ 819,318
Human Resources	\$ 751,687	\$ 343,623	\$ 340,141	90.96%	\$ 67,923
<b>Sub total</b>	<b>\$ 8,750,539</b>	<b>\$ 3,720,547</b>	<b>\$ 3,792,167</b>	<b>85.85%</b>	<b>\$ 1,237,825</b>
<b>Workforce, Planning &amp; Institutional Effectiveness</b>					
Planning & Institutional Effectiveness	\$ 988,067	\$ 457,074	\$ 469,245	93.75%	\$ 61,748
Workforce & Economic Development	\$ 564,867	\$ 250,754	\$ 248,646	88.41%	\$ 65,467
Workforce & Strategic Alliance	\$ 376,048	\$ 174,402	\$ 146,065	85.22%	\$ 55,581
<b>Sub total</b>	<b>\$ 1,928,982</b>	<b>\$ 882,230</b>	<b>\$ 863,956</b>	<b>90.52%</b>	<b>\$ 182,796</b>
<b>Institutional Advancement &amp; Communications</b>					
Advancement	\$ 684,741	\$ 310,436	\$ 315,634	91.43%	\$ 58,671
Publications & Com Services	\$ 1,470,320	\$ 642,077	\$ 652,612	88.05%	\$ 175,631
Media Comm & Gov't Relations	\$ 432,477	\$ 172,056	\$ 136,548	71.36%	\$ 123,873
<b>Sub total</b>	<b>\$ 2,587,538</b>	<b>\$ 1,124,569</b>	<b>\$ 1,104,794</b>	<b>86.16%</b>	<b>\$ 358,175</b>
<b>Institutional</b>					
Institutional	\$ 834,415	\$ 36,101	\$ -	4.33%	\$ 798,314
<b>Grand Total:</b>	<b>\$ 64,559,695</b>	<b>\$ 26,703,989</b>	<b>\$ 21,576,294</b>	<b>74.78%</b>	<b>\$ 16,279,412</b>

Note: Future salary costs for all full-time and regular faculty and staff are encumbered as future commitments. Future commitments include salaries for adjunct faculty and overload only when these expenses enter the payroll system (which occurs during Fall, Spring and Summer semesters). Salaries are not encumbered in future commitments for temporary employees (part-time and full-time) and student aides.

**WILLIAM RAINEY HARPER COLLEGE**  
**FY 2016/2017 SALARY BUDGET AND EXPENDITURES**  
**December 31st, 2016 (Unaudited)**

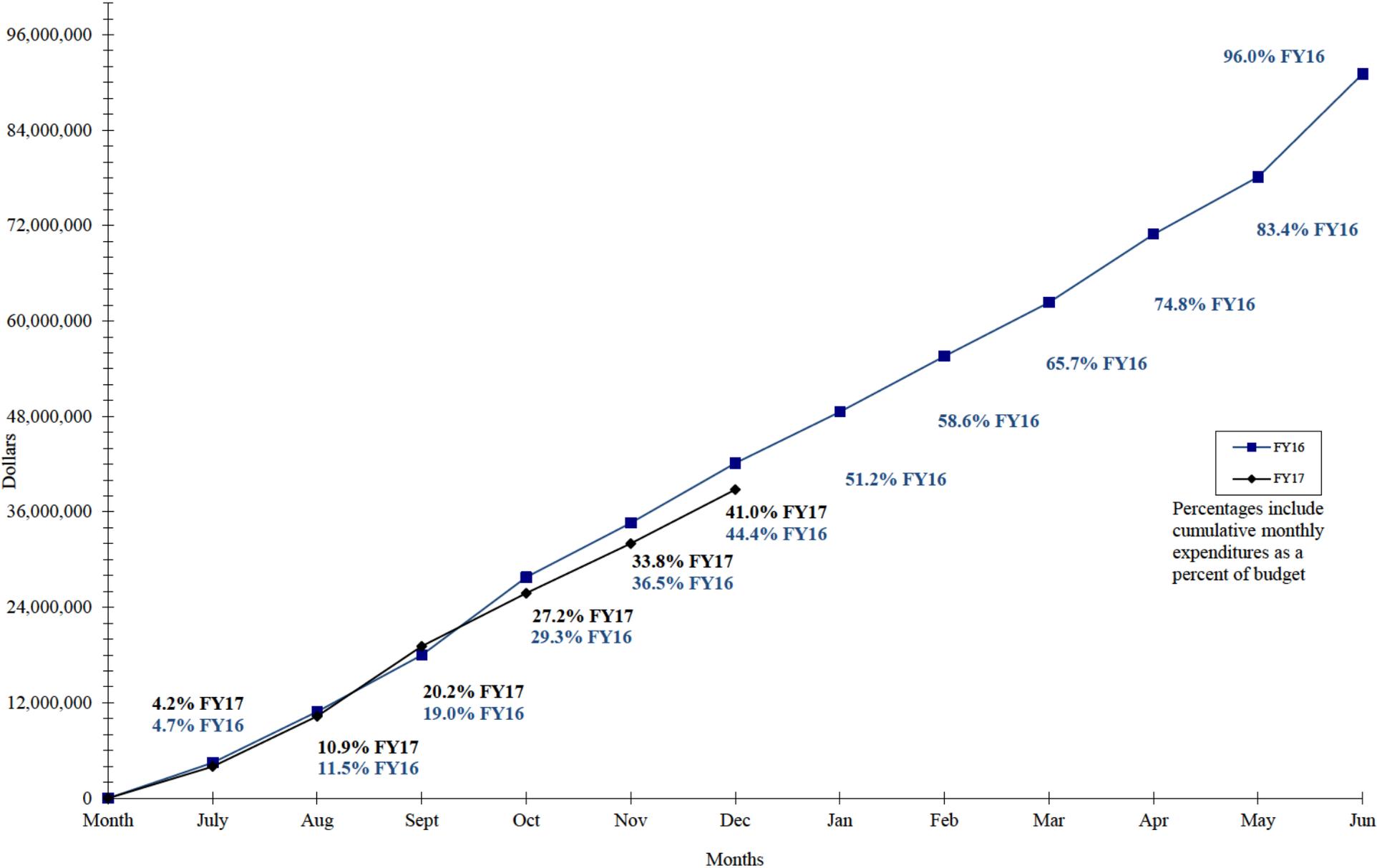
Consent Agenda  
Exhibit X-B.1  
February 15, 2017

**OPERATIONS AND MAINTENANCE FUND**

DIVISION	BUDGET	EXPENDITURES YEAR TO DATE	FUTURE COMMITMENTS	% PAID OR COMMITTED	UNCOMMITTED BALANCE
<b>Institutional</b>					
Institutional	\$ 77,186	\$ 6,420	\$ -	8.32%	\$ 70,766
<b>Finance &amp; Administrative Services</b>					
Administrative Services	\$ 1,208,402	\$ 500,618	\$ 473,723	80.63%	\$ 234,061
Information Technology	\$ 252,580	\$ 93,017	\$ 96,309	74.96%	\$ 63,254
Facilities Management	\$ 5,128,478	\$ 2,194,786	\$ 2,237,751	86.43%	\$ 695,941
Sub total	\$ 6,589,460	\$ 2,788,421	\$ 2,807,783	84.93%	\$ 993,256
<b>Grand Total:</b>	<b>\$ 6,666,646</b>	<b>\$ 2,794,841</b>	<b>\$ 2,807,783</b>	<b>84.04%</b>	<b>\$ 1,064,022</b>

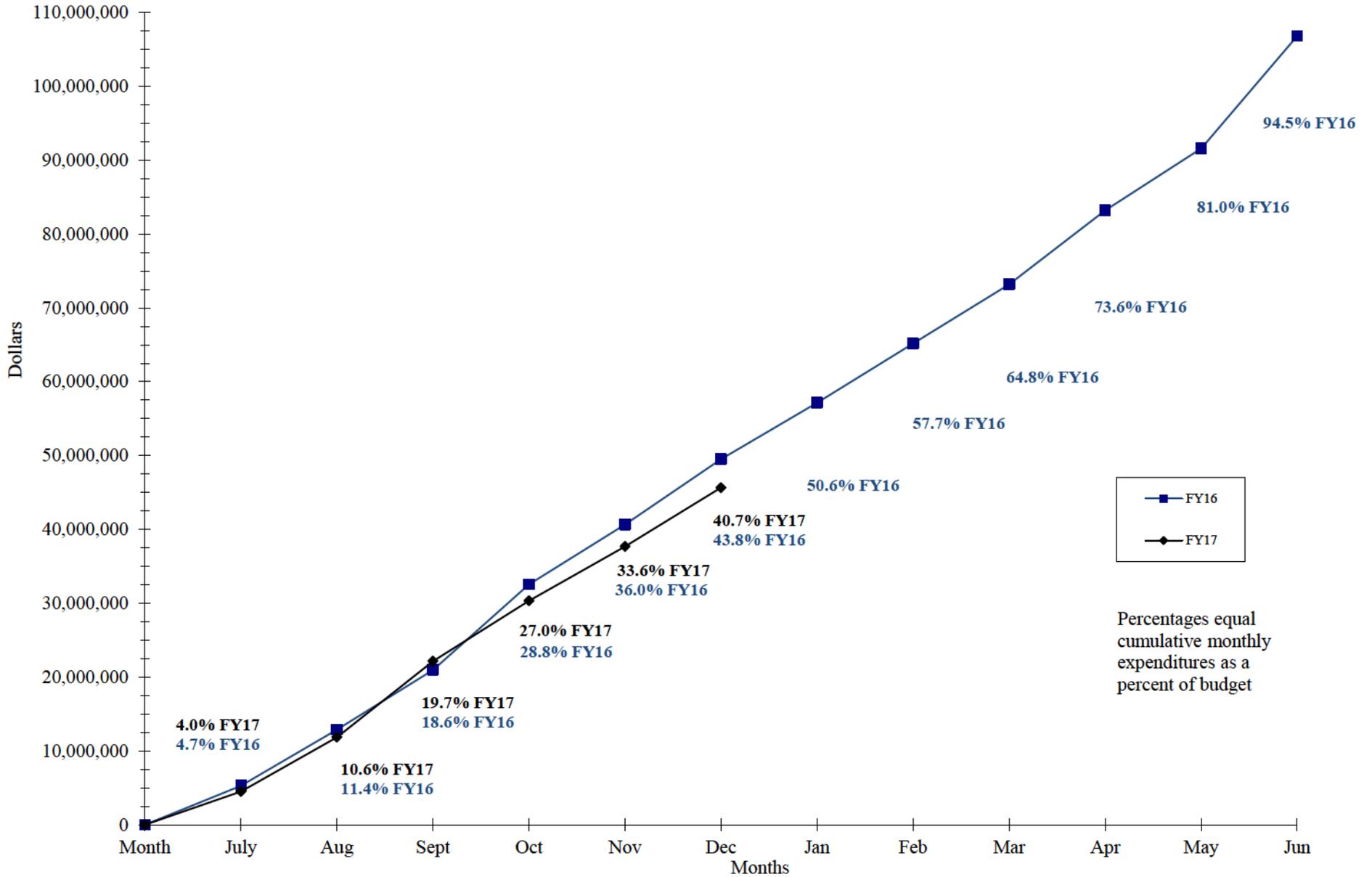
Note: Future salary costs for all full-time and regular faculty and staff are encumbered as future commitments. Future commitments include salaries for adjunct faculty and overload only when these expenses enter the payroll system (which occurs during Fall, Spring and Summer semesters). Salaries are not encumbered in future commitments for temporary employees (part-time and full-time) and student aides.

**FY 2016 & FY 2017 Education Fund (01) Expenditures  
 as of December 31st (UNAUDITED)**



**FY 2016 & FY 2017 Tax-Capped Funds Expenditures\*  
as of December 31st (UNAUDITED)**

Consent Agenda  
Exhibit X-B.1  
February 15, 2017



\* Includes Education, Operations and Maintenance, Audit, and Liability, Protection, and Settlement Funds

WILLIAM RAINEY HARPER COLLEGE  
Schedule of Investments  
As of December 31, 2016

Exhibit X-B.1  
February 15, 2017

Depository or Instrument	Date Purchased	Date of Maturity	Term (Days)	Rate (%)	Earnings to Maturity	Principal Invested @ December 31, 2016	Market Value
<b>Certificates of Deposits</b>							
PMA/USAmeribank	07/25/16	01/05/17	164	0.379	425.36	249,500	
PMA/Enterprise Bank & Trust	07/25/16	01/05/17	164	0.399	447.73	249,500	
PMA/Landmark Community Bank	07/25/16	01/05/17	164	0.392	438.91	249,500	
PMA/CFG Community Bank	07/25/16	01/05/17	164	0.392	440.01	249,500	
PMA/BOFI Federal Bank	07/27/16	01/05/17	162	0.370	2,052.74	1,250,000	
PMA/BOFI Federal Bank	07/27/16	01/19/17	176	0.380	7,788.31	4,250,500	
PMA/Jonesboro State Bank	07/27/16	01/19/17	176	0.380	457.23	249,500	
PMA/Rockford B&TC	08/04/16	02/02/17	185	0.392	496.35	249,500	
PMA/Landmark Bank, NA	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Fahey Banking Co	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/CapStar Bank	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Merrick Bank	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Welch State Bank	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Signature Bank	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Bank of Edwardsville	08/04/16	02/02/17	185	0.450	476.96	212,480	
PMA/St Louis Bank	08/04/16	02/02/17	185	0.450	449.97	200,453	
PMA/Commercial Bank of California	08/04/16	02/02/17	185	0.450	145.85	64,972	
PMA/First Bank of Charleston, Inc	08/04/16	02/02/17	185	0.450	72.49	32,294	
PMA/Regent Bank	08/04/16	02/02/17	185	0.450	47.79	21,292	
PMA/Bank Texas, NA	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Farm Bureay Bank FSB	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/AVB Bank	08/04/16	02/02/17	185	0.450	553.46	246,557	
PMA/Third Coast Bank, SSB	08/12/16	02/16/17	188	0.441	566.80	249,400	
PMA/United Security Bank	08/26/16	02/22/17	180	0.441	542.83	249,400	
PMA/Sovereign Bank	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/C US Bank	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Peoples State Bank of Hallettsville	09/01/16	03/02/17	182	0.450	71.97	32,073	
PMA/Four Oaks Bank & Trust Co	09/01/16	03/02/17	182	0.450	28.51	12,682	
PMA/Texas Gulf Bank, NA	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Western National Bank	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Treynor State Bank	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Bank of Tioga	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Bank of Hope/BBCN Bank	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Seaside National Bank & Trust	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Relyance Bank NA	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Flagstar Bank FSB	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Cass Commercial Bank	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Mutual of Omaha	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Bank of England	09/01/16	03/02/17	182	0.450	553.23	246,557	
PMA/Orrstown Bank	08/26/16	03/16/17	202	0.449	618.12	248,800	

PMA/Prudential Savings Bank	09/07/16	03/16/17	190	0.410	532.74	249,400
PMA/Flagler Bank	09/07/16	03/16/17	190	0.410	532.28	249,400
PMA/Security State Bank	09/07/16	03/16/17	190	0.442	572.44	248,800
PMA/Texas Capital Bank	09/07/16	03/16/17	190	0.410	3,741.33	1,753,000
PMA/Financial Federal Bank	09/07/16	03/16/17	190	0.410	532.29	249,400
PMA/Citibank	09/20/16	03/16/17	177	0.390	2,838.56	1,500,000
PMA/TBK Bank SSB	09/20/16	03/20/17	181	0.400	494.90	249,500
PMA/Cedar Rapids State Bank	09/20/16	03/21/17	182	0.401	499.33	249,500
PMA/Citibank	09/20/16	03/30/17	191	0.400	3,666.05	1,750,000
PMA/Patriot Bank-OK	10/04/16	03/30/17	177	0.430	520.53	249,400
PMA/Edgar County B&TC	10/18/16	03/30/17	163	0.460	512.33	249,400
PMA/Kansas State Bank	04/07/15	04/07/17	731	0.691	3,412.30	246,500
PMA/Citibank	09/20/16	04/12/17	204	0.400	4,475.81	2,000,000
PMA/Amarillo National Bank	10/13/16	04/13/17	182	0.440	502.75	229,139
PMA/Bank 2	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Great Southern Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Legacy Texas Bank/View Point Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Tristate Capital Bank	10/13/16	04/13/17	182	0.440	541.00	246,571
PMA/Alliance Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/First State Bank of IL	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Peoples Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Fist Bank of Charleston, Inc	10/13/16	04/13/17	182	0.440	470.14	214,274
PMA/Cortland Savings and Banking Co	10/13/16	04/13/17	182	0.440	460.91	210,068
PMA/Progressive Savings Bank FSB	10/13/16	04/13/17	182	0.440	219.92	100,232
PMA/Pulaski Bank	10/13/16	04/13/17	182	0.440	109.96	50,116
PMA/Gateway Bank of Florida	10/13/16	04/13/17	182	0.440	2.24	1,046
PMA/Park National Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Pinnacle Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Mabrey Bank/Citizens Security Bank & Trust	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Farmers Bank & Trust	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Morton Community Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/First National Bank of Albany	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/First National Bank/First NA	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Eclipse Bank, Inc	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Pinnacle Bank	10/13/16	04/13/17	182	0.440	541.00	246,570
PMA/Texas Capital Bank	08/01/16	05/11/17	283	0.410	793.05	249,200
PMA/Security Bank & Trust Co	08/01/16	05/11/17	283	0.460	889.05	249,100
PMA/Valley National Bank	08/01/16	05/11/17	283	0.490	946.51	249,000
PMA/Bank of China	08/01/16	05/11/17	283	0.511	985.66	249,000
PMA/Navy Federal Credit Union	08/08/16	05/11/17	276	0.460	18,281.05	5,250,000
PMA/High Plains Bank	08/05/16	05/11/17	279	0.401	764.63	249,200
PMA/Pacific Western Bank	05/20/15	05/19/17	730	0.557	2,750.05	247,200
PMA/Access National Bank	05/20/15	05/19/17	730	0.649	3,201.04	246,700
PMA/Midland States Bank	05/20/15	05/19/17	730	0.506	2,503.69	247,400
PMA/GBC International Bank	08/06/15	05/19/17	652	0.651	2,843.44	244,500
PMA/Presidto Bank	08/06/15	05/19/17	652	0.609	2,661.43	244,800
PMA/Trisummit Bank	08/06/15	05/19/17	652	0.610	2,646.35	245,200

PMA/Luana Savings Bank	08/12/15	05/19/17	646	0.500	2,192.86	247,800
PMA/Community State Bank OK	08/12/15	05/19/17	646	0.430	1,887.72	248,100
PMA/East Boston Savings Bank	08/12/15	05/19/17	646	0.429	1,883.39	248,100
PMA/Private Bank MI	08/12/15	05/19/17	646	0.430	1,888.27	248,100
PMA/State Bank of Davis	08/12/15	05/19/17	646	0.428	1,857.22	248,100
PMA/Bank of the Ozarks	08/12/15	05/19/17	646	0.406	1,758.46	248,200
PMA/Bank United NA	08/12/15	05/19/17	646	0.400	10,701.30	1,511,600
PMA/Citibank	09/20/16	05/25/17	247	0.410	2,774.52	1,000,000
PMA/Old Plank Trail Community Bank NA	10/18/16	05/25/17	219	0.500	748.28	249,200
PMA/Centruze Bank	10/18/16	05/25/17	219	0.500	748.07	249,200
PMA/Village Bank & Trust	10/18/16	05/25/17	219	0.500	748.28	249,200
PMA/St Charles B&TC	10/18/16	05/25/17	219	0.500	748.28	249,200
PMA/Wheaton Bank and Trust	10/18/16	05/25/17	219	0.500	748.28	249,200
PMA/Libertyville B&TC	10/18/16	05/25/17	219	0.500	748.28	249,200
PMA/First Commons Bank NA	10/18/16	05/25/17	219	0.461	689.30	249,300
PMA/Town Bank-Wintrust	10/18/16	05/25/17	219	0.500	748.28	249,200
PMA/Bank of Ruston	11/29/16	05/31/17	183	0.542	677.56	249,300
PMA/Bank of the Ozarks	12/02/16	05/31/17	180	0.500	7,402.16	3,000,000
PMA/Fieldpoint Private Bank and Trust	12/06/16	05/31/17	176	0.600	661.47	249,300
PMA/Enerbank USA	07/13/15	07/13/17	731	0.798	3,933.66	246,000
PMA/Navy Federal Credit Union	08/08/16	08/03/17	360	0.530	15,685.42	3,000,000
PMA/Southern States Bank	08/05/16	08/07/17	367	0.578	1,454.23	248,500
PMA/Golden Bank, NA	08/05/16	08/07/17	367	0.550	1,375.44	248,600
PMA/Native American Bank NA	08/05/16	08/07/17	367	0.552	1,378.57	248,600
PMA/Bank of the West	08/06/14	08/07/17	1097	1.017	7,411.76	242,400
PMA/Granite Community Bank/First NB of Cold Spr	08/05/16	08/07/17	367	0.550	1,374.80	248,600
PMA/Affiliated Bank	08/05/16	08/07/17	364	0.691	1,725.33	248,200
PMA/USAMERIBANK	08/08/16	08/10/17	367	0.551	5,541.79	1,000,000
PMA/Independence Bank MT	08/12/15	08/11/17	730	0.691	3,407.63	246,500
PMA/The Exchange State Bank	08/17/15	08/17/17	731	0.649	3,205.43	246,700
PMA/Crystal Lake B&TC, NA-Wintrust	11/29/16	08/31/17	275	0.550	1,030.93	248,900
PMA/Beverly Bank & Trust Co, NA-Wintrust	11/29/16	08/31/17	275	0.550	1,030.93	248,900
PMA/Wintrust Bank/North Shote Comm B&TC	11/29/16	08/31/17	275	0.550	1,030.93	248,900
PMA/Bank of the Ozarks	12/06/16	08/31/17	268	0.600	9,913.34	2,250,000
PMA/CIT Bank/Onewest Bank NA	11/17/15	11/17/17	731	0.957	4,699.56	245,200
PMA/Community West Bank	08/05/16	02/05/18	549	0.652	2,426.47	247,500
PMA/Alliant Credit Union	08/05/16	02/05/18	549	0.661	2,435.94	247,500
PMA/Pacific Commerce Bank	08/05/16	02/05/18	549	0.650	2,420.73	247,500
PMA/First Capital Bank	08/05/16	02/05/18	549	0.651	2,423.47	247,500
PMA/Western Alliance Bank	08/05/16	02/05/18	549	0.652	2,427.33	247,500
PMA/USAMERIBANK	08/08/16	02/08/18	549	0.621	30,375.96	3,250,000
PMA/Nxt Bank/City State Bank	02/24/16	02/23/18	730	0.851	4,176.95	245,700
PMA/Commerce Bank NA	03/04/15	03/06/18	1098	1.001	7,097.50	235,600
PMA/Commerce Bank NA	03/04/15	03/06/18	1098	1.001	18,056.32	599,400
PMA/First Bank of Highland Park	03/08/16	03/08/18	730	0.850	4,160.81	245,800
PMA/First National Bank	04/07/15	04/06/18	1096	1.033	7,511.22	242,300
PMA/Schaumburg B&TC	06/09/16	06/11/18	732	0.849	4,184.21	245,700

PMA/Farmers & Merchangs Union Bank	08/05/16	08/06/18	731	0.942	4,626.72	245,300	
PMA/Premier Bank	08/05/16	08/06/18	731	0.742	3,659.57	246,300	
PMA/USAMERIBANK	08/05/16	08/06/18	731	0.700	46,996.10	3,350,000	
PMA/Bremer Bank, NA	08/05/16	08/06/18	731	0.792	3,901.58	246,000	
PMA/Sonabank	08/05/16	08/06/18	731	0.849	4,179.65	245,700	
PMA/Sterns Bank NA	09/20/16	09/20/18	730	0.893	4,382.19	245,500	
						<u>65,550,297</u>	<u>65,550,297</u>

**Government Securities**

**Depository Trust Corporation**

PMA/Capital One Bank, NA CD	01/23/15	01/23/17	731	0.751	4,227.55	248,485	248,044
PMA/BMW Bank of North America CD	01/23/15	01/23/17	731	0.852	1,532.09	85,080	85,017
PMA/GE Capital Retail Bank CD	08/05/16	02/06/17	185	0.600	820.34	249,063	249,000
PMA/Northfield Bank CD	08/10/16	02/10/17	184	0.550	690.38	249,125	248,999
PMA/United Bank/Rockville Bank CD	08/16/16	02/16/17	184	0.450	753.14	249,188	248,999
PMA/Sterling Bank CD	09/09/16	03/09/17	181	0.500	802.60	249,185	248,983
PMA/Berkshire Bank CD	09/23/16	03/23/17	181	0.500	802.60	249,185	248,963
PMA/Zb NA/Zions First Bank CD	08/10/16	05/10/17	273	0.560	1,205.69	248,166	247,660
PMA/American Express Centurion Bank CD	05/20/15	05/22/17	733	0.902	4,980.38	248,485	248,185
PMA/Northpoint Bank CD	08/22/16	05/22/17	273	0.450	1,117.43	249,279	248,918
PMA/State Bank of India NY Cert of Deposit	12/12/16	06/12/17	182	0.650	931.19	249,124	249,032
PMA/Santander Bank NA	08/10/16	08/10/17	365	0.700	1,984.00	248,246	247,890
PMA/Homestead Bank CD	08/11/16	08/11/17	365	0.550	1,618.50	249,248	248,891
PMA/Wex Bank/Wright Express Fin Svcs CD	08/12/16	08/11/17	364	0.700	1,978.56	248,246	247,887
PMA/DMB Community Bank CD	08/12/16	08/11/17	364	0.600	1,738.25	249,248	248,891
PMA/Plainscapital Bank CD	08/12/16	08/11/17	364	0.550	1,607.58	248,246	247,888
PMA/First Foundation Bank CD	08/12/16	08/11/17	364	0.550	1,607.58	248,246	247,888
PMA/Safra National Bank CD	08/15/16	08/14/17	364	0.630	1,731.24	248,172	247,878
PMA/Bank Leumi USA CD	08/15/16	08/15/17	365	0.650	1,860.00	248,246	247,875
PMA/Evolve Bank & Trust CD	08/17/16	08/17/17	365	0.600	1,860.00	248,370	247,884
PMA/First National Bank in Sioux Falls CD	08/17/16	08/17/17	365	0.600	1,860.00	248,370	247,869
PMA/FNB of McGregor CD	08/18/16	08/18/17	365	0.630	1,743.03	249,174	248,808
PMA/Compass Bank CD	08/31/15	08/31/17	731	1.203	6,456.84	248,477	248,747
PMA/First Niagara Bank CD	09/22/15	09/25/17	734	1.203	6,456.84	248,730	248,543
PMA/Cathay Bank Certificate of Deposit	12/27/16	09/27/17	274	0.717	1,675.53	248,339	248,019
PMA/TCF National Bank CD	11/25/15	11/27/17	733	0.901	5,229.40	248,734	248,464
PMA/Bankunited NA CD	11/30/15	11/30/17	731	0.939	5,463.47	248,792	248,480
PMA/Bank of North Carolina CD	11/30/15	11/30/17	731	0.751	4,488.10	249,738	249,484
PMA/Sallie Mae Bank CD	08/10/16	02/12/18	551	0.910	3,369.40	247,963	247,346
PMA/Citizens Bank-OK CD	08/16/16	02/16/18	549	0.700	3,183.48	249,559	248,327
PMA/Centennial Bank CD	05/29/15	05/29/18	1096	0.895	1,145.10	250,145	249,263
PMA/Ally Bank CD	05/28/15	05/29/18	1097	1.254	702.28	248,702	248,260
PMA/Comenity Capital Bank/World Financial	06/01/15	06/01/18	1096	1.205	335.17	249,336	249,254
PMA/Discover Bank CD	06/15/16	06/15/18	730	0.900	1,800.00	100,000	99,598
PMA/Wells Fargo Bank, NA	06/17/16	06/18/18	731	1.150	5,984.26	249,246	248,453

PMA/BMW Bank of North America CD	06/17/16	06/18/18	731	1.050	3,004.10	149,852	149,670
PMA/Discover Bank CD	08/10/16	08/10/18	730	1.000	3,000.00	150,000	149,453
PMA/1st Security Bank of Washington CD	08/19/16	08/20/18	731	0.750	4,238.84	249,495	247,799
PMA/Yadkin Bank CD	08/24/16	08/24/18	730	0.830	4,481.96	249,346	247,777
PMA/Capital One NA CD	09/28/16	09/28/18	730	1.150	5,704.00	248,000	246,545
PMA/Keybank NA CD	11/25/15	11/26/18	1097	1.301	11,180.38	249,448	249,453
						<u>9,692,079</u>	<u>9,674,384</u>
<b>Savings Deposit Account</b>							
SDA/Citibank				0.450		-	-
SDA/East West Bank				0.500		-	-
ISDLAF			Weighted Avg	.50 - .55		20,334,659	20,334,659
ISDLAF Term Series				.38 - .53		11,250,000	11,250,000
Illinois Funds			Average Daily Yield	0.510		12,171,711	12,171,711
			TOTALS AS OF:		December 31, 2016	<u>\$ 118,998,746</u>	<u>\$ 118,981,051</u>

WILLIAM RAINEY HARPER COLLEGE  
Preliminary Schedule of Investments  
As of December 31, 2016

Consent Agenda  
Exhibit X-B.1  
February 15, 2017

	Outstanding Investments	Investment Interest Income		
		FY 2017 Budget	Planned To Date	Earned To Date
EDUCATION FUND	\$ 44,973,932	\$ 50,000	25,000	\$ 109,676
OPERATIONS & MAINT FUND	23,964,476	40,000	20,000	60,697
OPERATIONS & MAINT (RESTRICTED) FUND	8,449,486	10,600	5,300	19,944
BOND & INTEREST FUND	7,804,738	25,000	12,500	36,290
AUXILIARY ENTERPRISES FUND	9,153,108	15,000	7,500	23,098
RESTRICTED PURPOSES FUND	8,881,915	13,000	6,500	22,465
WORKING CASH FUND	15,773,256	25,000	12,500	37,746
LIABILITY, PROTECTION & SETTLEMENT FUND	<u>-2,165</u>	<u>750</u>	<u>375</u>	<u>259</u>
Total	\$ <u>118,998,746</u>	\$ <u>179,350</u>	<u>89,675</u>	\$ <u>310,175</u>



**PMA Financial Network Inc.**

**Portfolio & Rebate Liability Report**

Last Updated: 12/31/16  
 Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
02/05/09	\$156,092,539.15					\$0.00				0.00%	\$156,092,539.15	Original Bond Proceeds
02/28/09						\$0.00		\$58,681.59		0.00%	\$156,151,220.74	Federated Interest
03/06/09		\$8,398,304.46	22473			\$0.00				0.00%	\$147,752,916.28	CUSIP # 64966GYV8 New York City, NY S&P AA / Moody's Aa3
03/06/09		\$1,636,106.81	22474			\$0.00				0.00%	\$146,116,809.47	CUSIP # 64966EBW6 New York City, NY S&P AA / Moody's Aa3
03/09/09		\$4,177,294.13	22466			\$0.00				0.00%	\$141,939,515.34	CUSIP # 20772GMZ Connecticut S&P AA / Moody's Aa3
03/12/09		\$8,268,397.18	22477			\$0.00				0.00%	\$133,671,118.16	CUSIP # 93974BFB3 Washington S&P AA/Moody's Aa1
03/12/09		\$526,805.32	22478			\$0.00				0.00%	\$133,144,312.84	CUSIP # 709141VE2 Pennsylvania S&P AA/Moody's Aa2
03/12/09		\$1,003,642.50	22479			\$0.00				0.00%	\$132,140,670.34	CUSIP # 646039FS1 New Jersey S&P AA/Moody's Aa3
03/20/09		\$5,727,661.11	22485			\$0.00				0.00%	\$126,413,009.23	CUSIP # 419780S77 Hawaii S&P AA / Moody's Aa2
03/27/09		\$1,200,868.89	22491			\$0.00				0.00%	\$125,212,140.34	CUSIP # 57582NSB2 Massachusetts S&P AA/Moody's Aa2
03/27/09		\$1,176,361.11	22492			\$0.00				0.00%	\$124,035,779.23	CUSIP # 940157KF6 Washington DC S&P AAA/Moody's Aaa
03/31/09						\$0.00		\$63,737.28		0.00%	\$124,099,516.51	Federated Interest
03/31/09				\$1,260,814.54	\$0.00	\$0.00				0.00%	\$124,099,516.51	Expenses
04/01/09						\$0.00		\$27,500.00	22491	0.00%	\$124,127,016.51	Coupon
04/01/09		\$5,608,400.00	22487			\$0.00				0.00%	\$118,518,616.51	CUSIP # 930863N68 Wake County, NC S&P AAA/Moody's Aaa
04/02/09		\$5,751,794.44	22497			\$0.00				0.00%	\$112,766,822.07	CUSIP # 419780S69 Hawaii S&P AA/Moody's Aa2
04/03/09		\$5,729,467.02	22499			\$0.00				0.00%	\$107,037,355.05	CUSIP # 97705LSF5 Wisconsin S&P AA/Moody's Aa3
04/09/09		\$1,205,189.38	22507			\$0.00				0.00%	\$105,832,165.67	CUSIP # 434452JB5 Hoffman Estates, IL S&P AA/Moody's Aa2
04/09/09		\$3,008,088.18	22508			\$0.00				0.00%	\$102,824,077.49	CUSIP # 584002LE7 Mecklenburg, NC S&P AAA/Moody's Aaa
04/14/09		\$2,080,040.00	22500			\$0.00				0.00%	\$100,744,037.49	CUSIP # 425508S45 Hennepin County, MN S&P AAA/Moody's Aaa
04/14/09		\$4,357,680.00	22509			\$0.00				0.00%	\$96,386,357.49	CUSIP # 011770S21 Alaska S&P AA/Moody's Aa2
04/14/09		\$3,457,926.00	22493			\$0.00				0.00%	\$92,928,431.49	CUSIP # 487694DT5 Keller School, TX S&P AA/Moody's Aa3
04/30/09						\$0.00		\$37,573.25		0.00%	\$92,966,004.74	Federated Interest
05/01/09						\$0.00		\$122,000.00	22499	0.00%	\$93,088,004.74	Coupon
05/01/09		\$4,538,306.67	22547			\$0.00				0.00%	\$88,549,698.07	CUSIP #3733832W7 Georgia, Moody's Aaa S&P AAA
05/01/09		\$1,386,036.20	22548			\$0.00				0.00%	\$87,163,661.87	CUSIP #514120KB9 Lancaster County SCH District A/A2
05/05/09		\$827,536.50	22512			\$0.00				0.00%	\$86,336,125.37	CUSIP #215543JR1 Main Township HS, AA+
05/05/09		\$817,656.00	22513			\$0.00				0.00%	\$85,518,469.37	CUSIP #215543JQ3 Main Township HS AA+
05/05/09		\$814,000.00	22514			\$0.00				0.00%	\$84,704,469.37	CUSIP #215543JP5 Main Township HS AA+
05/05/09		\$870,509.70	22515			\$0.00				0.00%	\$83,833,959.67	CUSIP #215543JT7 Main Township HS AA+
05/06/09		\$3,675,464.10	22546			\$0.00				0.00%	\$80,158,495.57	CUSIP #652233DF1 Newport News, VA AA/AA2
05/15/09						\$0.00		\$89,975.00	22486	0.00%	\$80,248,470.57	Coupon
05/31/09						\$0.00		\$35,403.57		0.00%	\$80,283,874.14	Federated Interest
05/31/09						\$0.00		\$0.01		0.00%	\$80,283,874.15	ISDLAF Interest
06/01/09						\$0.00		\$25,000.00	22492	0.00%	\$80,308,874.15	Coupon
06/01/09						\$0.00		\$8,833.33	22507	0.00%	\$80,317,707.48	Coupon
06/01/09		\$4,180,094.10	22567			\$0.00				0.00%	\$76,137,613.38	CUSIP #147051TH9 Cary, NC S&P AAA Moody's A2
06/04/09		\$4,517,649.00	22566			\$0.00				0.00%	\$71,619,964.38	CUSIP #478718C72 Johnson County, KS SCH Dist 233 AA/Aa3
06/08/09		\$2,050,632.89	22604			\$0.00				0.00%	\$69,569,331.49	CUSIP # 0386812V3 Arapahoe County SD 5, AA/Aa2
06/15/09						\$0.00		\$25,486.11	22604	0.00%	\$69,594,817.60	Coupon
06/15/09		\$759,044.72	22626			\$0.00				0.00%	\$68,835,772.88	CUSIP #70914PCU4 Pennsylvania State, AA/Aa2
06/23/09		\$1,182,064.30	22646			\$0.00				0.00%	\$67,653,708.58	CUSIP #199491TC5 Columbus, OH AAA/Aaa
06/30/09				\$1,263,483.42	\$0.00	\$0.00				0.00%	\$67,653,708.58	Expenses
06/30/09						\$0.00		\$22,142.73		0.00%	\$67,675,851.31	Federated Interest
07/01/09						\$0.00		\$181,750.00	22477	0.00%	\$67,857,601.31	Coupon
07/01/09						\$0.00		\$125,000.00	22485	0.00%	\$67,982,601.31	Coupon
07/01/09						\$0.00		\$125,000.00	22497	0.00%	\$68,107,601.31	Coupon
07/21/09		\$1,048,460.00	22668			\$0.00				0.00%	\$67,059,141.31	CUSIP #953106K26 West Hartford, CT S&P AAA Moody's Aaa
07/21/09		\$1,130,100.40	22679			\$0.00				0.00%	\$65,929,040.91	CUSIP #282651RW4 DuPage County Forest Preserve AAA/Aaa
07/21/09		\$4,004,688.60	22680			\$0.00				0.00%	\$61,924,352.31	CUSIP #0104104M7 Alabama State, S&P AA Moody's Aa2
07/29/09		\$3,706,928.83	22698			\$0.00				0.00%	\$58,217,423.48	CUSIP #917542MT6 Utah State, S&P AAA Moody's Aaa
07/31/09						\$0.00		\$14,438.85		0.00%	\$58,231,862.33	Federated Interest
08/01/09						\$0.00		\$12,512.50	22478	0.00%	\$58,244,374.83	Coupon
08/01/09						\$0.00		\$192,625.00	22473	0.00%	\$58,436,999.83	Coupon
08/01/09						\$0.00		\$64,000.00	22508	0.00%	\$58,500,999.83	Coupon
08/01/09						\$0.00		\$16,250.00	22626	0.00%	\$58,517,249.83	Coupon
08/01/09						\$0.00		\$82,012.50	22680	0.00%	\$58,599,262.33	Coupon
08/01/09						\$0.00				0.00%	\$58,599,262.33	
08/15/09						\$0.00		\$26,250.00	22479	0.00%	\$58,625,512.33	Coupon
08/15/09						\$0.00		\$64,583.33	22493	0.00%	\$58,690,095.66	Coupon
08/31/09		\$1,924,524.58	22776			\$0.00				0.00%	\$56,765,571.08	CUSIP #917542KY7 Utah State, S&P AAA/Moody's Aaa

10%



**PMA Financial Network Inc.**

**Portfolio & Rebate Liability Report**

Last Updated: 12/31/16  
 Updated by Analyst:

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Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
08/31/09						\$0.00			\$6,681.79	0.00%	\$56,772,252.87	Federated Interest
09/01/09						\$0.00		22474	\$37,750.00	0.00%	\$56,810,002.87	Coupon
09/01/09						\$0.00		22487	\$104,166.67	0.00%	\$56,914,169.54	
09/01/09						\$0.00		22548	\$18,812.50	0.00%	\$56,932,982.04	
09/01/09						\$0.00		22546	\$33,206.25	0.00%	\$56,966,188.29	Coupon
09/11/09	\$1,366,394.44	22868				\$0.00				0.00%	\$55,599,793.85	CUSIP # 61334OD96 Montgomery County,MD AAA/Aaa
09/15/09	\$3,318,023.33	22870				\$0.00				0.00%	\$52,281,770.52	CUSIP #373383GB8 Georgia State S&P AAA/Moody's Aaa
09/16/09	\$1,762,441.25	22871				\$0.00				0.00%	\$50,519,329.27	CUSIP # 509076AL9 Lake County Sch Dist 112 S&P AAA/AA1
09/22/09	\$1,192,852.22	22892				\$0.00				0.00%	\$49,326,477.05	CUSIP #199491XK2 Columbus,OH S&P AAA/Aaa
09/30/09				\$1,256,140.92	\$0.00	\$0.00				0.00%	\$49,326,477.05	
09/30/09						\$0.00			\$767.43	0.00%	\$49,327,244.48	Federated Interest
10/01/09						\$0.00		22491	\$27,500.00	0.00%	\$49,354,744.48	Coupon
10/01/09						\$0.00	\$100,000.00	22547		0.00%	\$49,454,744.48	Coupon
10/31/09						\$0.00			\$420.05	0.00%	\$49,455,164.53	Federated Interest
11/01/09						\$0.00		22499	\$122,000.00	0.00%	\$49,577,164.53	Coupon
11/01/09						\$0.00		22679	\$21,993.75	0.00%	\$49,599,158.28	Coupon
11/01/09						\$0.00		22868	\$31,250.00	0.00%	\$49,630,408.28	Coupon
11/03/09	\$1,569,385.00	22909				\$0.00				0.00%	\$48,061,023.28	Chaska MN School District #112 CUSIP 161681RP8S&P AAA M-A1
11/03/09	\$1,545,565.00	22910				\$0.00				0.00%	\$46,515,458.28	Chaska MN School District #112 CUSIP 161681RN3S&P AAA M-A1
11/15/09						\$0.00		22466	\$89,875.00	0.00%	\$46,605,333.28	Coupon
11/19/09	\$381,375.00	23072				\$0.00				0.00%	\$46,223,958.28	CUSIP 971481MF0 Wilmette Moody's Aaa
11/19/09	\$652,024.35	23073				\$0.00				0.00%	\$45,571,933.93	CUSIP 971481MG0 Wilmette Moody's Aaa
11/19/09	\$966,796.00	23074				\$0.00				0.00%	\$44,605,137.93	CUSIP 971481MK0 Wilmette Moody's Aaa
11/30/09						\$0.00			\$377.85	0.00%	\$44,605,515.78	Federated Interest
12/01/09						\$0.00		22492	\$25,000.00	0.00%	\$44,630,515.78	Coupon
12/01/09						\$0.00		22500	\$34,680.56	0.00%	\$44,665,196.34	Coupon
12/01/09						\$0.00		22507	\$26,500.00	0.00%	\$44,691,696.34	Coupon
12/01/09						\$0.00		22512	\$9,849.37	0.00%	\$44,701,545.71	Coupon
12/01/09						\$0.00		22513	\$9,727.78	0.00%	\$44,711,273.49	Coupon
12/01/09						\$0.00		22514	\$9,727.78	0.00%	\$44,721,001.27	Coupon
12/01/09						\$0.00		22515	\$13,454.37	0.00%	\$44,734,455.64	Coupon
12/01/09						\$0.00		22567	\$74,900.00	0.00%	\$44,809,355.64	Coupon
12/01/09						\$0.00		22871	\$43,125.00	0.00%	\$44,852,480.64	Coupon
12/01/09	\$2,097,320.00	23105				\$0.00				0.00%	\$42,755,160.64	Schaumburg SD #54 CUSIP 213561RH8 S&P AA
12/15/09						\$0.00		22604	\$36,700.00	0.00%	\$42,791,860.64	Coupon
12/15/09						\$0.00		22646	\$25,875.00	0.00%	\$42,817,735.64	Coupon
12/15/09						\$0.00		22892	\$25,000.00	0.00%	\$42,842,735.64	Coupon
12/22/09	\$237,741.80	23157				\$0.00				0.00%	\$42,604,993.84	Mount Prospect CUSIP #622826SA4 S&P AA
12/22/09	\$299,107.50	23158				\$0.00				0.00%	\$42,305,886.34	Mount Prospect CUSIP #622826SC0 S&P AA
12/22/09	\$307,850.20	23159				\$0.00				0.00%	\$41,998,036.14	Mount Prospect CUSIP #622826SD8 S&P AA
12/22/09	\$313,500.35	23160				\$0.00				0.00%	\$41,684,535.79	Mount Prospect CUSIP #622826SE6 S&P AA
12/31/09						\$0.00				0.00%	\$41,684,535.79	
12/31/09						\$1,258,758.40				0.00%	\$41,684,535.79	
01/01/10						\$0.00		22477	\$5,501.45	0.00%	\$41,690,037.24	Federated Interest
01/01/10						\$0.00		22477	\$181,750.00	0.00%	\$41,871,787.24	
01/01/10						\$0.00		22485	\$125,000.00	0.00%	\$41,996,787.24	Coupon
01/01/10						\$0.00		22497	\$125,000.00	0.00%	\$42,121,787.24	Coupon
01/01/10						\$0.00		22698	\$84,875.00	0.00%	\$42,206,662.24	Coupon
01/01/10						\$0.00		22776	\$47,031.25	0.00%	\$42,253,693.49	Coupon
01/15/10						\$0.00		22668	\$14,500.00	0.00%	\$42,268,193.49	Coupon
01/31/10						\$0.00			\$358.50	0.00%	\$42,268,551.99	Federated Interest
02/01/10						\$0.00		22473	\$192,625.00	0.00%	\$42,461,176.99	Coupon
02/01/10						\$0.00		22478	\$12,512.50	0.00%	\$42,473,689.49	Coupon
02/01/10						\$0.00		22508	\$64,000.00	0.00%	\$42,537,689.49	Coupon
02/01/10						\$0.00		22509	\$127,555.56	0.00%	\$42,665,245.05	Coupon
02/01/10						\$0.00		22626	\$16,250.00	0.00%	\$42,681,495.05	Coupon
02/01/10						\$0.00		22680	\$82,012.50	0.00%	\$42,763,507.55	Coupon
02/01/10						\$0.00		22870	\$86,250.00	0.00%	\$42,849,757.55	Coupon
02/01/10	\$811,824.00	23301				\$0.00				0.00%	\$42,037,933.55	Downers Grove SD 58 CUSIP 263165GG1 Moody's Aa2
02/01/10	\$962,959.20	23302				\$0.00				0.00%	\$41,074,974.35	Downers Grove SD 58 CUSIP 263165GJ5 Moody's Aa2
02/01/10	\$941,406.90	23303				\$0.00				0.00%	\$40,133,567.45	Downers Grove SD 58 CUSIP 263165GH9 Moody's Aa2
02/04/10	\$1,956,753.00	23299				\$0.00				0.00%	\$38,176,814.45	Washington County Utah SD. St. George CUSIP 938718XQ1

45%



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Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
02/09/10		\$4,006,940.60	23294			\$0.00			0.00%	\$34,169,873.85	Winnebago County WI CUSIP 947603QW5	
02/09/10		\$1,910,287.50	23295			\$0.00			0.00%	\$32,259,586.35	Winnebago County WI CUSIP 947603QV7	
02/09/10		\$80,195.20	23296			\$0.00			0.00%	\$32,179,391.15	Winnebago County WI CUSIP 947603QU9	
02/15/10						\$0.00		22479	\$26,250.00	0.00%	\$32,205,641.15	Coupon
02/15/10						\$0.00		22493	\$77,500.00	0.00%	\$32,283,141.15	Coupon
02/28/10						\$0.00			\$262.09	0.00%	\$32,283,403.24	Federated Interest
03/01/10						\$0.00		22474	\$37,750.00	0.00%	\$32,321,153.24	Coupon
03/01/10						\$0.00		22487	\$125,000.00	0.00%	\$32,446,153.24	
03/01/10						\$0.00		22546	\$51,975.00	0.00%	\$32,498,128.24	Coupon
03/01/10						\$0.00		22548	\$22,575.00	0.00%	\$32,520,703.24	
03/01/10						\$0.00		22566	\$97,875.00	0.00%	\$32,618,578.24	Coupon
03/31/10				\$2,549,601.44	\$0.00	\$0.00				0.00%	\$32,618,578.24	
03/31/10						\$0.00			\$277.14	0.00%	\$32,618,855.38	Federated Interest
04/01/10						\$0.00		22491	\$27,500.00	0.00%	\$32,646,355.38	Coupon
04/01/10						\$0.00		22547	\$100,000.00	0.00%	\$32,746,355.38	Coupon
04/01/10						\$0.00		23294	\$8,536.67	0.00%	\$32,754,892.05	Coupon
04/01/10						\$0.00		23294	\$0.00	0.00%	\$32,754,892.05	
04/01/10						\$0.00		23295	\$5,416.67	0.00%	\$32,760,308.72	Coupon
04/01/10						\$0.00		23296	\$231.11	0.00%	\$32,760,539.83	Coupon
04/01/10						\$0.00	\$80,000.00	23296		0.00%	\$32,840,539.83	Winnebago County WI CUSIP 947603QU9
04/01/10		\$866,570.40	23356			\$0.00				0.00%	\$31,973,969.43	Schaumburg IL CUSIP 806347J0
04/01/10		\$812,576.00	23357			\$0.00				0.00%	\$31,161,393.43	Schaumburg IL CUSIP 806347J07
04/01/10		\$818,757.45	23358			\$0.00				0.00%	\$30,342,635.98	Schaumburg IL CUSIP806347JL5
04/01/10		\$831,750.60	23359			\$0.00				0.00%	\$29,510,885.38	Schaumburg IL CUSIP806347JM3
04/01/10		\$854,881.35	23360			\$0.00				0.00%	\$28,656,004.03	Schaumburg IL CUSIP 806347JN1
04/01/10		\$508,445.00	23371			\$0.00				0.00%	\$28,147,559.03	Naperville IL CUSIP 630412TA2
04/30/10						\$0.00			\$231.30	0.00%	\$28,147,790.33	Federated Interest
05/01/10						\$0.00		22499	\$122,000.00	0.00%	\$28,269,790.33	Coupon
05/01/10						\$0.00		22679	\$21,993.75	0.00%	\$28,291,784.08	Coupon
05/01/10						\$0.00		22868	\$31,250.00	0.00%	\$28,323,034.08	Coupon
05/15/10						\$0.00		22466	\$89,875.00	0.00%	\$28,412,909.08	Coupon
05/31/10						\$0.00			\$240.91	0.00%	\$28,413,149.99	Federated Interest
06/01/10						\$0.00		21159	\$3,257.29	0.00%	\$28,416,407.28	Coupon
06/01/10						\$0.00		22492	\$25,000.00	0.00%	\$28,441,407.28	Coupon
06/01/10						\$0.00		22500	\$27,500.00	0.00%	\$28,468,907.28	Coupon
06/01/10						\$0.00		22507	\$26,500.00	0.00%	\$28,495,407.28	Coupon
06/01/10						\$0.00		22512	\$8,606.25	0.00%	\$28,504,013.53	Coupon
06/01/10						\$0.00		22513	\$8,500.00	0.00%	\$28,512,513.53	Coupon
06/01/10						\$0.00		22514	\$8,500.00	0.00%	\$28,521,013.53	Coupon
06/01/10						\$0.00		22515	\$11,756.25	0.00%	\$28,532,769.78	Coupon
06/01/10						\$0.00	\$74,900.00	22567		0.00%	\$28,607,669.78	Coupon
06/01/10						\$0.00		22871	\$43,125.00	0.00%	\$28,650,794.78	Coupon
06/01/10						\$0.00		23072	\$4,000.00	0.00%	\$28,654,794.78	Coupon
06/01/10						\$0.00		23073	\$6,773.33	0.00%	\$28,661,568.11	Coupon
06/01/10						\$0.00		23074	\$11,400.00	0.00%	\$28,672,968.11	Coupon
06/01/10						\$0.00		23105	\$30,000.00	0.00%	\$28,702,968.11	Coupon
06/01/10						\$0.00		23157	\$2,539.58	0.00%	\$28,705,507.69	Coupon
06/01/10						\$0.00		23158	\$3,146.88	0.00%	\$28,708,654.57	Coupon
06/01/10						\$0.00		23160	\$3,367.71	0.00%	\$28,712,022.28	Coupon
06/15/10						\$0.00		22604	\$36,700.00	0.00%	\$28,748,722.28	Coupon
06/15/10						\$0.00		22646	\$25,875.00	0.00%	\$28,774,597.28	Coupon
06/15/10						\$0.00		22892	\$25,000.00	0.00%	\$28,799,597.28	Coupon
06/15/10						\$0.00		23301	\$5,955.56	0.00%	\$28,805,552.84	Coupon
06/15/10						\$0.00		23302	\$6,923.33	0.00%	\$28,812,476.17	Coupon
06/15/10						\$0.00		23303	\$6,811.67	0.00%	\$28,819,287.84	Coupon
06/30/10				\$2,560,920.97	\$0.00	\$0.00				0.00%	\$28,819,287.84	Expenses
06/30/10						\$0.00			\$236.58	0.00%	\$28,819,524.42	Federated Interest
07/01/10						\$0.00		22477	\$181,750.00	0.00%	\$29,001,274.42	
07/01/10						\$0.00		22485	\$125,000.00	0.00%	\$29,126,274.42	Coupon
07/01/10						\$0.00		22497	\$125,000.00	0.00%	\$29,251,274.42	Coupon
07/01/10						\$0.00		22698	\$84,875.00	0.00%	\$29,336,149.42	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
07/01/10						\$0.00		22776	\$47,031.25	0.00%	\$29,383,180.67	Coupon
07/07/10		\$1,785,239.70	23472			\$0.00				0.00%	\$27,597,940.97	Brown County WI CUSIP 1155116F9
07/15/10						\$0.00		22668	\$15,000.00	0.00%	\$27,612,940.97	Coupon
07/20/10		\$162,081.95	23474			\$0.00				0.00%	\$27,450,859.02	Indian Prarie SD #204 CUSIP 262608QT2
07/20/10		\$2,097,680.00	23475			\$0.00				0.00%	\$25,353,179.02	Indian Prarie SD #204 CUSIP 262608QV7
07/31/10						\$0.00			\$230.03	0.00%	\$25,353,409.05	Federated Interest
08/01/10						\$0.00		22473	\$192,625.00	0.00%	\$25,546,034.05	Coupon
08/01/10						\$0.00		22478	\$12,512.50	0.00%	\$25,558,546.55	Coupon
08/01/10						\$0.00		22508	\$64,000.00	0.00%	\$25,622,546.55	Coupon
08/01/10						\$0.00		22509	\$80,000.00	0.00%	\$25,702,546.55	Coupon
08/01/10						\$0.00		22626	\$16,250.00	0.00%	\$25,718,796.55	Coupon
08/01/10						\$0.00		22680	\$82,012.50	0.00%	\$25,800,809.05	Coupon
08/01/10						\$0.00		22870	\$86,250.00	0.00%	\$25,887,059.05	Coupon
08/01/10						\$0.00		22909	\$33,750.00	0.00%	\$25,920,809.05	Coupon
08/01/10						\$0.00		22910	\$33,750.00	0.00%	\$25,954,559.05	Coupon
08/15/10						\$0.00		22479	\$26,250.00	0.00%	\$25,980,809.05	Coupon
08/15/10						\$0.00		22493	\$77,500.00	0.00%	\$26,058,309.05	Coupon
08/20/10		\$1,427,350.18	23559			\$0.00				0.00%	\$24,630,958.87	Dublin, OH School District CUSIP 26371GMC1
08/31/10						\$0.00			\$216.05	0.00%	\$24,631,174.92	Federated Interest
09/01/10						\$0.00	\$51,975.00	22546		0.00%	\$24,683,149.92	Coupon
09/01/10						\$0.00		22548	\$22,575.00	0.00%	\$24,705,724.92	
09/01/10						\$0.00		22566	\$65,250.00	0.00%	\$24,770,974.92	Coupon
09/01/10						\$0.00		23299	\$21,850.00	0.00%	\$24,792,824.92	Coupon
09/01/10						\$0.00		22474	\$37,750.00	0.00%	\$24,830,574.92	Coupon
09/01/10						\$0.00		22487	\$125,000.00	0.00%	\$24,955,574.92	Coupon
09/22/10		\$207,886.40	23609			\$0.00				0.00%	\$24,747,688.52	Arlington Heights, IL CUSIP 041447YF4
09/22/10		\$218,706.60	23610			\$0.00				0.00%	\$24,528,981.92	Arlington Heights, IL CUSIP 041447YG2
09/24/10						\$2,572,154.25				0.00%	\$24,528,981.92	Expenses
09/30/10						\$0.00			\$204.12	0.00%	\$24,529,186.04	Federated Interest
09/30/10						\$0.00				0.00%	\$24,529,186.04	
10/01/10						\$0.00		22491	\$27,500.00	0.00%	\$24,556,686.04	Coupon
10/01/10						\$0.00		22547	\$100,000.00	0.00%	\$24,656,686.04	Coupon
10/01/10						\$0.00		23294	\$29,550.00	0.00%	\$24,686,236.04	Coupon
10/01/10						\$0.00		23295	\$18,750.00	0.00%	\$24,704,986.04	Coupon
10/31/10						\$0.00			\$209.87	0.00%	\$24,705,195.91	Federated Interest
11/01/10						\$0.00		22499	\$122,000.00	0.00%	\$24,827,195.91	Coupon
11/01/10						\$0.00		22679	\$21,993.75	0.00%	\$24,849,189.66	Coupon
11/01/10						\$0.00		22868	\$31,250.00	0.00%	\$24,880,439.66	Coupon
11/01/10						\$0.00		23472	\$8,850.00	0.00%	\$24,889,289.66	Coupon
11/15/10						\$0.00		22466	\$89,875.00	0.00%	\$24,979,164.66	Coupon
11/30/10						\$0.00			\$204.92	0.00%	\$24,979,369.58	Federated Interest
12/01/10						\$0.00		23158	\$3,687.50	0.00%	\$24,983,057.08	Coupon
12/01/10						\$0.00		22492	\$25,000.00	0.00%	\$25,008,057.08	Coupon
12/01/10						\$0.00		22500	\$27,500.00	0.00%	\$25,035,557.08	Coupon
12/01/10						\$0.00		22507	\$26,500.00	0.00%	\$25,062,057.08	Coupon
12/01/10						\$0.00		22512	\$8,606.25	0.00%	\$25,070,663.33	Coupon
12/01/10						\$0.00		22513	\$8,500.00	0.00%	\$25,079,163.33	Coupon
12/01/10						\$0.00		22514	\$8,500.00	0.00%	\$25,087,663.33	Coupon
12/01/10						\$0.00	\$800,000.00	22514		0.00%	\$25,887,663.33	CUSIP #215543JP5 Main Township HS AA+
12/01/10						\$0.00		22515	\$11,756.25	0.00%	\$25,899,419.58	Coupon
12/01/10						\$0.00		22567	\$74,900.00	0.00%	\$25,974,319.58	Coupon
12/01/10						\$0.00		22871	\$43,125.00	0.00%	\$26,017,444.58	Coupon
12/01/10						\$0.00	\$375,000.00	23072	\$3,750.00	0.00%	\$26,396,194.58	Wilmette, IL
12/01/10						\$0.00		23073	\$6,350.00	0.00%	\$26,402,544.58	Coupon
12/01/10						\$0.00		23074	\$10,687.50	0.00%	\$26,413,232.08	Coupon
12/01/10						\$0.00		23105	\$30,000.00	0.00%	\$26,443,232.08	Coupon
12/01/10						\$0.00		23157	\$2,875.00	0.00%	\$26,446,107.08	Coupon
12/01/10						\$0.00		23158	\$3,562.50	0.00%	\$26,449,669.58	Coupon
12/01/10						\$0.00		23160	\$3,812.50	0.00%	\$26,453,482.08	Coupon
12/01/10						\$0.00		23356	\$8,600.00	0.00%	\$26,462,082.08	Coupon
12/01/10						\$0.00	\$860,000.00	23356		0.00%	\$27,322,082.08	Schaumburg IL CUSIP 806347JJ0



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
12/01/10						\$0.00		23357	\$8,000.00	0.00%	\$27,330,082.08	Coupon
12/01/10						\$0.00		23358	\$8,050.00	0.00%	\$27,338,132.08	Coupon
12/01/10						\$0.00		23359	\$8,200.00	0.00%	\$27,346,332.08	Coupon
12/01/10						\$0.00		23360	\$11,133.06	0.00%	\$27,357,465.14	Coupon
12/01/10						\$0.00		23371	\$5,000.00	0.00%	\$27,362,465.14	Coupon
12/01/10						\$0.00		23559	\$25,400.00	0.00%	\$27,387,865.14	Coupon
12/01/10						\$0.00		23609	\$589.38	0.00%	\$27,388,454.52	Coupon
12/01/10						\$0.00		23610	\$618.13	0.00%	\$27,389,072.65	Coupon
12/15/10						\$0.00		22604	\$36,700.00	0.00%	\$27,425,772.65	Coupon
12/15/10						\$0.00		22646	\$25,875.00	0.00%	\$27,451,647.65	Coupon
12/15/10						\$0.00		22892	\$25,000.00	0.00%	\$27,476,647.65	Coupon
12/15/10						\$0.00		23301	\$8,000.00	0.00%	\$27,484,647.65	Coupon
12/15/10						\$0.00	\$800,000.00	23301		0.00%	\$28,284,647.65	Downers Grove SD 58 CUSIP 263165GG1 Moody's Aa2
12/15/10						\$0.00		23302	\$9,300.00	0.00%	\$28,293,947.65	Coupon
12/15/10						\$0.00		23303	\$9,150.00	0.00%	\$28,303,097.65	Coupon
12/20/10		\$259,365.28	23907			\$0.00				0.00%	\$28,043,732.37	Olentangy Local School District Ohio
12/21/10		\$2,242,506.67	23911			\$0.00				0.00%	\$25,801,225.70	City of Columbus Ohio
12/27/10		\$760,265.01	23971			\$0.00				0.00%	\$25,040,960.69	Clark County Wahington School Vancouver , WA
12/30/10						\$0.00		23474	\$2,066.67	0.00%	\$25,043,027.36	Coupon
12/30/10						\$0.00		23475	\$26,666.67	0.00%	\$25,069,694.03	Coupon
12/31/10						\$0.00			\$1,077.31	0.00%	\$25,070,771.34	Federated Interest
12/31/10					\$2,583,155.35	\$0.00				0.00%	\$25,070,771.34	
01/01/11						\$0.00		22477	\$181,750.00	0.00%	\$25,252,521.34	
01/01/11						\$0.00		22485	\$125,000.00	0.00%	\$25,377,521.34	Coupon
01/01/11						\$0.00		22497	\$125,000.00	0.00%	\$25,502,521.34	Coupon
01/01/11						\$0.00		22698	\$84,875.00	0.00%	\$25,587,396.34	Coupon
01/01/11						\$0.00		22776	\$47,031.25	0.00%	\$25,634,427.59	Coupon
01/15/11						\$0.00		22668	\$15,000.00	0.00%	\$25,649,427.59	Coupon
01/28/11					\$921,265.10	\$921,265.10				0.55%	\$24,728,162.49	Expenses
01/31/11						\$921,265.10			\$216.45	0.55%	\$24,728,378.94	Federated Interest
02/01/11						\$921,265.10		22473	\$192,625.00	0.55%	\$24,921,003.94	Coupon
02/01/11						\$921,265.10		22478	\$12,512.50	0.55%	\$24,933,516.44	Coupon
02/01/11						\$921,265.10		22508	\$64,000.00	0.55%	\$24,997,516.44	Coupon
02/01/11						\$921,265.10		22509	\$80,000.00	0.55%	\$25,077,516.44	Coupon
02/01/11						\$921,265.10		22626	\$16,250.00	0.55%	\$25,093,766.44	Coupon
02/01/11						\$921,265.10		22680	\$82,012.50	0.55%	\$25,175,778.94	Coupon
02/01/11						\$921,265.10		22870	\$86,250.00	0.55%	\$25,262,028.94	Coupon
02/01/11						\$921,265.10		22909	\$22,500.00	0.55%	\$25,284,528.94	Coupon
02/01/11						\$921,265.10		22910	\$22,500.00	0.55%	\$25,307,028.94	Coupon
02/01/11						\$921,265.10	\$1,500,000.00	22910		0.55%	\$26,807,028.94	Chaska MN School District #112 CUSIP 161681RN3S&P AAA M-A1
02/15/11						\$921,265.10		22479	\$26,250.00	0.55%	\$26,833,278.94	Coupon
02/15/11						\$921,265.10		22493	\$77,500.00	0.55%	\$26,910,778.94	Coupon
02/28/11						\$921,265.10			\$206.08	0.55%	\$26,910,985.02	Federated Interest
03/01/11						\$921,265.10		22487	\$125,000.00	0.55%	\$27,035,985.02	Coupon
03/01/11						\$921,265.10		22546	\$51,975.00	0.55%	\$27,087,960.02	Coupon
03/01/11						\$921,265.10		22548	\$22,575.00	0.55%	\$27,110,535.02	Coupon
03/01/11						\$921,265.10		22566	\$65,250.00	0.55%	\$27,175,785.02	Coupon
03/01/11						\$921,265.10		23299	\$19,000.00	0.55%	\$27,194,785.02	Coupon
03/01/11						\$921,265.10		22474	\$37,750.00	0.55%	\$27,232,535.02	Coupon
03/08/11		\$425,728.00	24122			\$921,265.10				0.55%	\$26,806,807.02	Salt Lake County Utah Series CUSIP 795676MZ5
03/31/11					\$4,510,359.07	\$0.00				0.55%	\$26,806,807.02	Expenses
03/31/11						\$921,265.10			\$228.62	0.55%	\$26,807,035.64	Federated Interest
03/31/11						\$921,265.10			\$0.00	0.55%	\$26,807,035.64	
04/01/11						\$921,265.10		22491	\$27,500.00	0.55%	\$26,834,535.64	Coupon
04/01/11						\$921,265.10		22547	\$100,000.00	0.55%	\$26,934,535.64	Coupon
04/01/11						\$921,265.10		23294	\$29,550.00	0.55%	\$26,964,085.64	Coupon
04/01/11						\$921,265.10		23295	\$18,750.00	0.55%	\$26,982,835.64	Coupon
04/01/11						\$921,265.10	\$1,875,000.00	23295		0.55%	\$28,857,835.64	Winnebago County WI CUSIP 947603QV7
04/30/11						\$921,265.10			\$237.30	0.55%	\$28,858,072.94	Federated Interest
05/01/11						\$921,265.10		22499	\$122,000.00	0.55%	\$28,980,072.94	Coupon
05/01/11						\$921,265.10		22679	\$21,993.75	0.55%	\$29,002,066.69	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
05/01/11						\$921,265.10		22868	\$31,250.00	0.55%	\$29,033,316.69	Coupon
05/01/11						\$921,265.10	\$1,250,000.00	22868		0.55%	\$30,283,316.69	CUSIP # 61334OD96 Montgomery County,MD AAA/Aaa
05/01/11						\$921,265.10		23472	\$13,275.00	0.55%	\$30,296,591.69	Coupon
05/15/11						\$921,265.10		22466	\$89,875.00	0.55%	\$30,386,466.69	Coupon
05/15/11						\$921,265.10		22493	\$0.00	0.55%	\$30,386,466.69	Coupon
05/31/11						\$921,265.10			\$257.39	0.55%	\$30,386,724.08	Federated Interest
06/01/11						\$921,265.10		21159	\$3,687.50	0.55%	\$30,390,411.58	Coupon
06/01/11						\$921,265.10		22492	\$25,000.00	0.55%	\$30,415,411.58	Coupon
06/01/11						\$921,265.10		22500	\$27,500.00	0.55%	\$30,442,911.58	Coupon
06/01/11						\$921,265.10		22507	\$26,500.00	0.55%	\$30,469,411.58	Coupon
06/01/11						\$921,265.10		22512	\$8,606.25	0.55%	\$30,478,017.83	Coupon
06/01/11						\$921,265.10		22513	\$8,500.00	0.55%	\$30,486,517.83	Coupon
06/01/11						\$921,265.10		22515	\$11,756.25	0.55%	\$30,498,274.08	Coupon
06/01/11						\$921,265.10		22567	\$74,900.00	0.55%	\$30,573,174.08	Coupon
06/01/11						\$921,265.10		22871	\$43,125.00	0.55%	\$30,616,299.08	Coupon
06/01/11						\$921,265.10		23105	\$30,000.00	0.55%	\$30,646,299.08	Coupon
06/01/11						\$921,265.10		23157	\$2,875.00	0.55%	\$30,649,174.08	Coupon
06/01/11						\$921,265.10		23158	\$3,562.50	0.55%	\$30,652,736.58	Coupon
06/01/11						\$921,265.10		23160	\$3,812.50	0.55%	\$30,656,549.08	Coupon
06/01/11						\$921,265.10		23357	\$6,000.00	0.55%	\$30,662,549.08	Coupon
06/01/11						\$921,265.10		23358	\$6,037.50	0.55%	\$30,668,586.58	Coupon
06/01/11						\$921,265.10		23359	\$6,150.00	0.55%	\$30,674,736.58	Coupon
06/01/11						\$921,265.10		23360	\$8,350.00	0.55%	\$30,683,086.58	Coupon
06/01/11						\$921,265.10		23371	\$3,750.00	0.55%	\$30,686,836.58	Coupon
06/01/11						\$921,265.10		23559	\$25,400.00	0.55%	\$30,712,236.58	Coupon
06/01/11						\$921,265.10		23609	\$1,537.50	0.55%	\$30,713,774.08	Coupon
06/01/11						\$921,265.10		23610	\$1,612.50	0.55%	\$30,715,386.58	Coupon
06/01/11						\$921,265.10		23907	\$5,000.00	0.55%	\$30,720,386.58	Coupon
06/01/11						\$921,265.10		23971	\$18,578.13	0.55%	\$30,738,964.71	Coupon
06/01/11						\$921,265.10		23073	\$6,350.00	0.55%	\$30,745,314.71	Coupon
06/01/11						\$921,265.10		23074	\$10,687.50	0.55%	\$30,756,002.21	Coupon
06/01/11		\$1,993,708.00	24289			\$921,265.10				0.55%	\$28,762,294.21	Lees Summit, MO CUSIP 524282LL2
06/02/11		\$796,589.81	24313			\$921,265.10				0.55%	\$27,965,704.40	Coppell Texas Independent School Disitric CUSIP 217489Q22
06/15/11						\$921,265.10		22604	\$36,700.00	0.55%	\$28,002,404.40	Coupon
06/15/11						\$921,265.10		22646	\$25,875.00	0.55%	\$28,028,279.40	Coupon
06/15/11						\$921,265.10		22892	\$25,000.00	0.55%	\$28,053,279.40	Coupon
06/15/11						\$921,265.10		23302	\$9,300.00	0.55%	\$28,062,579.40	Coupon
06/15/11						\$921,265.10		23303	\$9,150.00	0.55%	\$28,071,729.40	Coupon
06/15/11						\$921,265.10		23911	\$50,000.00	0.55%	\$28,121,729.40	Coupon
06/23/11					\$4,530,682.04	\$841,229.71				1.06%	\$27,280,499.69	Expenses
06/30/11						\$1,762,494.81		23474	\$2,325.00	1.06%	\$27,282,824.69	Coupon
06/30/11						\$1,762,494.81		23475	\$30,000.00	1.06%	\$27,312,824.69	Coupon
06/30/11						\$1,762,494.81			\$229.37	1.06%	\$27,313,054.06	Federated Interest
07/01/11						\$1,762,494.81		22477	\$181,750.00	1.06%	\$27,494,804.06	
07/01/11						\$1,762,494.81		22485	\$125,000.00	1.06%	\$27,619,804.06	Coupon
07/01/11						\$1,762,494.81		22497	\$125,000.00	1.06%	\$27,744,804.06	Coupon
07/01/11						\$1,762,494.81		22698	\$84,875.00	1.06%	\$27,829,679.06	Coupon
07/01/11						\$1,762,494.81	\$3,395,000.00	22698		1.06%	\$31,224,679.06	CUSIP #917542MT6 Utah State, S&P AAA Moody's Aaa
07/01/11						\$1,762,494.81		22776	\$47,031.25	1.06%	\$31,271,710.31	Coupon
07/01/11						\$1,762,494.81	\$1,750,000.00	22776		1.06%	\$33,021,710.31	CUSIP #917542KY7 Utah State, S&P AAA/Moody's Aaa
07/15/11						\$1,762,494.81		22668	\$15,000.00	1.06%	\$33,036,710.31	Coupon
07/15/11						\$1,762,494.81	\$1,000,000.00	22668		1.06%	\$34,036,710.31	CUSIP #953106K26 West Hartford, CT S&P AAA Moody's Aaa
07/26/11		\$548,087.17	24551			\$1,762,494.81				1.06%	\$33,488,623.14	CUSIP 041465KB0 Arlington Heights IL Park Disitric Moody's Aaa
07/31/11						\$1,762,494.81			\$284.41	1.06%	\$33,488,907.55	Federated Interest
08/01/11						\$1,762,494.81		22473	\$192,625.00	1.06%	\$33,681,532.55	Coupon
08/01/11						\$1,762,494.81		22478	\$12,512.50	1.06%	\$33,694,045.05	Coupon
08/01/11						\$1,762,494.81		22508	\$64,000.00	1.06%	\$33,758,045.05	Coupon
08/01/11						\$1,762,494.81		22509	\$80,000.00	1.06%	\$33,838,045.05	Coupon
08/01/11						\$1,762,494.81		22626	\$16,250.00	1.06%	\$33,854,295.05	Coupon
08/01/11						\$1,762,494.81		22680	\$82,012.50	1.06%	\$33,936,307.55	Coupon
08/01/11						\$1,762,494.81	\$3,645,000.00	22680		1.06%	\$37,581,307.55	CUSIP #0104104M7 Alabama State, S&P AA Moody's Aa2



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
08/01/11						\$1,762,494.81		22870	\$86,250.00	1.06%	\$37,667,557.55	Coupon
08/01/11						\$1,762,494.81	\$3,000,000.00	22870		1.06%	\$40,667,557.55	CUSIP #373383GB8 Georgia State S&P AAA/Moody's Aaa
08/01/11						\$1,762,494.81		22909	\$22,500.00	1.06%	\$40,690,057.55	Coupon
08/15/11						\$1,762,494.81		22479	\$26,250.00	1.06%	\$40,716,307.55	Coupon
08/15/11						\$1,762,494.81		22493	\$77,500.00	1.06%	\$40,793,807.55	Coupon
08/31/11						\$1,762,494.81			\$344.92	1.06%	\$40,794,152.47	Federated Interest
09/01/11						\$1,762,494.81		22487	\$125,000.00	1.06%	\$40,919,152.47	
09/01/11						\$1,762,494.81		22546	\$51,975.00	1.06%	\$40,971,127.47	Coupon
09/01/11						\$1,762,494.81		22548	\$22,575.00	1.06%	\$40,993,702.47	
09/01/11						\$1,762,494.81		22566	\$65,250.00	1.06%	\$41,058,952.47	Coupon
09/01/11						\$1,762,494.81		23299	\$19,000.00	1.06%	\$41,077,952.47	Coupon
09/01/11						\$1,762,494.81		22474	\$37,750.00	1.06%	\$41,115,702.47	Coupon
09/30/11						\$1,762,494.81			\$336.60	1.06%	\$41,116,039.07	Federated Interest
09/30/11				\$4,560,807.33	\$0.00	\$1,762,494.81				1.06%	\$41,116,039.07	
10/01/11						\$1,762,494.81		22491	\$27,500.00	1.06%	\$41,143,539.07	Coupon
10/01/11						\$1,762,494.81	\$100,000.00	22547		1.06%	\$41,243,539.07	Coupon
10/01/11						\$1,762,494.81		23294	\$29,550.00	1.06%	\$41,273,089.07	Coupon
10/06/11					\$199,178.39	\$1,961,673.20				1.18%	\$41,073,910.68	Expenses
10/24/11	\$415,853.43	25251				\$1,961,673.20				1.18%	\$40,658,057.25	Savage, MN RE & IMPT-Ser D CUSIP 80465PBF0
10/25/11	\$332,894.25	25252				\$1,961,673.20				1.18%	\$40,325,163.00	Collierville, TN REF CUSIP 194702VU7
10/31/11						\$1,961,673.20			\$346.26	1.18%	\$40,325,509.26	Federated Interest
11/01/11						\$1,961,673.20		22499	\$122,000.00	1.18%	\$40,447,509.26	Coupon
11/01/11						\$1,961,673.20		22679	\$21,993.75	1.18%	\$40,469,503.01	Coupon
11/01/11						\$1,961,673.20	\$1,035,000.00	22679		1.18%	\$41,504,503.01	CUSIP #262651RW4 DuPage County Forest Preserve AAA/Aaa
11/01/11						\$1,961,673.20		23472	\$13,275.00	1.18%	\$41,517,778.01	Coupon
11/15/11						\$1,961,673.20		22466	\$89,875.00	1.18%	\$41,607,653.01	Coupon
11/30/11						\$1,961,673.20			\$340.38	1.18%	\$41,607,993.39	Federated Interest
12/01/11						\$1,961,673.20	\$635,000.00	23073		1.18%	\$42,242,993.39	Wilmette, IL
12/01/11						\$1,961,673.20		23074	\$10,687.50	1.18%	\$42,253,680.89	
12/01/11						\$1,961,673.20		21159	\$3,687.50	1.18%	\$42,257,368.39	Coupon
12/01/11						\$1,961,673.20		22492	\$25,000.00	1.18%	\$42,282,368.39	Coupon
12/01/11						\$1,961,673.20		22500	\$27,500.00	1.18%	\$42,309,868.39	Coupon
12/01/11						\$1,961,673.20		22507	\$26,500.00	1.18%	\$42,336,368.39	Coupon
12/01/11						\$1,961,673.20		22512	\$8,606.25	1.18%	\$42,344,974.64	Coupon
12/01/11						\$1,961,673.20		23073	\$6,350.00	1.18%	\$42,351,324.64	Coupon
12/01/11						\$1,961,673.20	\$800,000.00	22513	\$8,500.00	1.18%	\$43,159,824.64	CUSIP #215543JQ3 Main Township HS AA+
12/01/11						\$1,961,673.20		22515	\$11,756.25	1.18%	\$43,171,580.89	Coupon
12/01/11						\$1,961,673.20		22567	\$74,900.00	1.18%	\$43,246,480.89	Coupon
12/01/11						\$1,961,673.20		22871	\$43,125.00	1.18%	\$43,289,605.89	Coupon
12/01/11						\$1,961,673.20	\$2,000,000.00	23105		1.18%	\$45,289,605.89	Schaumburg SD #54 CUSIP 213561RH8 S&P AA
12/01/11						\$1,961,673.20		23105	\$30,000.00	1.18%	\$45,319,605.89	Coupon
12/01/11						\$1,961,673.20	\$230,000.00	23157		1.18%	\$45,549,605.89	Mount Prospect CUSIP #622826SA4 S&P AA
12/01/11						\$1,961,673.20		23157	\$2,875.00	1.18%	\$45,552,480.89	Coupon
12/01/11						\$1,961,673.20		23158	\$3,562.50	1.18%	\$45,556,043.39	Coupon
12/01/11						\$1,961,673.20		23160	\$3,812.50	1.18%	\$45,559,855.89	Coupon
12/01/11						\$1,961,673.20		23357	\$6,000.00	1.18%	\$45,565,855.89	Coupon
12/01/11						\$1,961,673.20	\$800,000.00	23357		1.18%	\$46,365,855.89	Schaumburg IL CUSIP 806347JK7
12/01/11						\$1,961,673.20		23358	\$6,037.50	1.18%	\$46,371,893.39	Coupon
12/01/11						\$1,961,673.20		23359	\$6,150.00	1.18%	\$46,378,043.39	Coupon
12/01/11						\$1,961,673.20		23360	\$8,350.00	1.18%	\$46,386,393.39	Coupon
12/01/11						\$1,961,673.20		23371	\$3,750.00	1.18%	\$46,390,143.39	Coupon
12/01/11						\$1,961,673.20	\$500,000.00	23371		1.18%	\$46,890,143.39	Naperville IL CUSIP 630412TA2
12/01/11						\$1,961,673.20		23559	\$25,400.00	1.18%	\$46,915,543.39	Coupon
12/01/11						\$1,961,673.20		23609	\$1,537.50	1.18%	\$46,917,080.89	Coupon
12/01/11						\$1,961,673.20		23610	\$1,612.50	1.18%	\$46,918,693.39	Coupon
12/01/11						\$1,961,673.20		23907	\$5,000.00	1.18%	\$46,923,693.39	Coupon
12/01/11						\$1,961,673.20	\$250,000.00	23907		1.18%	\$47,173,693.39	Olentangy Local School District Ohio
12/01/11						\$1,961,673.20		23971	\$18,578.13	1.18%	\$47,192,271.52	Coupon
12/01/11						\$1,961,673.20	\$725,000.00	23971		1.18%	\$47,917,271.52	Clark County Wahington School Vancouver , WA
12/01/11						\$1,961,673.20		24551	\$5,250.00	1.18%	\$47,922,521.52	Coupon
12/15/11						\$1,961,673.20		22604	\$36,700.00	1.18%	\$47,959,221.52	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
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Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
12/15/11						\$1,961,673.20		22646	\$25,875.00	1.18%	\$47,985,096.52	Coupon
12/15/11						\$1,961,673.20		22892	\$25,000.00	1.18%	\$48,010,096.52	Coupon
12/15/11						\$1,961,673.20		23302	\$9,300.00	1.18%	\$48,019,396.52	Coupon
12/15/11						\$1,961,673.20		23303	\$9,150.00	1.18%	\$48,028,546.52	Coupon
12/15/11						\$1,961,673.20	\$915,000.00	23303		1.18%	\$48,943,546.52	Downers Grove SD 58 CUSIP 263165GH9 Moody's Aa2
12/15/11						\$1,961,673.20		23911	\$50,000.00	1.18%	\$48,993,546.52	Coupon
12/15/11						\$1,961,673.20		24122	\$12,311.11	1.18%	\$49,005,857.63	Coupon
12/20/11		\$3,292,393.33	25733			\$1,961,673.20				1.18%	\$45,713,464.30	Stamford CT, CUSIP 852634EWO
12/31/11						\$1,961,673.20			\$2,360.02	1.18%	\$45,715,824.32	Federated Interest
12/31/11					\$4,570,522.29	\$0.00				1.18%	\$45,715,824.32	
01/01/12						\$1,961,673.20		22477	\$181,750.00	1.18%	\$45,897,574.32	
01/01/12						\$1,961,673.20		22485	\$125,000.00	1.18%	\$46,022,574.32	Coupon
01/03/12						\$1,961,673.20		23474	\$2,325.00	1.18%	\$46,024,899.32	Coupon
01/03/12						\$1,961,673.20		23475	\$30,000.00	1.18%	\$46,054,899.32	Coupon
01/01/12						\$1,961,673.20		22497	\$125,000.00	1.18%	\$46,179,899.32	Coupon
01/31/12						\$1,961,673.20			\$390.65	1.18%	\$46,180,289.97	Federated Interest
02/01/12						\$1,961,673.20		22473	\$192,625.00	1.18%	\$46,372,914.97	Coupon
02/01/12						\$1,961,673.20		22478	\$12,512.50	1.18%	\$46,385,427.47	Coupon
02/01/12						\$1,961,673.20		22508	\$64,000.00	1.18%	\$46,449,427.47	Coupon
02/01/12						\$1,961,673.20		22509	\$80,000.00	1.18%	\$46,529,427.47	Coupon
02/01/12						\$1,961,673.20		22626	\$16,250.00	1.18%	\$46,545,677.47	Coupon
02/01/12						\$1,961,673.20		22909	\$22,500.00	1.18%	\$46,568,177.47	Coupon
02/01/12						\$1,961,673.20	\$1,500,000.00	22909		1.18%	\$48,068,177.47	Chaska MN School District #112 CUSIP 161681RP8&P AAA M-A1
02/06/12					\$551,405.68	\$551,405.68				1.51%	\$47,516,771.79	Expenses
02/13/12		\$392,566.61	26138			\$2,513,078.88				1.51%	\$47,124,205.18	Hanover County VA CUSIP 410774PY3
02/14/12		\$328,908.33	26146			\$2,513,078.88				1.51%	\$46,795,296.85	Columbus, OH CUSIP 199491L90
02/15/12						\$2,513,078.88		22479	\$26,250.00	1.51%	\$46,821,546.85	Coupon
02/15/12						\$2,513,078.88		22493	\$77,500.00	1.51%	\$46,899,046.85	Coupon
02/15/12						\$2,513,078.88		24313	\$12,148.89	1.51%	\$46,911,195.74	Coupon
02/16/12		\$449,486.19	26157			\$2,513,078.88				1.51%	\$46,461,709.55	South Hampton, NY CUSIP 841098BM7
02/23/12		\$589,380.17	26186			\$2,513,078.88				1.51%	\$45,872,329.38	Delaware State CUSIP 246380T74
02/29/12						\$2,513,078.88			\$371.24	1.51%	\$45,872,700.62	Federated Interest
03/01/12						\$2,513,078.88		22487	\$125,000.00	1.51%	\$45,997,700.62	
03/01/12						\$2,513,078.88				1.51%	\$45,997,700.62	
03/01/12						\$2,513,078.88		22548	\$22,575.00	1.51%	\$46,020,275.62	
03/01/12						\$2,513,078.88		22566	\$65,250.00	1.51%	\$46,085,525.62	Coupon
03/01/12						\$2,513,078.88		23299	\$19,000.00	1.51%	\$46,104,525.62	Coupon
03/01/12						\$2,513,078.88	\$1,900,000.00	23299		1.51%	\$48,004,525.62	Washington County Utah SD St. George CUSIP 938718XQ1
03/01/12						\$2,513,078.88	\$410,000.00	25251	\$6,150.00	1.51%	\$48,420,675.62	Savage, MN RE & IMPT-Ser D CUSIP 80465PBF0
03/01/12						\$2,513,078.88		26186	\$13,125.00	1.51%	\$48,433,800.62	Coupon
03/01/12						\$2,513,078.88		22474	\$37,750.00	1.51%	\$48,471,550.62	Coupon
03/01/12						\$2,513,078.88		22546	\$51,975.00	1.51%	\$48,523,525.62	Coupon
03/29/12		\$1,284,753.80	26222			\$2,513,078.88		26222		1.51%	\$47,238,771.82	Iowa City IA Sch District CUSIP 462326HW2
03/31/12					\$4,618,232.95	\$0.00				1.51%	\$47,238,771.82	
03/31/12						\$2,513,078.88			\$409.70	1.51%	\$47,239,181.52	Federated Interest
04/01/12						\$2,513,078.88		23294	\$29,550.00	1.51%	\$47,268,731.52	Coupon
04/01/12						\$2,513,078.88		22491	\$27,500.00	1.51%	\$47,296,231.52	Coupon
04/01/12						\$2,513,078.88		22547	\$100,000.00	1.51%	\$47,396,231.52	Coupon
04/01/12						\$2,513,078.88	\$3,940,000.00	23294		1.51%	\$51,336,231.52	Winnebago County WI CUSIP 947603QW5
04/01/12						\$2,513,078.88		24289	\$47,500.00	1.51%	\$51,383,731.52	Coupon
04/01/12						\$2,513,078.88		25252	\$2,816.67	1.51%	\$51,386,548.19	Coupon Collierville, TN REF CUSIP 194702VU7
04/30/12						\$2,513,078.88			\$419.77	1.51%	\$51,386,967.96	Federated Interest
05/01/12						\$2,513,078.88		22499	\$122,000.00	1.51%	\$51,508,967.96	Coupon
05/01/12						\$2,513,078.88		23472	\$13,275.00	1.51%	\$51,522,242.96	Coupon
05/15/12						\$2,513,078.88		22466	\$89,875.00	1.51%	\$51,612,117.96	Coupon
05/31/12						\$2,513,078.88			\$436.51	1.51%	\$51,612,554.47	Federated Interest
06/01/12						\$2,513,078.88		21159	\$3,687.50	1.51%	\$51,616,241.97	Coupon
06/01/12						\$2,513,078.88		22492	\$25,000.00	1.51%	\$51,641,241.97	Coupon
06/01/12						\$2,513,078.88		22500	\$27,500.00	1.51%	\$51,668,741.97	Coupon
06/01/12						\$2,513,078.88		22507	\$26,500.00	1.51%	\$51,695,241.97	Coupon
06/01/12						\$2,513,078.88		22512	\$8,606.25	1.51%	\$51,703,848.22	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
06/01/12						\$2,513,078.88		22515	\$11,756.25	1.51%	\$51,715,604.47	Coupon
06/01/12						\$2,513,078.88		22567	\$74,900.00	1.51%	\$51,790,504.47	Coupon
06/01/12						\$2,513,078.88		22871	\$43,125.00	1.51%	\$51,833,629.47	Coupon
06/01/12						\$2,513,078.88			\$10,687.50	1.51%	\$51,844,316.97	Coupon
06/01/12						\$2,513,078.88		23158	\$3,562.50	1.51%	\$51,847,879.47	Coupon
06/01/12						\$2,513,078.88		23160	\$3,812.50	1.51%	\$51,851,691.97	Coupon
06/01/12						\$2,513,078.88		23358	\$6,037.50	1.51%	\$51,857,729.47	Coupon
06/01/12						\$2,513,078.88		23359	\$6,150.00	1.51%	\$51,863,879.47	Coupon
06/01/12						\$2,513,078.88		23360	\$8,350.00	1.51%	\$51,872,229.47	Coupon
06/01/12						\$2,513,078.88		23559	\$25,400.00	1.51%	\$51,897,629.47	Coupon
06/01/12						\$2,513,078.88		23609	\$1,537.50	1.51%	\$51,899,166.97	Coupon
06/01/12						\$2,513,078.88		23610	\$1,612.50	1.51%	\$51,900,779.47	Coupon
06/01/12						\$2,513,078.88		24551	\$5,250.00	1.51%	\$51,906,029.47	Coupon
06/01/12						\$2,513,078.88		26146	\$6,000.00	1.51%	\$51,912,029.47	Coupon
06/14/12				\$4,639,785.78	\$909,933.83	\$3,423,012.71				2.05%	\$51,002,095.64	Expenses
06/15/12						\$3,423,012.71		22604	\$36,700.00	2.05%	\$51,038,795.64	Coupon
06/15/12						\$3,423,012.71		22646	\$25,875.00	2.05%	\$51,064,670.64	Coupon
06/15/12						\$3,423,012.71		22892	\$25,000.00	2.05%	\$51,089,670.64	Coupon
06/15/12						\$3,423,012.71		23302	\$9,300.00	2.05%	\$51,098,970.64	Coupon
06/15/12						\$3,423,012.71		23911	\$50,000.00	2.05%	\$51,148,970.64	Coupon
06/15/12						\$3,423,012.71		24122	\$8,000.00	2.05%	\$51,156,970.64	Coupon
06/15/12						\$3,423,012.71		26157	\$8,200.00	2.05%	\$51,165,170.64	Coupon
06/15/12						\$3,423,012.71			\$75,000.00	2.05%	\$51,240,170.64	Coupon
06/30/12						\$3,423,012.71			\$421.97	2.05%	\$51,240,592.61	Federated Interest
07/01/12						\$3,423,012.71		22477	\$181,750.00	2.05%	\$51,422,342.61	Coupon
07/01/12						\$3,423,012.71		22485	\$125,000.00	2.05%	\$51,547,342.61	Coupon
07/01/12						\$3,423,012.71		22497	\$125,000.00	2.05%	\$51,672,342.61	Coupon
07/02/12						\$3,423,012.71		23474	\$2,325.00	2.05%	\$51,674,667.61	Coupon
07/02/12						\$3,423,012.71		23475	\$30,000.00	2.05%	\$51,704,667.61	Coupon
07/15/12						\$3,423,012.71		26138	\$8,750.00	2.05%	\$51,713,417.61	Coupon
07/31/12						\$3,423,012.71			\$437.59	2.05%	\$51,713,855.20	Federated Interest
08/01/12						\$3,423,012.71	\$7,705,000.00	22473	\$192,625.00	2.05%	\$59,611,480.20	CUSIP # 64966GYV8 New York City, NY S&P AA / Moody's Aa3
08/01/12						\$3,423,012.71		22478	\$12,512.50	2.05%	\$59,623,992.70	Coupon
08/01/12						\$3,423,012.71		22508	\$64,000.00	2.05%	\$59,687,992.70	Coupon
08/01/12						\$3,423,012.71		22509	\$80,000.00	2.05%	\$59,767,992.70	Coupon
08/01/12						\$3,423,012.71		22626	\$16,250.00	2.05%	\$59,784,242.70	Coupon
08/15/12						\$3,423,012.71		22479	\$26,250.00	2.05%	\$59,810,492.70	Coupon
08/15/12						\$3,423,012.71		22493	\$77,500.00	2.05%	\$59,887,992.70	Coupon
08/15/12						\$3,423,012.71		24313	\$7,700.00	2.05%	\$59,895,692.70	Coupon
08/31/12				\$0.00	\$1,445,801.33	\$4,868,814.04				2.92%	\$58,449,891.37	Expenses
08/31/12						\$4,868,814.04			\$507.90	2.92%	\$58,450,399.27	Federated Interest
09/01/12						\$4,868,814.04		22487	\$125,000.00	2.92%	\$58,575,399.27	
09/01/12						\$4,868,814.04		22546	\$51,975.00	2.92%	\$58,627,374.27	Coupon
09/01/12						\$4,868,814.04		22548	\$22,575.00	2.92%	\$58,649,949.27	
09/01/12						\$4,868,814.04		22566	\$65,250.00	2.92%	\$58,715,199.27	Coupon
09/01/12						\$4,868,814.04		26186	\$13,125.00	2.92%	\$58,728,324.27	Coupon
09/01/12						\$4,868,814.04		22474	\$37,750.00	2.92%	\$58,766,074.27	Coupon
09/04/12						\$4,539,103.53				5.64%	\$54,226,970.74	Expense that should have occurred on 11/22/10, mistakenly taken for Referendum Bonds
09/18/12				\$4,664,440.63	\$1,522,946.44	\$10,930,864.01				6.56%	\$52,704,024.30	Expense
09/30/12						\$10,930,864.01			\$441.39	6.56%	\$52,704,465.69	Federated Interest
10/01/12						\$10,930,864.01		22491	\$27,500.00	6.56%	\$52,731,965.69	Coupon
10/01/12						\$10,930,864.01	\$4,000,000.00	22547		6.56%	\$56,731,965.69	CUSIP #3733832W7 Georgia, Moody's Aaa S&P AAA
10/01/12						\$10,930,864.01		22547	\$100,000.00	6.56%	\$56,831,965.69	Coupon
10/01/12						\$10,930,864.01		24289	\$28,500.00	6.56%	\$56,860,465.69	Coupon
10/01/12						\$10,930,864.01		25252	\$3,250.00	6.56%	\$56,863,715.69	Collierville, TN REF CUSIP 194702VU7
10/11/12						\$10,930,864.01			(\$810.81)	6.56%	\$56,862,904.88	Interest that was earned on \$4,539,103.53 from 11/22/10 - 9/4/12
10/24/12				\$307,873.56	\$307,873.56	\$11,238,737.57				6.74%	\$56,555,031.32	
10/31/12						\$11,238,737.57			\$484.68	6.74%	\$56,555,516.00	Federated Interest plus additional interst of 2.34
11/01/12						\$11,238,737.57		22499	\$122,000.00	6.74%	\$56,677,516.00	Coupon
11/01/12						\$11,238,737.57		23472	\$13,275.00	6.74%	\$56,690,791.00	Coupon
11/02/12				\$4,037,436.92	\$1,037,436.92	\$12,276,174.49				7.36%	\$55,653,354.08	Expense



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
11/15/12						\$12,276,174.49		22466	\$89,875.00	7.36%	\$55,743,229.08	Coupon
11/30/12						\$12,276,174.49			\$458.10	7.36%	\$55,743,687.18	Federated Interest
12/01/12						\$12,276,174.49		23074	\$10,687.50	7.36%	\$55,754,374.68	Coupon
12/01/12						\$12,276,174.49		23158	\$3,562.50	7.36%	\$55,757,937.18	Coupon
12/01/12						\$12,276,174.49		22492	\$25,000.00	7.36%	\$55,782,937.18	Coupon
12/01/12						\$12,276,174.49		22500	\$27,500.00	7.36%	\$55,810,437.18	Coupon
12/01/12						\$12,276,174.49		22507	\$26,500.00	7.36%	\$55,836,937.18	Coupon
12/01/12						\$12,276,174.49		22512	\$8,606.25	7.36%	\$55,845,543.43	Coupon
12/01/12						\$12,276,174.49	\$810,000.00	22512		7.36%	\$56,656,543.43	CUSIP #215543JR1 Main Township HS, AA+
12/01/12						\$12,276,174.49		22515	\$11,756.25	7.36%	\$56,667,299.68	Coupon
12/01/12						\$12,276,174.49	\$0.00	22567	\$74,900.00	7.36%	\$56,742,199.68	Coupon
12/01/12						\$12,276,174.49		22871	\$43,125.00	7.36%	\$56,785,324.68	Coupon
12/01/12						\$12,276,174.49	\$1,500,000.00	22871		7.36%	\$58,285,324.68	CUSIP # 509076AL9 Lake County Sch Dist 112 S&P AAA/AA1
12/01/12						\$12,276,174.49		23158	\$3,687.50	7.36%	\$58,289,012.18	Coupon
12/01/12						\$12,276,174.49		23160	\$3,812.50	7.36%	\$58,292,824.68	Coupon
12/01/12						\$12,276,174.49		23358	\$6,037.50	7.36%	\$58,298,862.18	Coupon
12/01/12						\$12,276,174.49	\$805,000.00	23358		7.36%	\$59,103,862.18	Schaumburg IL CUSIP806347JL5
12/01/12						\$12,276,174.49		23359	\$6,150.00	7.36%	\$59,110,012.18	Coupon
12/01/12						\$12,276,174.49		23360	\$8,350.00	7.36%	\$59,118,362.18	Coupon
12/01/12						\$12,276,174.49		23559	\$25,400.00	7.36%	\$59,143,762.18	Coupon
12/01/12						\$12,276,174.49	\$205,000.00	23609		7.36%	\$59,348,762.18	Arlington Heights, IL CUSIP 041447YF4
12/01/12						\$12,276,174.49		23609	\$1,537.50	7.36%	\$59,350,299.68	Coupon
12/01/12						\$12,276,174.49		23610	\$1,612.50	7.36%	\$59,351,912.18	Coupon
12/01/12						\$12,276,174.49		24551	\$5,250.00	7.36%	\$59,357,162.18	Coupon
12/01/12						\$12,276,174.49		26146	\$6,000.00	7.36%	\$59,363,162.18	Coupon
12/01/12						\$12,276,174.49			\$24,401.67	7.36%	\$59,387,563.85	Coupon
12/15/12						\$12,276,174.49		22604	\$36,700.00	7.36%	\$59,424,263.85	Coupon
12/15/12						\$12,276,174.49		22646	\$25,875.00	7.36%	\$59,450,138.85	Coupon
12/15/12						\$12,276,174.49		22892	\$25,000.00	7.36%	\$59,475,138.85	Coupon
12/15/12						\$12,276,174.49		23302	\$9,300.00	7.36%	\$59,484,438.85	Coupon
12/15/12						\$12,276,174.49	\$930,000.00	23302		7.36%	\$60,414,438.85	Downers Grove SD 58 CUSIP 263165GJ5 Moody's Aa2
12/15/12						\$12,276,174.49		23911	\$50,000.00	7.36%	\$60,464,438.85	Coupon
12/15/12						\$12,276,174.49		24122	\$8,000.00	7.36%	\$60,472,438.85	Coupon
12/15/12						\$12,276,174.49		25733	\$75,000.00	7.36%	\$60,547,438.85	Coupon
12/15/12						\$12,276,174.49		26157	\$8,200.00	7.36%	\$60,555,638.85	Coupon
12/15/12						\$12,276,174.49	\$400,000.00	24122		7.36%	\$60,955,638.85	Salt Lake County Utah Series A
12/30/12						\$12,276,174.49		23474	\$2,325.00	7.36%	\$60,957,963.85	Coupon
12/30/12						\$12,276,174.49	\$155,000.00	23474		7.36%	\$61,112,963.85	Indian Prarie SD #204 CUSIP 262608QT2
12/30/12						\$12,276,174.49		23475	\$30,000.00	7.36%	\$61,142,963.85	Coupon
12/31/12						\$12,276,174.49			\$909.14	7.36%	\$61,143,872.99	Federated Interest
12/31/12				\$4,681,982.64	\$0.00	\$12,276,174.49				7.36%	\$61,143,872.99	
01/01/13						\$12,276,174.49		22477	\$181,750.00	7.36%	\$61,325,622.99	
01/01/13						\$12,276,174.49		22485	\$125,000.00	7.36%	\$61,450,622.99	Coupon
01/01/13						\$12,276,174.49		22497	\$125,000.00	7.36%	\$61,575,622.99	Coupon
01/07/13				\$462,482.96	\$452,482.86	\$12,728,657.35				7.63%	\$61,123,140.13	Expenses
01/08/13	\$537,038.25	28835				\$12,728,657.35				7.63%	\$60,586,101.88	Forsyth County NC CUSIP 346623AA9
01/15/13						\$12,728,657.35		26138	\$8,750.00	7.63%	\$60,594,851.88	Coupon
01/31/13						\$12,728,657.35			\$516.25	7.63%	\$60,595,368.13	Federated Interest
02/01/13						\$12,728,657.35		22478	\$12,512.50	7.63%	\$60,607,880.63	Coupon
02/01/13						\$12,728,657.35		22508	\$64,000.00	7.63%	\$60,671,880.63	Coupon
02/01/13						\$12,728,657.35		22509	\$80,000.00	7.63%	\$60,751,880.63	Coupon
02/01/13						\$12,728,657.35		22626	\$16,250.00	7.63%	\$60,768,130.63	Coupon
02/15/13						\$12,728,657.35	\$875,000.00	22479		7.63%	\$61,643,130.63	CUSIP # 646039FS1 New Jersey S&P AA/Moody's Aa3
02/15/13						\$12,728,657.35		22479	\$26,250.00	7.63%	\$61,669,380.63	Coupon
02/15/13						\$12,728,657.35		22493	\$77,500.00	7.63%	\$61,746,880.63	Coupon
02/15/13						\$12,728,657.35	\$3,100,000.00	22493		7.63%	\$64,846,880.63	CUSIP # 487694DT5 Keller School, TX S&P AA/Moody's Aa3
02/15/13						\$12,728,657.35		24313	\$7,700.00	7.63%	\$64,854,580.63	Coupon
02/19/13	\$2,243,233.33	29284				\$12,728,657.35				7.63%	\$62,611,347.30	CUSIP #930863Z24 Wake County NC Moody's Aaa S&P AAA
02/27/13				\$5,451,361.00	\$5,451,361.00	\$18,180,018.35				10.90%	\$57,159,986.30	Expenses
02/28/13						\$18,180,018.35			\$472.78	10.90%	\$57,160,459.08	Federated Interest
03/01/13						\$18,180,018.35	\$5,000,000.00	22487		10.90%	\$62,160,459.08	CUSIP # 930863N68 Wake County, NC S&P AAA/Moody's Aaa



**PMA Financial Network Inc.**

**Portfolio & Rebate Liability Report**

Last Updated: 12/31/16  
 Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
03/01/13						\$18,180,018.35		22487	\$125,000.00	10.90%	\$62,285,459.08	
03/01/13						\$18,180,018.35		22546	\$51,975.00	10.90%	\$62,337,434.08	Coupon
03/01/13						\$18,180,018.35		22548	\$22,575.00	10.90%	\$62,360,009.08	
03/01/13						\$18,180,018.35		22566	\$65,250.00	10.90%	\$62,425,259.08	Coupon
03/01/13						\$18,180,018.35		26186	\$13,125.00	10.90%	\$62,438,384.08	Coupon
03/01/13						\$18,180,018.35		22474	\$37,750.00	10.90%	\$62,476,134.08	Coupon
03/12/13				\$4,736,194.29	\$252,126.29	\$18,432,144.64				11.06%	\$62,224,007.79	
03/31/13						\$18,432,144.64			\$529.32	11.06%	\$62,224,537.11	Federated Interest
04/01/13						\$18,432,144.64		22491	\$27,500.00	11.06%	\$62,252,037.11	Coupon
04/01/13						\$18,432,144.64		24289	\$28,500.00	11.06%	\$62,280,537.11	Coupon
04/01/13						\$18,432,144.64	\$1,900,000.00	24289		11.06%	\$64,180,537.11	Lees Summit, MO CUSIP 524282LL2
04/01/13						\$18,432,144.64	\$325,000.00	25252	\$3,250.00	11.06%	\$64,508,787.11	Collierville, TN REF CUSIP 194702VU7
04/01/13						\$18,432,144.64		29284	\$50,000.00	11.06%	\$64,558,787.11	Coupon
04/30/13						\$18,432,144.64			\$530.70	11.06%	\$64,559,317.81	Federated Interest
05/01/13						\$18,432,144.64		22499	\$122,000.00	11.06%	\$64,681,317.81	Coupon
05/01/13						\$18,432,144.64		23472	\$13,275.00	11.06%	\$64,694,592.81	Coupon
05/01/13						\$18,432,144.64		28835	\$3,295.83	11.06%	\$64,697,888.64	Coupon
05/08/13				\$231,898.40	\$231,898.40	\$18,664,043.04				11.20%	\$64,465,990.24	Expenses
05/13/13	\$521,141.50	29570				\$18,664,043.04				11.20%	\$63,944,848.74	Wake County, NC 930863N84
05/15/13						\$18,664,043.04		22466	\$89,875.00	11.20%	\$64,034,723.74	Coupon
05/15/13	\$6,866,666.67	29577				\$18,664,043.04				11.20%	\$57,168,057.07	Mecklenburg County NC 584002JB6
05/15/13	\$1,506,181.44	29578				\$18,664,043.04				11.20%	\$55,661,875.63	Bergen County NJ 083763ER9
05/15/13	\$1,247,090.67	29579				\$18,664,043.04				11.20%	\$54,414,784.96	Monmouth County NJ 6095586X4
05/15/13	\$852,520.71	29580				\$18,664,043.04				11.20%	\$53,562,264.25	Charlotte NC 1610348C0
05/31/13						\$18,664,043.04			\$497.01	11.20%	\$53,562,761.26	Federated Interest
06/01/13						\$18,664,043.04		23074	\$10,687.50	11.20%	\$53,573,448.76	Coupon
06/01/13						\$18,664,043.04		23559	\$25,400.00	11.20%	\$53,598,848.76	Coupon
06/01/13						\$18,664,043.04		21159	\$3,687.50	11.20%	\$53,602,536.26	Coupon
06/01/13						\$18,664,043.04			\$0.00	11.20%	\$53,602,536.26	
06/01/13						\$18,664,043.04		22492	\$25,000.00	11.20%	\$53,627,536.26	Coupon
06/01/13						\$18,664,043.04		22500	\$27,500.00	11.20%	\$53,655,036.26	Coupon
06/01/13						\$18,664,043.04		22507	\$26,500.00	11.20%	\$53,681,536.26	Coupon
06/01/13						\$18,664,043.04		22515	\$11,756.25	11.20%	\$53,693,292.51	Coupon
06/01/13						\$18,664,043.04		22567	\$74,900.00	11.20%	\$53,768,192.51	Coupon
06/01/13						\$18,664,043.04		23158	\$3,562.50	11.20%	\$53,771,755.01	Coupon
06/01/13						\$18,664,043.04		23160	\$3,812.50	11.20%	\$53,775,567.51	Coupon
06/01/13						\$18,664,043.04		23359	\$6,150.00	11.20%	\$53,781,717.51	Coupon
06/01/13						\$18,664,043.04		29580	\$19,000.00	11.20%	\$53,800,717.51	Coupon
06/01/13						\$18,664,043.04		29579	\$17,250.00	11.20%	\$53,817,967.51	Coupon
06/01/13						\$18,664,043.04		23360	\$8,350.00	11.20%	\$53,826,317.51	Coupon
06/01/13						\$18,664,043.04		23610	\$1,612.50	11.20%	\$53,827,930.01	Coupon
06/01/13						\$18,664,043.04		24551	\$5,250.00	11.20%	\$53,833,180.01	Coupon
06/01/13						\$18,664,043.04		26146	\$6,000.00	11.20%	\$53,839,180.01	Coupon
06/01/13						\$18,664,043.04			\$18,150.00	11.20%	\$53,857,330.01	Coupon
06/12/13				\$4,758,560.66	\$107,914.81	\$18,771,957.85				11.26%	\$53,749,415.20	
06/15/13						\$18,771,957.85		22604	\$36,700.00	11.26%	\$53,786,115.20	Coupon
06/15/13						\$18,771,957.85		22646	\$25,875.00	11.26%	\$53,811,990.20	Coupon
06/15/13						\$18,771,957.85		22892	\$25,000.00	11.26%	\$53,836,990.20	Coupon
06/15/13						\$18,771,957.85		23911	\$50,000.00	11.26%	\$53,886,990.20	Coupon
06/15/13						\$18,771,957.85		25733	\$75,000.00	11.26%	\$53,961,990.20	Coupon
06/15/13						\$18,771,957.85		26157	\$8,200.00	11.26%	\$53,970,190.20	Coupon
06/30/13						\$18,771,957.85			\$442.91	11.26%	\$53,970,633.11	Federated Interest
07/01/13						\$18,771,957.85		23475	\$30,000.00	11.26%	\$54,000,633.11	Coupon 6-30-13 posted 7-1-13
07/01/13						\$18,771,957.85	\$7,270,000.00	22477		11.26%	\$61,270,633.11	CUSIP # 93974BFB3 Washington S&P AA/Moody's Aa1
07/01/13						\$18,771,957.85		22477	\$181,750.00	11.26%	\$61,452,383.11	
07/01/13						\$18,771,957.85		22485	\$125,000.00	11.26%	\$61,577,383.11	Coupon
07/01/13						\$18,771,957.85		22497	\$125,000.00	11.26%	\$61,702,383.11	Coupon
07/01/13						\$18,771,957.85	\$5,000,000.00	22497		11.26%	\$66,702,383.11	CUSIP # 4197800S69 Hawaii S&P AA/Moody's Aa2
07/15/13						\$18,771,957.85		26138	\$8,750.00	11.26%	\$66,711,133.11	Coupon
07/10/13				\$540,599.22	\$540,599.22	\$19,312,557.07				11.58%	\$66,170,533.89	Expenses
07/31/13						\$19,312,557.07			\$563.38	11.58%	\$66,171,097.27	Federated Interest



**PMA Financial Network Inc.**

**Portfolio & Rebate Liability Report**

Last Updated: 12/31/16  
 Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
08/01/13						\$19,312,557.07		22478	\$12,512.50	11.58%	\$66,183,609.77	Coupon
08/01/13						\$19,312,557.07		29577	\$150,000.00	11.58%	\$66,333,609.77	Coupon
08/01/13						\$19,312,557.07		22508	\$64,000.00	11.58%	\$66,397,609.77	Coupon
08/01/13						\$19,312,557.07		22509	\$80,000.00	11.58%	\$66,477,609.77	Coupon
08/01/13						\$19,312,557.07		22626	\$16,250.00	11.58%	\$66,493,859.77	Coupon
08/15/13						\$19,312,557.07		24313	\$7,700.00	11.58%	\$66,501,559.77	Coupon
08/15/13						\$19,312,557.07	\$770,000.00	24313		11.58%	\$67,271,559.77	Coppell Texas Independent School District CUSIP 217489Q22
08/31/13						\$19,312,557.07			\$568.39	11.58%	\$67,272,128.16	Federated Interest
09/01/13						\$19,312,557.07		22546	\$51,975.00	11.58%	\$67,324,103.16	Coupon
09/01/13						\$19,312,557.07		22548	\$22,575.00	11.58%	\$67,346,678.16	
09/01/13						\$19,312,557.07		22566	\$65,250.00	11.58%	\$67,411,928.16	Coupon
09/01/13						\$19,312,557.07		26186	\$13,125.00	11.58%	\$67,425,053.16	Coupon
09/01/13						\$19,312,557.07		29570	\$11,875.00	11.58%	\$67,436,928.16	Coupon
09/01/13						\$19,312,557.07		22474	\$37,750.00	11.58%	\$67,474,678.16	Coupon
09/11/13				\$4,780,674.12	\$519,549.97	\$19,832,107.04				11.90%	\$66,955,128.19	Expenses
09/13/13	\$1,124,373.33	30569				\$19,832,107.04				11.90%	\$65,830,754.86	Davis County, Utah School District CUSIP 239019D82
09/27/13	\$1,141,707.78	30790				\$19,832,107.04				11.90%	\$64,689,047.08	Maryland State CUSIP 574192E89
09/30/13						\$19,832,107.04			\$544.92	11.90%	\$64,689,592.00	Federated Interest
10/01/13						\$19,832,107.04		22491	\$27,500.00	11.90%	\$64,717,092.00	Coupon
10/01/13						\$19,832,107.04		29284	\$50,000.00	11.90%	\$64,767,092.00	Coupon
10/07/13				\$4,078,564.38	\$1,078,564.38	\$20,910,671.42				12.54%	\$63,688,527.62	Expenses
10/31/13						\$20,910,671.42			\$542.75	12.54%	\$63,689,070.37	Federated Interest
11/01/13						\$20,910,671.42		29578	\$22,750.00	12.54%	\$63,711,820.37	Coupon
11/01/13						\$20,910,671.42		22499	\$122,000.00	12.54%	\$63,833,820.37	Coupon
11/01/13						\$20,910,671.42		23472	\$13,275.00	12.54%	\$63,847,095.37	Coupon
11/01/13						\$20,910,671.42		28835	\$5,250.00	12.54%	\$63,852,345.37	Coupon
11/07/13				\$908,049.80	\$908,049.80	\$21,818,721.22				13.09%	\$62,944,295.57	Expenses
11/15/13						\$21,818,721.22		22466	\$89,875.00	13.09%	\$63,034,170.57	Coupon
11/30/13						\$21,818,721.22			\$519.32	13.09%	\$63,034,689.89	Federated Interest
12/01/13						\$21,818,721.22		23074	\$10,687.50	13.09%	\$63,045,377.39	Coupon
12/01/13						\$21,818,721.22		21159	\$3,687.50	13.09%	\$63,049,064.89	Coupon
12/01/13						\$21,818,721.22		30569	\$20,000.00	13.09%	\$63,069,064.89	Coupon
12/01/13						\$21,818,721.22		29579	\$17,250.00	13.09%	\$63,086,314.89	Coupon
12/01/13						\$21,818,721.22		29580	\$19,000.00	13.09%	\$63,105,314.89	Coupon
12/01/13						\$21,818,721.22		22492	\$25,000.00	13.09%	\$63,130,314.89	Coupon
12/01/13						\$21,818,721.22		22500	\$27,500.00	13.09%	\$63,157,814.89	Coupon
12/01/13						\$21,818,721.22		22507	\$26,500.00	13.09%	\$63,184,314.89	Coupon
12/01/13						\$21,818,721.22		22515	\$11,756.25	13.09%	\$63,196,071.14	Coupon
12/01/13						\$21,818,721.22		22567	\$74,900.00	13.09%	\$63,270,971.14	Coupon
12/01/13						\$21,818,721.22	\$285,000.00	23158		13.09%	\$63,555,971.14	Mount Prospect CUSIP #622826SCO S&P AA
12/01/13						\$21,818,721.22		23158	\$3,562.50	13.09%	\$63,559,533.64	Coupon
12/01/13						\$21,818,721.22		23160	\$3,812.50	13.09%	\$63,563,346.14	Coupon
12/01/13						\$21,818,721.22		23359	\$6,150.00	13.09%	\$63,569,496.14	Coupon
12/01/13						\$21,818,721.22	\$820,000.00	23359		13.09%	\$64,389,496.14	Schaumburg IL CUSIP806347JM3
12/01/13						\$21,818,721.22		23360	\$8,350.00	13.09%	\$64,397,846.14	Coupon
12/01/13						\$21,818,721.22	\$1,270,000.00	23559	\$25,400.00	13.09%	\$65,693,246.14	Dublin School District CUSIP 26371GMC1
12/01/13						\$21,818,721.22	\$215,000.00	23610		13.09%	\$65,908,246.14	Arlington Heights, IL CUSIP 041447YG2
12/01/13						\$21,818,721.22		23610	\$1,612.50	13.09%	\$65,909,858.64	Coupon
12/01/13						\$21,818,721.22		24551	\$5,250.00	13.09%	\$65,915,108.64	Coupon
12/01/13						\$21,818,721.22	\$525,000.00	24551		13.09%	\$66,440,108.64	CUSIP 041465KB0 Arlington Heights IL Park District Moody's Aaa
12/01/13						\$21,818,721.22		26146	\$6,000.00	13.09%	\$66,446,108.64	Coupon
12/01/13						\$21,818,721.22			\$18,150.00	13.09%	\$66,464,258.64	Coupon
12/10/13				\$985,449.95	\$985,449.95	\$22,804,171.17				13.68%	\$65,478,808.69	Expenses
12/15/13						\$22,804,171.17		22604	\$36,700.00	13.68%	\$65,515,508.69	Coupon
12/15/13						\$22,804,171.17		22646	\$25,875.00	13.68%	\$65,541,383.69	Coupon
12/15/13						\$22,804,171.17		22892	\$25,000.00	13.68%	\$65,566,383.69	Coupon
12/15/13						\$22,804,171.17		23911	\$50,000.00	13.68%	\$65,616,383.69	Coupon
12/15/13						\$22,804,171.17	\$2,000,000.00	23911		13.68%	\$67,616,383.69	City of Columbus Ohio
12/15/13						\$22,804,171.17	\$3,000,000.00	25733		13.68%	\$70,616,383.69	Stamford CT Series B
12/15/13						\$22,804,171.17		25733	\$75,000.00	13.68%	\$70,691,383.69	Coupon
12/15/13						\$22,804,171.17		26157	\$8,200.00	13.68%	\$70,699,583.69	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
12/20/13				\$2,414,237.95	\$2,414,237.95	\$25,218,409.12				15.13%	\$68,285,345.74	Expenses
12/30/13						\$25,218,409.12		23475	\$30,000.00	15.13%	\$68,315,345.74	Coupon
12/31/13						\$25,218,409.12			\$1,457.89	15.13%	\$68,316,803.63	Federated Interest
01/01/14						\$25,218,409.12		22485	\$125,000.00	15.13%	\$68,441,803.63	Coupon
01/15/14						\$25,218,409.12		26138	\$8,750.00	15.13%	\$68,450,553.63	Coupon
01/17/14	\$3,395,273.16		31509			\$25,218,409.12				15.13%	\$65,055,280.47	CUSIP # 604129P83 MN State S&P AA Moody's Aa1
01/31/14						\$25,218,409.12			\$567.44	15.13%	\$65,055,847.91	Federated Interest
02/01/14						\$25,218,409.12		22478	\$12,512.50	15.13%	\$65,068,360.41	Coupon
02/01/14						\$25,218,409.12	\$455,000.00	22478		15.13%	\$65,523,360.41	CUSIP # 709141VE2 Pennsylvania S&P AA/Moody's Aa2
02/01/14						\$25,218,409.12		22508	\$64,000.00	15.13%	\$65,587,360.41	Coupon
02/01/14						\$25,218,409.12		22509	\$80,000.00	15.13%	\$65,667,360.41	Coupon
02/01/14						\$25,218,409.12		29577	\$150,000.00	15.13%	\$65,817,360.41	Coupon
02/01/14						\$25,218,409.12		22626	\$16,250.00	15.13%	\$65,833,610.41	Coupon
02/01/14						\$25,218,409.12		30790	\$25,000.00	15.13%	\$65,858,610.41	Coupon
02/28/14						\$25,218,409.12			\$504.96	15.13%	\$65,859,115.37	Federated Interest
03/01/14						\$25,218,409.12	\$1,510,000.00	22474	\$37,750.00	15.13%	\$67,406,865.37	CUSIP # 64966EBW6 New York City, NY S&P AA/ Moody's Aa3
03/01/14						\$25,218,409.12		22546	\$51,975.00	15.13%	\$67,458,840.37	Coupon
03/01/14						\$25,218,409.12		22548	\$22,575.00	15.13%	\$67,481,415.37	
03/01/14						\$25,218,409.12		22566	\$65,250.00	15.13%	\$67,546,665.37	Coupon
03/01/14						\$25,218,409.12	\$525,000.00	26186		15.13%	\$68,071,665.37	Delaware State CUSIP 246380T74
03/01/14						\$25,218,409.12		26186	\$13,125.00	15.13%	\$68,084,790.37	Coupon
03/10/14				\$4,856,118.34	\$2,124,351.54	\$27,342,760.66				16.40%	\$65,960,438.83	Expenses
03/12/14	\$560,723.89		31634			\$27,342,760.66				16.40%	\$65,399,714.94	Washington MD
03/17/14	\$3,842,727.78		31643			\$27,342,760.66				16.40%	\$61,556,987.16	Mecklenburg County NC
03/18/14	\$1,383,380.60		31646			\$27,342,760.66				16.40%	\$60,173,606.56	Wake County
03/25/14	\$3,274,757.60		31653			\$27,342,760.66				16.40%	\$56,898,848.96	Met Council MN
03/27/14	\$7,365,852.25		31663			\$27,342,760.66				16.40%	\$49,532,996.71	State of Georgia
03/31/14						\$27,342,760.66		29570	\$11,875.00	16.40%	\$49,544,871.71	Coupon
03/31/14						\$27,342,760.66			\$523.86	16.40%	\$49,545,395.57	Federated Interest
04/01/14						\$27,342,760.66		22491	\$27,500.00	16.40%	\$49,572,895.57	Coupon
04/01/14						\$27,342,760.66		29284	\$50,000.00	16.40%	\$49,622,895.57	Coupon
04/01/14						\$27,342,760.66		31509	\$58,100.69	16.40%	\$49,680,996.26	Coupon
04/09/14				\$4,742,260.44	\$1,742,260.44	\$29,085,021.10				17.45%	\$47,938,735.82	Expenses
04/30/14						\$29,085,021.10			\$397.95	17.45%	\$47,939,133.77	Federated Interest
05/01/14						\$29,085,021.10		22499	\$122,000.00	17.45%	\$48,061,133.77	Coupon
05/01/14						\$29,085,021.10		23472	\$13,275.00	17.45%	\$48,074,408.77	Coupon
05/01/14						\$29,085,021.10		29578	\$22,750.00	17.45%	\$48,097,158.77	Coupon
05/01/14						\$29,085,021.10	\$525,000.00	28835	\$5,250.00	17.45%	\$48,627,408.77	Forsyth County NC CUSIP 346623AA9
05/07/14				\$4,266,189.06	\$1,265,189.06	\$30,350,210.16				18.20%	\$47,362,219.71	Expenses
05/15/14						\$30,350,210.16		22466	\$89,875.00	18.20%	\$47,452,094.71	Coupon
05/31/14						\$30,350,210.16			\$404.76	18.20%	\$47,452,499.47	Federated Interest
06/01/14						\$30,350,210.16		23074	\$10,687.50	18.20%	\$47,463,186.97	Coupon
06/01/14						\$30,350,210.16		31634	\$12,500.00	18.20%	\$47,475,686.97	Coupon
06/01/14						\$30,350,210.16		21159	\$3,687.50	18.20%	\$47,479,374.47	Coupon
06/01/14						\$30,350,210.16		22492	\$25,000.00	18.20%	\$47,504,374.47	Coupon
06/01/14						\$30,350,210.16		22500	\$27,500.00	18.20%	\$47,531,874.47	Coupon
06/01/14						\$30,350,210.16		29579	\$17,250.00	18.20%	\$47,549,124.47	Coupon
06/01/14						\$30,350,210.16		22507	\$26,500.00	18.20%	\$47,575,624.47	Coupon
06/01/14						\$30,350,210.16		22515	\$11,756.25	18.20%	\$47,587,380.72	Coupon
06/01/14						\$30,350,210.16		22567	\$74,900.00	18.20%	\$47,662,280.72	Coupon
06/01/14						\$30,350,210.16		29580	\$19,000.00	18.20%	\$47,681,280.72	Coupon
06/01/14						\$30,350,210.16		23160	\$3,812.50	18.20%	\$47,685,093.22	Coupon
06/01/14						\$30,350,210.16		23360	\$8,350.00	18.20%	\$47,693,443.22	Coupon
06/01/14						\$30,350,210.16	\$300,000.00	26146		18.20%	\$47,993,443.22	Columbus, OH CUSIP 199491L90
06/01/14						\$30,350,210.16		26146	\$6,000.00	18.20%	\$47,999,443.22	Coupon
06/01/14						\$30,350,210.16			\$18,150.00	18.20%	\$48,017,593.22	Coupon
06/01/14						\$30,350,210.16	\$1,210,000.00			18.20%	\$49,227,593.22	Iowa City IA Sch District CUSIP 462326HW2
06/01/14						\$30,350,210.16		30569	\$20,000.00	18.20%	\$49,247,593.22	Coupon
06/05/14				\$4,878,734.00	\$3,195,016.17	\$33,545,226.33				20.12%	\$46,052,577.05	Expenses
06/15/14						\$33,545,226.33		22604	\$36,700.00	20.12%	\$46,089,277.05	Coupon
06/15/14						\$33,545,226.33		22646	\$25,875.00	20.12%	\$46,115,152.05	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate Liability Report**

Last Updated: 12/31/16  
 Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
06/15/14						\$33,545,226.33		22892	\$25,000.00	20.12%	\$46,140,152.05	Coupon
06/15/14						\$33,545,226.33	\$410,000.00	26157		20.12%	\$46,550,152.05	South Hampton, NY CUSIP 841098BM7
06/15/14						\$33,545,226.33		26157	\$8,200.00	20.12%	\$46,558,352.05	Coupon
06/30/14						\$33,545,226.33		23475	\$30,000.00	20.12%	\$46,588,352.05	Coupon
06/30/14						\$33,545,226.33			\$383.70	20.12%	\$46,588,735.75	Federated Interest
07/01/14						\$33,545,226.33	\$5,000,000.00	22485		20.12%	\$51,588,735.75	CUSIP # 419780S77 Hawaii S&P AA/ Moody's Aa2
07/01/14						\$33,545,226.33		22485	\$125,000.00	20.12%	\$51,713,735.75	Coupon
07/15/14						\$33,545,226.33	\$350,000.00	26138		20.12%	\$52,063,735.75	Hanover County VA CUSIP 410774PY3
07/15/14						\$33,545,226.33		26138	\$8,750.00	20.12%	\$52,072,485.75	Coupon
07/08/14				\$1,576,981.16	\$1,576,981.16	\$35,122,207.49				21.07%	\$50,495,504.59	Expenses
07/31/14						\$35,122,207.49			\$430.65	21.07%	\$50,495,935.24	Federated Interest
08/01/14						\$35,122,207.49		31653	\$46,425.00	21.07%	\$50,542,360.24	Coupon
08/01/14						\$35,122,207.49		29577	\$150,000.00	21.07%	\$50,692,360.24	Coupon
08/01/14						\$35,122,207.49		22508	\$64,000.00	21.07%	\$50,756,360.24	Coupon
08/01/14						\$35,122,207.49		22509	\$80,000.00	21.07%	\$50,836,360.24	Coupon
08/01/14						\$35,122,207.49		22626	\$16,250.00	21.07%	\$50,852,610.24	Coupon
08/01/14						\$35,122,207.49		30790	\$25,000.00	21.07%	\$50,877,610.24	Coupon
08/05/14	\$1,809,386.33		32355			\$35,122,207.49				21.07%	\$49,068,223.91	Guilford NC CUSIP 401784YR8
08/12/14				\$707,299.70	\$707,299.70	\$35,829,507.19				21.49%	\$48,360,924.21	Expenses
08/14/14		\$650,196.45	32323			\$35,829,507.19				21.49%	\$47,710,727.76	Ramsey County MN CUSIP 751622KX8
08/31/14						\$35,829,507.19			\$411.16	21.49%	\$47,711,138.92	Federated Interest
09/01/14						\$35,829,507.19		31663	\$167,625.00	21.49%	\$47,878,763.92	Coupon
09/01/14						\$35,829,507.19		31646	\$31,500.00	21.49%	\$47,910,263.92	Coupon
09/01/14						\$35,829,507.19		31643	\$87,500.00	21.49%	\$47,997,763.92	Coupon
09/01/14						\$35,829,507.19		22546	\$51,975.00	21.49%	\$48,049,738.92	Coupon
09/01/14						\$35,829,507.19		22548	\$22,575.00	21.49%	\$48,072,313.92	Coupon
09/01/14						\$35,829,507.19		29570	\$11,875.00	21.49%	\$48,084,188.92	Coupon
09/01/14						\$35,829,507.19		22566	\$65,250.00	21.49%	\$48,149,438.92	Coupon
09/02/14				\$4,001,083.06	\$2,632,636.60	\$38,462,143.79				23.07%	\$45,516,802.32	Expenses
09/30/14						\$38,462,143.79			\$374.70	23.07%	\$45,517,177.02	Federated Interest
10/01/14						\$38,462,143.79		22491	\$27,500.00	23.07%	\$45,544,677.02	Coupon
10/01/14						\$38,462,143.79		29284	\$50,000.00	23.07%	\$45,594,677.02	Coupon
10/01/14						\$38,462,143.79		31509	\$72,125.00	23.07%	\$45,666,802.02	Coupon
10/01/14						\$38,462,143.79		32355	\$33,000.00	23.07%	\$45,699,802.02	Coupon
10/08/14				\$409,423.75	\$409,423.75	\$38,871,567.54				23.32%	\$45,290,378.27	Expenses
10/15/14	\$1,454,915.50		33206			\$38,871,567.54				23.32%	\$43,835,462.77	Monroe County, WI AA S&P
10/31/14						\$38,871,567.54			\$378.68	23.32%	\$43,835,841.45	Federated Interest
11/01/14						\$38,871,567.54		29578	\$22,750.00	23.32%	\$43,858,591.45	Coupon
11/01/14						\$38,871,567.54		22499	\$122,000.00	23.32%	\$43,980,591.45	Coupon
11/01/14						\$38,871,567.54		23472	\$13,275.00	23.32%	\$43,993,866.45	Coupon
11/01/14						\$38,871,567.54	\$1,770,000.00	23472		23.32%	\$45,763,866.45	Brown County WI CUSIP 1155116F9
11/06/14				\$1,230,335.28	\$1,230,335.28	\$40,101,902.82				24.05%	\$44,533,531.17	Expenses
11/15/14						\$40,101,902.82		22466	\$89,875.00	24.05%	\$44,623,406.17	Coupon
11/15/14						\$40,101,902.82	\$3,595,000.00	22466		24.05%	\$48,218,406.17	CUSIP # 20772GMZ Connecticut S&P AA / Moody's Aa3
11/30/14						\$40,101,902.82			\$380.78	24.05%	\$48,218,786.95	Federated Interest
12/01/14						\$40,101,902.82		31634	\$12,500.00	24.05%	\$48,231,286.95	Coupon
12/01/14						\$40,101,902.82		21159	\$3,687.50	24.05%	\$48,234,974.45	Coupon
12/01/14						\$40,101,902.82		22492	\$25,000.00	24.05%	\$48,259,974.45	Coupon
12/01/14						\$40,101,902.82		22500	\$27,500.00	24.05%	\$48,287,474.45	Coupon
12/01/14						\$40,101,902.82		22507	\$26,500.00	24.05%	\$48,313,974.45	Coupon
12/01/14						\$40,101,902.82		29579	\$17,250.00	24.05%	\$48,331,224.45	Coupon
12/01/14						\$40,101,902.82		22515	\$11,756.25	24.05%	\$48,342,980.70	Coupon
12/01/14						\$40,101,902.82	\$855,000.00	22515		24.05%	\$49,197,980.70	CUSIP #215543JT7 Main Township HS AA+
12/01/14						\$40,101,902.82		29580	\$19,000.00	24.05%	\$49,216,980.70	Coupon
12/01/14						\$40,101,902.82		22567	\$74,900.00	24.05%	\$49,291,880.70	Coupon
12/01/14						\$40,101,902.82	\$295,000.00	23159		24.05%	\$49,586,880.70	Mount Prospect CUSIP #622826SD8 S&P AA
12/01/14						\$40,101,902.82		23160	\$3,812.50	24.05%	\$49,590,693.20	Coupon
12/01/14						\$40,101,902.82		23360	\$8,350.00	24.05%	\$49,599,043.20	Coupon
12/01/14						\$40,101,902.82	\$835,000.00	23360		24.05%	\$50,434,043.20	Schaumburg IL CUSIP 806347JN1
12/01/14						\$40,101,902.82		30569	\$20,000.00	24.05%	\$50,454,043.20	Coupon
12/01/14						\$40,101,902.82	\$950,000.00	23074	\$10,687.50	24.05%	\$51,414,730.70	Wilmette, IL G.O.



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
12/09/14				\$4,922,931.48	\$3,120,705.06	\$43,222,607.88				25.93%	\$48,294,025.64	Expenses
12/15/14						\$43,222,607.88		22604	\$36,700.00	25.93%	\$48,330,725.64	Coupon
12/15/14						\$43,222,607.88		22646	\$25,875.00	25.93%	\$48,356,600.64	Coupon
12/15/14						\$43,222,607.88		22892	\$25,000.00	25.93%	\$48,381,600.64	Coupon
12/30/14						\$43,222,607.88		23475	\$30,000.00	25.93%	\$48,411,600.64	Coupon
12/30/14						\$43,222,607.88	\$2,000,000.00	23475		25.93%	\$50,411,600.64	Indian Prarie SD #204 CUSIP 262608QV7
12/31/14						\$43,222,607.88			\$6,637.91	25.93%	\$50,418,238.55	Federated Interest
01/08/15				\$2,210,130.34	\$2,210,130.34	\$45,432,738.22				27.25%	\$48,208,108.21	Expenses
01/26/15		\$2,398,816.44	33797			\$45,432,738.22				27.25%	\$45,809,291.77	Ankeny, IA CUSIP 035339L40 Moody's Aa2
01/31/15						\$45,432,738.22			\$409.75	27.25%	\$45,809,701.52	Federated Interest
02/01/15						\$45,432,738.22		31653	\$46,425.00	27.25%	\$45,856,126.52	Coupon
02/01/15						\$45,432,738.22		22508	\$64,000.00	27.25%	\$45,920,126.52	Coupon
02/01/15						\$45,432,738.22		29577	\$150,000.00	27.25%	\$46,070,126.52	Coupon
02/01/15						\$45,432,738.22		22509	\$80,000.00	27.25%	\$46,150,126.52	Coupon
02/01/15						\$45,432,738.22		22626	\$16,250.00	27.25%	\$46,166,376.52	Coupon
02/01/15						\$45,432,738.22		30790	\$25,000.00	27.25%	\$46,191,376.52	Coupon
02/01/15						\$45,432,738.22		32323	\$11,548.33	27.25%	\$46,202,924.85	Coupon
02/05/15	\$2,457,589.20		33799			\$45,432,738.22				27.25%	\$43,745,335.65	Texas State Referendum Series A1, Moody's Aaa
02/10/15				\$1,582,619.06	\$1,582,619.06	\$47,015,357.28				28.20%	\$42,162,716.59	Expenses
02/28/15						\$47,015,357.28			\$329.93	28.20%	\$42,163,046.52	Federated Interest
03/01/15						\$47,015,357.28	\$1,450,000.00	33206	\$5,477.78	28.20%	\$43,618,524.30	Monroe County, WI AA S&P
03/01/15						\$47,015,357.28		31663	\$167,625.00	28.20%	\$43,786,149.30	Coupon
03/01/15						\$47,015,357.28		31646	\$31,500.00	28.20%	\$43,817,649.30	Coupon
03/01/15						\$47,015,357.28		31643	\$87,500.00	28.20%	\$43,905,149.30	Coupon
03/01/15						\$47,015,357.28		22546	\$51,975.00	28.20%	\$43,957,124.30	Coupon
03/01/15						\$47,015,357.28	\$475,000.00	29570		28.20%	\$44,432,124.30	Wake County, NC 930863N84
03/01/15						\$47,015,357.28		22548	\$22,575.00	28.20%	\$44,454,699.30	
03/01/15						\$47,015,357.28		29570	\$11,875.00	28.20%	\$44,466,574.30	Coupon
03/01/15						\$47,015,357.28		22566	\$65,250.00	28.20%	\$44,531,824.30	Coupon
03/09/15				\$4,080,015.27	\$1,443,237.46	\$48,458,594.74				29.07%	\$43,088,586.84	Expenses
03/27/15	\$1,073,154.44		34388			\$48,458,594.74				29.07%	\$42,015,432.40	Georgia State GO, Moody's Aaa
03/30/15	\$1,511,536.35		34392			\$48,458,594.74				29.07%	\$40,503,896.05	Maryland State GO, Moody's Aaa
03/31/15						\$48,458,594.74			\$366.26	29.07%	\$40,504,262.31	Federated Interest
04/01/15						\$48,458,594.74		22491	\$27,500.00	29.07%	\$40,531,762.31	Coupon
04/01/15						\$48,458,594.74		29284	\$50,000.00	29.07%	\$40,581,762.31	Coupon
04/01/15						\$48,458,594.74	\$2,000,000.00	29284		29.07%	\$42,581,762.31	CUSIP #930863Z24 Wake County NC Moody's Aaa S&P AAA
04/01/15						\$48,458,594.74		31509	\$72,125.00	29.07%	\$42,653,887.31	Coupon
04/01/15						\$48,458,594.74		32355	\$33,000.00	29.07%	\$42,686,887.31	Coupon
04/08/15				\$1,152,710.62	\$1,152,710.62	\$49,611,305.36				29.78%	\$41,534,176.69	Expenses
04/30/15						\$49,611,305.36			\$343.64	29.78%	\$41,534,520.33	Federated Interest
05/01/15						\$49,611,305.36		29578	\$22,750.00	29.78%	\$41,557,270.33	Coupon
05/01/15						\$49,611,305.36		22499	\$122,000.00	29.78%	\$41,679,270.33	Coupon
05/11/15				\$1,177,224.78	\$1,177,224.78	\$50,788,530.14				30.46%	\$40,502,045.55	Expenses
05/31/15						\$50,788,530.14			\$347.30	30.46%	\$40,502,392.85	Federated Interest
06/01/15						\$50,788,530.14		31634	\$12,500.00	30.46%	\$40,514,892.85	Coupon
06/01/15						\$50,788,530.14	\$1,000,000.00	22492		30.46%	\$41,514,892.85	CUSIP # 940157KF6 Washington DC S&P AAA/Moody's Aaa
06/01/15						\$50,788,530.14		22492	\$25,000.00	30.46%	\$41,539,892.85	Coupon
06/01/15						\$50,788,530.14		29580	\$19,000.00	30.46%	\$41,558,892.85	Coupon
06/01/15						\$50,788,530.14		22500	\$27,500.00	30.46%	\$41,586,392.85	Coupon
06/01/15						\$50,788,530.14		22507	\$26,500.00	30.46%	\$41,612,892.85	Coupon
06/01/15						\$50,788,530.14		29579	\$17,250.00	30.46%	\$41,630,142.85	Coupon
06/01/15						\$50,788,530.14	\$760,000.00	29580		30.46%	\$42,390,142.85	Charlotte NC 1610348C0
06/01/15						\$50,788,530.14		22567	\$74,900.00	30.46%	\$42,465,042.85	Coupon
06/01/15						\$50,788,530.14		23160	\$3,812.50	30.46%	\$42,468,855.35	Coupon
06/01/15						\$50,788,530.14		30569	\$20,000.00	30.46%	\$42,488,855.35	Coupon
06/01/15						\$50,788,530.14		33797	\$44,000.00	30.46%	\$42,532,855.35	Coupon
06/04/15	\$2,182,157.78		34830			\$50,788,530.14				30.46%	\$40,350,697.57	Humble TX Cusip 4450428HO
06/05/15				\$5,003,564.90	\$2,190,442.05	\$52,978,972.19				31.78%	\$38,160,255.52	Expenses
06/15/15						\$52,978,972.19		22604	\$36,700.00	31.78%	\$38,196,955.52	Coupon
06/15/15						\$52,978,972.19	\$1,035,000.00	22646		31.78%	\$39,231,955.52	CUSIP #199491TC5 Columbus, OH AAA/Aaa
06/15/15						\$52,978,972.19		22646	\$25,875.00	31.78%	\$39,257,830.52	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
06/15/15						\$52,978,972.19		22892	\$25,000.00	31.78%	\$39,282,830.52	Coupon
06/17/15		\$740,482.67	34986			\$52,978,972.19				31.78%	\$38,542,347.85	Du Page & Cook School District 181
06/30/15						\$52,978,972.19				31.78%	\$38,542,347.85	
06/30/15						\$52,978,972.19			\$319.97	31.78%	\$38,542,667.82	Federated Interest
07/01/15						\$52,978,972.19		34388	\$25,000.00	31.78%	\$38,567,667.82	Coupon
07/07/15	\$2,784,066.67		35381			\$52,978,972.19				31.78%	\$35,783,601.15	Honolulu City & County CUSIP 882722YD7
07/09/15	\$2,032,680.00		34942			\$52,978,972.19				31.78%	\$33,750,921.15	Bucks PA CUSIP 118565WLO
07/17/15	\$528,662.22		35476			\$52,978,972.19				31.78%	\$33,222,258.93	Texas Sate Wtr CUSIP 882722YD7
07/20/15				\$2,640,713.86	\$2,640,713.86	\$55,619,686.05				33.36%	\$30,581,545.07	Expenses
07/31/15						\$55,619,686.05			\$284.88	33.36%	\$30,581,829.95	Federated Interest
08/01/15						\$55,619,686.05		31653	\$46,425.00	33.36%	\$30,628,254.95	Coupon
08/01/15						\$55,619,686.05		22508	\$64,000.00	33.36%	\$30,692,254.95	Coupon
08/01/15						\$55,619,686.05		29577	\$150,000.00	33.36%	\$30,842,254.95	Coupon
08/01/15						\$55,619,686.05		22509	\$80,000.00	33.36%	\$30,922,254.95	Coupon
08/01/15						\$55,619,686.05		22626	\$16,250.00	33.36%	\$30,938,504.95	Coupon
08/01/15						\$55,619,686.05	\$650,000.00	22626		33.36%	\$31,588,504.95	CUSIP #70914PCU4 Pennsylvania State, AA/Aa2
08/01/15						\$55,619,686.05		30790	\$25,000.00	33.36%	\$31,613,504.95	Coupon
08/01/15						\$55,619,686.05		32323	\$12,300.00	33.36%	\$31,625,804.95	Coupon
08/01/15						\$55,619,686.05		33799	\$44,097.78	33.36%	\$31,669,902.73	Coupon
08/01/15						\$55,619,686.05		35381	\$62,500.00	33.36%	\$31,732,402.73	Coupon
08/01/15						\$55,619,686.05		35476	\$10,000.00	33.36%	\$31,742,402.73	Coupon
08/10/15				\$1,939,246.94	\$1,939,246.94	\$57,558,932.99				34.53%	\$29,803,155.79	Expenses
08/11/15	\$1,194,609.78		35694			\$57,558,932.99				34.53%	\$28,608,546.01	Tennessee State GO CUSIP 880541SH1
08/13/15	\$645,009.95		35717			\$57,558,932.99				34.53%	\$27,963,536.06	Municipality of Anchorage CUSIP033161E55
08/14/15	\$3,215,099.76		35722			\$57,558,932.99				34.53%	\$24,748,436.30	Tennessee State GO CUSIP 880541SH1
08/15/15						\$57,558,932.99		34830	\$50,000.00	34.53%	\$24,798,436.30	Coupon
08/31/15						\$57,558,932.99			\$230.67	34.53%	\$24,798,666.97	Federated Interest
09/01/15						\$57,558,932.99		34392	\$36,093.75	34.53%	\$24,834,760.72	Coupon
09/01/15						\$57,558,932.99		31663	\$167,625.00	34.53%	\$25,002,385.72	Coupon
09/01/15						\$57,558,932.99		31646	\$31,500.00	34.53%	\$25,033,885.72	Coupon
09/01/15						\$57,558,932.99		31643	\$87,500.00	34.53%	\$25,121,385.72	Coupon
09/01/15						\$57,558,932.99		22546	\$51,975.00	34.53%	\$25,173,360.72	Coupon
09/01/15						\$57,558,932.99		22548	\$22,575.00	34.53%	\$25,195,935.72	Expenses
09/01/15						\$57,558,932.99		22566	\$65,250.00	34.53%	\$25,261,185.72	Coupon
09/04/15	\$4,112,328.53		36005			\$57,558,932.99				34.53%	\$21,148,857.19	Columbus OH GO CUSIP 1994916P1
09/10/15				\$5,025,938.40	\$810,130.02	\$58,369,063.01				35.01%	\$20,338,727.17	Expenses
09/14/15	\$3,974,867.75		36059			\$58,369,063.01				35.01%	\$16,363,859.42	Tempe AZ, GO CUSIP 879709Y72
09/23/15	\$257,105.83		36136			\$58,369,063.01				35.01%	\$16,106,753.59	Columbus OH GO CUSIP 1994915QO
09/23/16	\$524,208.89		36146			\$58,369,063.01				35.01%	\$15,582,544.70	Columbus OH GO CUSIP 199492AU3
09/30/15						\$58,369,063.01			\$151.62	35.01%	\$15,582,696.32	Federated Interest
10/01/15						\$58,369,063.01	\$1,000,000.00	22491		35.01%	\$16,582,696.32	CUSIP # 57582NSB2 Massachusetts S&P AA/Moody's Aa2
10/01/15						\$58,369,063.01		22491	\$27,500.00	35.01%	\$16,610,196.32	Coupon
10/01/15						\$58,369,063.01		31509	\$72,125.00	35.01%	\$16,682,321.32	Coupon
10/01/15						\$58,369,063.01		32355	\$33,000.00	35.01%	\$16,715,321.32	Coupon
10/09/15					\$6,178,926.68	\$64,547,989.69				38.72%	\$10,536,394.64	Expenses
10/31/15						\$64,547,989.69			\$102.41	38.72%	\$10,536,497.05	Federated Interest
11/01/15						\$64,547,989.69	\$1,400,000.00	29578		38.72%	\$11,936,497.05	Bergen County NJ 083763ER9
11/01/15						\$64,547,989.69		29578	\$22,750.00	38.72%	\$11,959,247.05	Coupon
11/01/15						\$64,547,989.69		22499	\$122,000.00	38.72%	\$12,081,247.05	Coupon
11/10/15						\$66,931,053.58				40.15%	\$9,698,183.16	Expenses
11/17/15	\$1,094,843.07		36523			\$66,931,053.58				40.15%	\$8,603,340.09	Loudon County VA 545896U75
11/30/15						\$66,931,053.58			\$80.31	40.15%	\$8,603,420.40	Federated Interest
12/01/15						\$66,931,053.58		34986	\$10,650.00	40.15%	\$8,614,070.40	Coupon
12/01/15						\$66,931,053.58		31634	\$12,500.00	40.15%	\$8,626,570.40	Coupon
12/01/15						\$66,931,053.58	\$1,150,000.00	29579		40.15%	\$9,776,570.40	Monmouth County NJ 6095586X4
12/01/15						\$66,931,053.58	\$2,000,000.00	22500		40.15%	\$11,776,570.40	CUSIP # 425506S45 Hennepin County, MN S&P AAA/Moody's Aaa
12/01/15						\$66,931,053.58		22500	\$27,500.00	40.15%	\$11,804,070.40	Coupon
12/01/15						\$66,931,053.58		22507	\$26,500.00	40.15%	\$11,830,570.40	Coupon
12/01/15						\$66,931,053.58	\$74,900.00	22567		40.15%	\$11,905,470.40	Coupon
12/01/15						\$66,931,053.58		29579	\$17,250.00	40.15%	\$11,922,720.40	Coupon
12/01/15						\$66,931,053.58	\$305,000.00	23160		40.15%	\$12,227,720.40	Mount Prospect CUSIP #622826SE6 S&P AA



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
12/01/15						\$66,931,053.58		23160	\$3,812.50	40.15%	\$12,231,532.90	Coupon
12/01/15						\$66,931,053.58		30569	\$20,000.00	40.15%	\$12,251,532.90	Coupon
12/01/15						\$66,931,053.58		33797	\$44,000.00	40.15%	\$12,295,532.90	Coupon
12/01/15						\$66,931,053.58		34942	\$15,777.78	40.15%	\$12,311,310.68	Coupon
12/01/15						\$66,931,053.58		36523	\$25,500.00	40.15%	\$12,336,810.68	Coupon
12/08/15				\$5-047-801-14	\$3,406,818.35	\$70,337,871.93				42.19%	\$8,929,992.33	Expenses
12/15/15						\$70,337,871.93		22604	\$36,700.00	42.19%	\$8,966,692.33	Coupon
12/15/15						\$70,337,871.93		22892	\$25,000.00	42.19%	\$8,991,692.33	Coupon
12/15/15						\$70,337,871.93	\$1,000,000.00	22892		42.19%	\$9,991,692.33	CUSIP #199491XK2 Columbus,OH S&P AAA/Aaa
12/31/15						\$70,337,871.93			\$1,603.66	42.19%	\$9,993,295.99	Federated Interest
01/01/16						\$70,337,871.93		36059	\$76,500.00	42.19%	\$10,069,795.99	Coupon
01/01/16						\$70,337,871.93		36136	\$3,750.00	42.19%	\$10,073,545.99	Coupon
01/01/16						\$70,337,871.93		34388	\$25,000.00	42.19%	\$10,098,545.99	Coupon
01/11/16					\$4,117,520.48	\$74,455,392.41				44.66%	\$5,981,025.51	Expenses
01/31/16						\$74,455,392.41			\$61.74	44.66%	\$5,981,087.25	Federated Interest
02/01/16						\$74,455,392.41	\$3,095,000.00	31653		44.66%	\$9,076,087.25	Met Council MN
02/01/16						\$74,455,392.41		31653	\$46,425.00	44.66%	\$9,122,512.25	Coupon
02/01/16						\$74,455,392.41		22508	\$64,000.00	44.66%	\$9,186,512.25	Coupon
02/01/16						\$74,455,392.41	\$6,000,000.00	29577		44.66%	\$15,186,512.25	Mecklenburg County NC 584002JB6
02/01/16						\$74,455,392.41		29577	\$150,000.00	44.66%	\$15,336,512.25	Coupon
02/01/16						\$74,455,392.41		22509	\$80,000.00	44.66%	\$15,416,512.25	Coupon
02/01/16						\$74,455,392.41		30790	\$25,000.00	44.66%	\$15,441,512.25	Coupon
02/01/16						\$74,455,392.41	\$615,000.00	32323	\$12,300.00	44.66%	\$16,068,812.25	Ramsey County MN CUSIP 751622KX8
02/01/16						\$74,455,392.41		33799	\$45,100.00	44.66%	\$16,113,912.25	Coupon
02/01/16						\$74,455,392.41		35381	\$62,500.00	44.66%	\$16,176,412.25	Coupon
02/01/16						\$74,455,392.41		35476	\$10,000.00	44.66%	\$16,186,412.25	Coupon
02/01/16						\$74,455,392.41		35694	\$23,000.00	44.66%	\$16,209,412.25	Coupon
02/01/16						\$74,455,392.41		35717	\$15,375.00	44.66%	\$16,224,787.25	Coupon
02/01/16						\$74,455,392.41		35722	\$61,900.00	44.66%	\$16,286,687.25	Coupon
02/08/16					\$2,591,384.54	\$77,046,776.95				46.21%	\$13,695,302.71	Expenses
02/15/16						\$77,046,776.95		36005	\$96,000.00	46.21%	\$13,791,302.71	Coupon
02/15/16						\$77,046,776.95		36146	\$12,500.00	46.21%	\$13,803,802.71	Coupon
02/15/16						\$77,046,776.95		34830	\$50,000.00	46.21%	\$13,853,802.71	Coupon
02/29/16						\$77,046,776.95			\$113.99	46.21%	\$13,853,916.70	Federated Interest
03/01/16						\$77,046,776.95		34392	\$36,093.75	46.21%	\$13,890,010.45	Coupon
03/01/16						\$77,046,776.95	\$6,705,000.00	31663		46.21%	\$20,595,010.45	State of Georgia
03/01/16						\$77,046,776.95	\$1,260,000.00	31646		46.21%	\$21,855,010.45	Wake County
03/01/16						\$77,046,776.95	\$3,500,000.00	31643		46.21%	\$25,355,010.45	Mecklenburg County NC
03/01/16						\$77,046,776.95		31663	\$167,625.00	46.21%	\$25,522,635.45	Coupon
03/01/16						\$77,046,776.95		31646	\$31,500.00	46.21%	\$25,554,135.45	Coupon
03/01/16						\$77,046,776.95		31643	\$87,500.00	46.21%	\$25,641,635.45	Coupon
03/01/16						\$77,046,776.95		22546	\$51,975.00	46.21%	\$25,693,610.45	Coupon
03/01/16						\$77,046,776.95	\$1,290,000.00	22548		46.21%	\$26,983,610.45	CUSIP #514120KB9 Lancaster County SCH District A/A2
03/01/16						\$77,046,776.95		22548	\$22,575.00	46.21%	\$27,006,185.45	Coupon
03/01/16						\$77,046,776.95		22566	\$65,250.00	46.21%	\$27,071,435.45	Coupon
03/09/16				\$5-113-338-77	\$1,463,931.19	\$78,510,708.14				47.09%	\$25,607,504.26	Expenses
03/31/16						\$78,510,708.14			\$219.89	47.09%	\$25,607,724.15	Federated Interest
04/01/16						\$78,510,708.14		31509	\$72,125.00	47.09%	\$25,679,849.15	Coupon
04/01/16						\$78,510,708.14		32355	\$33,000.00	47.09%	\$25,712,849.15	Coupon
04/08/16					\$1,800,373.55	\$80,311,081.69				48.17%	\$23,912,475.60	Expenses
04/30/16						\$80,311,081.69			\$199.33	48.17%	\$23,912,674.93	Federated Interest
05/01/16						\$80,311,081.69		22499	\$122,000.00	48.17%	\$24,034,674.93	Coupon
05/10/16					\$344,970.61	\$80,656,052.30				48.38%	\$23,689,704.32	Expenses
05/31/16						\$80,656,052.30			\$201.35	48.38%	\$23,689,905.67	Federated Interest
06/01/16						\$80,656,052.30		34986	\$10,650.00	48.38%	\$23,700,555.67	Coupon
06/01/16						\$80,656,052.30	\$500,000.00	31634		48.38%	\$24,200,555.67	Washington MD
06/01/16						\$80,656,052.30		31634	\$12,500.00	48.38%	\$24,213,055.67	Coupon
06/01/16						\$80,656,052.30		22507	\$26,500.00	48.38%	\$24,239,555.67	Coupon
06/01/16						\$80,656,052.30	\$74,900.00	22567		48.38%	\$24,314,455.67	Coupon
06/01/16						\$80,656,052.30	\$3,745,000.00	22567		48.38%	\$28,059,455.67	CUSIP #147051TH9 Cary, NC S&P AAA Moody's A2
06/01/16						\$80,656,052.30		30569	\$20,000.00	48.38%	\$28,079,455.67	Coupon



**PMA Financial Network Inc.**

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Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
06/01/16						\$80,656,052.30		33797	\$44,000.00	48.38%	\$28,123,455.67	Coupon
06/01/16						\$80,656,052.30	\$2,000,000.00	34942	\$20,000.00	48.38%	\$30,143,455.67	Bucks PA CUSIP 118565WL0
06/01/16						\$80,656,052.30		36523	\$25,500.00	48.38%	\$30,168,955.67	Coupon
06/08/16				\$6,136,921.84	\$2,404,321.11	\$83,060,373.41				49.82%	\$27,764,634.56	Expenses
06/15/16						\$83,060,373.41		22604	\$36,700.00	49.82%	\$27,801,334.56	Coupon
06/29/16	\$2,753,446.55	37524				\$83,060,373.41				49.82%	\$25,047,888.01	County of Frederick, MD CUSIP 35569PAA1 S&P AAA Moody's Aaa
06/30/16						\$83,060,373.41			\$330.92	49.82%	\$25,048,218.93	Federated Interest
07/01/16						\$83,060,373.41	\$3,825,000.00	36059	\$76,500.00	49.82%	\$28,949,718.93	Tempe AZ, GO CUSIP 879709Y72
07/01/16						\$83,060,373.41	\$1,000,000.00	34388	\$25,000.00	49.82%	\$29,974,718.93	Georgia State GO, Moody's Aaa
07/01/16						\$83,060,373.41	\$250,000.00	36136	\$3,750.00	49.82%	\$30,228,468.93	Columbus OH GO CUSIP 1994915QO
07/11/16					\$3,705,428.75	\$86,765,802.16				52.04%	\$26,523,040.18	Expenses
07/28/16	\$1,557,381.87	37884				\$86,765,802.16				52.04%	\$24,965,658.31	State of Georgia CUSIP 373384NX0
07/28/16	\$3,867,032.00	37883				\$86,765,802.16				52.04%	\$21,098,626.31	City of Columbus Ohio CUSIP 199492NM7
07/28/16	\$2,547,668.70	37882				\$86,765,802.16				52.04%	\$18,550,957.61	North Carolina State CUSIP 658256E32
07/28/16	\$1,763,341.30	37881				\$86,765,802.16				52.04%	\$16,787,616.31	City of Chattanooga TN CUSIP 162376AD9
07/28/16	\$1,358,483.78	37880				\$86,765,802.16				52.04%	\$15,429,132.53	City of Virginia Beach VA CUSIP 927734VG5
07/29/16	\$5,031,418.84	37915				\$86,765,802.16				52.04%	\$10,397,713.69	State of Tennessee CUSIP 880541SZ1
07/31/16						\$86,765,802.16			\$299.97	52.04%	\$10,398,013.66	Federated Interest
08/01/16						\$86,765,802.16		22508	\$64,000.00	52.04%	\$10,462,013.66	Coupon
08/01/16						\$86,765,802.16		22509	\$80,000.00	52.04%	\$10,542,013.66	Coupon
08/01/16						\$86,765,802.16	\$1,000,000.00	30790	\$25,000.00	52.04%	\$11,567,013.66	Maryland State CUSIP 574192E89
08/01/16						\$86,765,802.16		33799	\$45,100.00	52.04%	\$11,612,113.66	Coupon
08/01/16						\$86,765,802.16		35381	\$62,500.00	52.04%	\$11,674,613.66	Coupon
08/01/16						\$86,765,802.16	\$500,000.00	35476	\$10,000.00	52.04%	\$12,184,613.66	Texas State Wtr CUSIP 882722YD7
08/01/16						\$86,765,802.16	\$1,150,000.00	35694	\$23,000.00	52.04%	\$13,357,613.66	Tennessee State GO CUSIP 880541SH1
08/01/16						\$86,765,802.16	\$615,000.00	35717	\$15,375.00	52.04%	\$13,987,988.66	Municipality of Anchorage CUSIP033161E55
08/01/16						\$86,765,802.16	\$3,095,000.00	35722	\$61,900.00	52.04%	\$17,144,888.66	Tennessee State GO CUSIP 880541SH1
08/01/16	\$3,642,488.55	37924				\$86,765,802.16				52.04%	\$13,502,400.11	State of Maryland GO CUSIP 5741924U1
08/08/16					\$1,083,501.95	\$87,849,304.11				52.69%	\$12,418,898.16	Expenses
08/15/16						\$87,849,304.11		36005	\$96,000.00	52.69%	\$12,514,898.16	Coupon
08/15/16						\$87,849,304.11		34830	\$50,000.00	52.69%	\$12,564,898.16	Coupon
08/15/16						\$87,849,304.11	\$500,000.00	36146	\$12,500.00	52.69%	\$13,077,398.16	Columbus OH GO CUSIP 199492AU3
08/31/16						\$87,849,304.11			\$1,008.91	52.69%	\$13,078,407.07	Federated Interest
09/01/16						\$87,849,304.11			\$36,093.75	52.69%	\$13,114,500.82	Coupon
09/01/16						\$87,849,304.11		22546	\$51,975.00	52.69%	\$13,166,475.82	Coupon
09/01/16						\$87,849,304.11	\$3,465,000.00	22546		52.69%	\$16,631,475.82	CUSIP #652233DF1 Newport News, VA AA/AA2
09/01/16						\$87,849,304.11		22566	\$65,250.00	52.69%	\$16,696,725.82	Coupon
09/01/16						\$87,849,304.11	\$4,350,000.00	22566		52.69%	\$21,046,725.82	CUSIP #478718C72 Johnson County, KS SCH Dist233 AA/Aa3
09/09/16				\$6,168,219.94	\$1,005,382.91	\$88,854,687.02				53.30%	\$20,041,342.91	Expenses
09/15/16						\$88,854,687.02		37880	\$32,375.00	53.30%	\$20,073,717.91	Coupon
09/22/16	\$1,212,841.87	38423				\$88,854,687.02				53.30%	\$18,860,876.04	CUSIP #05914FTM2 Baltimore County, MD AAA/Aaa
09/28/16						\$88,854,687.02			\$4,493.09	53.30%	\$18,865,369.13	Federated Interest
09/30/16						\$88,854,687.02			\$718.79	53.30%	\$18,866,087.92	Federated Interest
10/01/16						\$88,854,687.02		31509	\$72,125.00	53.30%	\$18,938,212.92	Coupon
10/01/16						\$88,854,687.02	\$1,650,000.00	32355	\$33,000.00	53.30%	\$20,621,212.92	Guilford NC CUSIP 401784YR8
10/01/16						\$88,854,687.02		37881	\$41,125.00	53.30%	\$20,662,337.92	Coupon
10/01/16						\$88,854,687.02	\$4,910,000.00		\$122,750.00	53.30%	\$25,695,087.92	State of Tennessee CUSIP 880541SZ1
10/11/16					\$1,495,756.73	\$90,350,443.75				54.19%	\$24,199,331.19	Expenses
10/19/16	\$6,857,868.00	38431				\$90,350,443.75				54.19%	\$17,341,463.19	City of Madison WI CUSIP 55844RLL6
10/20/16	\$5,581,015.00	38649				\$90,350,443.75				54.19%	\$11,760,448.19	City of Minneapolis MN CUSIP 60375BBC9
10/31/16						\$90,350,443.75			\$10,998.24	54.19%	\$11,771,446.43	Federated Interest
11/01/16						\$90,350,443.75		22499	\$122,000.00	54.19%	\$11,893,446.43	Coupon
11/01/16						\$90,350,443.75	\$1,520,000.00	37884	\$38,000.00	54.19%	\$13,451,446.43	State of Georgia CUSIP 373384NX0
11/01/16						\$90,350,443.75		37882	\$60,750.00	54.19%	\$13,512,196.43	Coupon
11/01/16						\$90,350,443.75	\$3,555,000.00	37924	\$88,875.00	54.19%	\$17,156,071.43	State of Maryland GO CUSIP 5741924U1
11/08/16					\$1,369,478.63	\$91,719,922.38				55.02%	\$15,786,592.80	Expenses
11/23/16	\$4,488,211.62	38849				\$91,719,922.38				55.02%	\$11,298,381.18	State of Maryland GO CUSIP 5741925G1
11/30/16						\$91,719,922.38			\$4,810.97	55.02%	\$11,303,192.15	Federated Interest
12/01/16						\$91,719,922.38		34986	\$10,650.00	55.02%	\$11,313,842.15	Coupon
12/01/16						\$91,719,922.38		22507	\$26,500.00	55.02%	\$11,340,342.15	Coupon
12/01/16						\$91,719,922.38		30569	\$20,000.00	55.02%	\$11,360,342.15	Coupon



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							
12/01/16						\$91,719,922.38		33797	\$44,000.00	55.02%	\$11,404,342.15	Coupon
12/01/16						\$91,719,922.38	\$1,020,000.00	36523		55.02%	\$12,424,342.15	CUSIP 545896U75 Loudon County VA
12/01/16						\$91,719,922.38		36523	\$25,500.00	55.02%	\$12,449,842.15	Coupon
12/09/16					\$1,745,608.20	\$93,465,530.58				56.06%	\$10,704,233.95	Expenses
12/15/16						\$93,465,530.58		22604	\$36,700.00	56.06%	\$10,740,933.95	Coupon
12/15/16						\$93,465,530.58	\$1,835,000.00	22604		56.06%	\$12,575,933.95	CUSIP # 0386812V3 Arapahoe County SD 5, AA/Aa2
12/31/16				\$5,180,000.57		\$93,465,530.58				56.06%	\$12,575,933.95	
12/31/16						\$93,465,530.58			\$4,974.97	56.06%	\$12,580,908.92	Federated Interest
01/01/17						\$93,465,530.58		37883	\$38,000.00	56.06%	\$12,618,908.92	coupon
02/01/17						\$93,465,530.58		22508	\$64,000.00	56.06%	\$12,682,908.92	Coupon
02/01/17						\$93,465,530.58		22509	\$80,000.00	56.06%	\$12,762,908.92	Coupon
02/01/17						\$93,465,530.58		33799		56.06%	\$12,762,908.92	Coupon
02/01/17						\$93,465,530.58		35381	\$62,500.00	56.06%	\$12,825,408.92	Coupon
02/01/17						\$93,465,530.58		37524	\$31,858.89	56.06%	\$12,857,267.81	Coupon
02/01/17						\$93,465,530.58		38423	\$29,000.00	56.06%	\$12,886,267.81	Coupon
02/15/17						\$93,465,530.58	\$3,840,000.00	36005	\$96,000.00	56.06%	\$16,822,267.81	Columbus OH GO CUSIP 1994916P1
02/15/17						\$93,465,530.58	\$2,000,000.00	34830	\$50,000.00	56.06%	\$18,872,267.81	Humble TX Cusip 4450428HO
03/01/17						\$93,465,530.58	\$1,375,000.00	34392	\$36,093.75	56.06%	\$20,283,361.56	Maryland Sate GO Moody's Aaa
03/01/17						\$93,465,530.58		38849	\$105,375.00	56.06%	\$20,388,736.56	Coupon
03/15/17						\$93,465,530.58	\$1,295,000.00	37880	\$32,375.00	56.06%	\$21,716,111.56	City of Virginia Beach VA CUSIP 927734VG5
03/31/17				\$5,252,116.47		\$98,717,647.05				59.21%	\$16,463,995.09	
04/01/17						\$98,717,647.05		31509	\$72,125.00	59.21%	\$16,536,120.09	Coupon
04/01/17						\$98,717,647.05		37881	\$41,125.00	59.21%	\$16,577,245.09	Coupon
04/01/17						\$98,717,647.05		38431	\$45,900.00	59.21%	\$16,623,145.09	Coupon
05/01/17						\$98,717,647.05		22499	\$122,000.00	59.21%	\$16,745,145.09	Coupon
05/01/17						\$98,717,647.05	\$4,880,000.00	22499		59.21%	\$21,625,145.09	CUSIP # 97705LSF5 Wisconsin S&P AA/Moody's Aa3
05/01/17						\$98,717,647.05	\$2,430,000.00	37882	\$60,750.00	59.21%	\$24,115,895.09	North Carolina State CUSIP 658256E32
06/01/17						\$98,717,647.05	\$710,000.00	34986	\$10,650.00	59.21%	\$24,836,545.09	Du Page & Cook School District 181
06/01/17						\$98,717,647.05		22507	\$26,500.00	59.21%	\$24,863,045.09	Coupon
06/01/17						\$98,717,647.05	\$1,000,000.00	30569	\$20,000.00	59.21%	\$25,883,045.09	Davis County, Utah School District CUSIP 239019D82
06/01/17						\$98,717,647.05		33797	\$44,000.00	59.21%	\$25,927,045.09	Coupon
06/01/17						\$98,717,647.05		38649	\$67,527.78	59.21%	\$25,994,572.87	Coupon
06/30/17				\$5,274,519.28		\$103,992,166.33				62.38%	\$20,720,053.59	Maryland State CUSIP 574192E89
07/01/17						\$103,992,166.33	\$3,800,000.00	37883	\$38,000.00	62.38%	\$24,558,053.59	City of Columbus Ohio CUSIP 199492NM7
08/01/17						\$103,992,166.33		22508	\$64,000.00	62.38%	\$24,622,053.59	Coupon
08/01/17						\$103,992,166.33		22509	\$80,000.00	62.38%	\$24,702,053.59	Coupon
08/01/17						\$103,992,166.33	\$4,000,000.00	22509		62.38%	\$28,702,053.59	CUSIP # 011770S21 Alaska S&P AA/Moody's Aa2
08/01/17						\$103,992,166.33	\$2,255,000.00	33799	\$45,100.00	62.38%	\$31,002,153.59	Texas State Referendum Series A1, Moody's Aaa
08/01/17						\$103,992,166.33	\$2,500,000.00	35381	\$62,500.00	62.38%	\$33,564,653.59	Honolulu City & County CUSIP 882722YD7
08/01/17						\$103,992,166.33	\$2,705,000.00	37524	\$27,050.00	62.38%	\$36,296,703.59	County of Frederick, MD CUSIP 35569PAA1 S&P AAA Moody's Aaa
08/01/17						\$103,992,166.33	\$1,160,000.00		\$29,000.00	62.38%	\$37,485,703.59	CUSIP #05914FTM2 Baltimore County, MD AAA/Aaa
09/01/17						\$103,992,166.33		38849	\$105,375.00	62.38%	\$37,591,078.59	Coupon
09/30/17				\$5,296,630.32		\$109,288,796.65				65.55%	\$32,294,448.27	
10/01/17						\$109,288,796.65	\$2,885,000.00	31509	\$72,125.00	65.55%	\$35,251,573.27	CUSIP # 604129P83 MN State S&P AA Moody's Aa1
10/01/17						\$109,288,796.65	\$1,645,000.00	37881	\$41,125.00	65.55%	\$36,937,698.27	City of Chattanooga TN CUSIP 162376AD9
10/01/17						\$109,288,796.65	\$6,800,000.00	38431	\$51,000.00	65.55%	\$43,788,698.27	City of Madison WI CUSIP 55844RLL6
12/01/17						\$109,288,796.65		22507	\$26,500.00	65.55%	\$43,815,198.27	Coupon
12/01/17						\$109,288,796.65	\$5,500,000.00	38649	\$55,000.00	65.55%	\$49,370,198.27	City of Minneapolis MN CUSIP 60375BBC9
12/31/17				\$5,318,219.54		\$114,607,016.19				68.74%	\$44,051,978.73	
02/01/18						\$114,607,016.19		22508	\$64,000.00	68.74%	\$44,115,978.73	Coupon
02/01/18						\$114,607,016.19	\$2,560,000.00	22508		68.74%	\$46,675,978.73	CUSIP # 584002LE7 Mecklenburg, NC S&P AAA/Moody's Aaa
03/01/18						\$114,607,016.19	\$4,215,000.00	38849	\$105,375.00	68.74%	\$50,996,353.73	State of Maryland GO CUSIP 5741925G1
03/31/18				\$3,620,233.58		\$118,227,249.77				70.92%	\$47,376,120.15	
03/31/18						\$118,227,249.77				70.92%	\$47,376,120.15	
06/01/18						\$118,227,249.77		22507	\$26,500.00	70.92%	\$47,402,620.15	Coupon
12/01/18						\$118,227,249.77		22507	\$26,500.00	70.92%	\$47,429,120.15	Coupon
12/01/18						\$118,227,249.77	\$1,060,000.00	22507		70.92%	\$48,489,120.15	CUSIP # 434452JB5 Hoffman Estates, IL S&P AA/Moody's Aa2
12/01/18				\$48,489,120.15		\$166,716,369.92			\$0.00	100.00%	\$0.00	
12/01/18						\$166,716,369.92				100.00%	\$0.00	

\$156,092,539.15    \$263,298,462.29    \$231,372,851.39    \$93,465,530.58    \$241,686,675.00    \$32,235,618.06



**PMA Financial Network Inc.**

**Portfolio & Rebate  
Liability Report**

Last Updated: 12/31/16  
Updated by Analyst:

Date of Issue	02/05/09	Today's Date	12/31/16
Original Bond Proceeds	\$156,092,539.15	Arbitrage Allowable Yield	
Original Expense Budget	\$156,092,539.15	Portfolio Return for Arbitrage Purposes	0.896210%
Current Projected Expenses	\$166,716,369.92	Anticipated Arbitrage Rebate	
Original Interest Income:	\$10,602,703.00	Above Arb. Line/(Below Arb. Line)	
<b>Total Estimated Interest Income</b>	<b>\$10,623,830.77</b>	Weighted Average Life of Future Funded Expenses (Days)	552

Date	Bond Proceeds	Investment Cost	Inv ID	EXPENSES		Cumulative Expenses	Investment Maturity	Mat ID	Coupons and Interest	Percent Spent	Balance	Description
				Projected	Actual							

Total Anticipated Interest Income: \$10,623,830.77  
 Anticipated Arbitrage Rebate: \$0.00  
**Total Anticipated Interest Income Net of Arbitrage Rebate: \$10,623,830.77**  
  
**Total Outstanding Principal and Interest after December 31, '16: \$73,288,839.34**

**Subject:** Board Committee and Liaison Reports

**Description**

Reports from liaison officers are provided as part of the Consent Agenda.

- Alumni Report
- Foundation Report
- ICCTA Report

**Information**

To be provided by committee representative.

**Rationale**

Not applicable to this exhibit.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Grants and Gifts Status Report

**Recommended by:**

Laura Brown  
Harper College/Harper College Educational Foundation

**Description**

Monthly update of grants and gifts.

**Information**

The attachment reports the current status of operational public and private grants to the College, and status of cash donations and in-kind gifts to the Educational Foundation.

**Rationale**

Not applicable to this exhibit.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Consortium, Cooperative and State of Illinois Contracts Purchasing Status Report

**Recommended by:**

Bret Bonnstetter, Controller  
Accounting Services

**Description**

The monthly Consortium, Cooperative and State of Illinois Contract purchasing status report is presented to the Board for review.

The Illinois Department of Central Management Services (CMS) mission is to free Illinois State agencies and governmental entities to focus their resources on their core missions. Using a Shared Services model, they work in partnership to reduce the total cost and improve the efficiency and effectiveness of the administrative services and thus improve the services they provide to the citizens of Illinois. CMS utilizes best practices to create, lead and manage administrative services, to preserve the State's human and concrete assets, and to establish and monitor standards for the greater good of state government. CMS serves a key role in ensuring that all State of Illinois agencies operate in the most efficient and cost effective manner to best serve the residents of Illinois.

The Educational and Institutional Cooperative Service, Inc. (E&I) is a not-for-profit buying cooperative that provides goods and services to its members at the best possible value. E&I is owned by its membership of more than 1,500 tax-exempt colleges, universities, prep schools, hospitals, medical research institutions, and hospital purchasing organizations located throughout the United States.

The Illinois Public Higher Education Consortium (IPHEC) is a consortium formed by state universities in Illinois to purchase goods and services used by all of the universities. As a necessity arises, one of the universities works to poll the needs of the other members of the consortium and then bids for the entire group. On April 13, 2004, the Illinois Board of Higher Education (IBHE) adopted the recommendation of a Best Practices Committee to expand participation in the IPHEC to include community colleges. As a result of this resolution, most of the IPHEC contracts are now available for use by community colleges for purchase of the various goods and services.

The Midwestern Higher Education Compact (MHEC) is an interstate compact of twelve Midwestern states dedicated to advancing Higher Education through interstate cooperation. The member states of MHEC are Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, North Dakota, Ohio, South Dakota, and Wisconsin.

National Joint Powers Alliance® (NJPA) is a national public service agency committed to serving members nationally and locally through a variety of valued programs. As a public agency, they are committed to providing cooperative solutions that assist Government and Education entities as they strive for efficient public service. They are only able to do this as they work together, creating a unified alliance that is valued by both NJPA Members and the business community.

The Cooperative Purchasing Network (TCPN) is a cooperative purchasing organization dedicated to serving local and state government agencies, school districts (K-12), public schools, state colleges and universities. All agreements offered through TCPN have been awarded via competitive solicitation process lead by a government agency. TCPN ensures that industry best practices, processes and procedures are applied.

**Information**

Regular monthly review of Consortium, Cooperative and State of Illinois contract purchases.

**Rationale**

Not applicable to this exhibit.

**Funding Source**

Funded through the FY 2017 budget.

Summary of Items Purchased from State Contracts, Consortiums, or Cooperatives

Source	Vendor	Purchase Date	Items Purchased	Dollar Amount of Purchase
CMS	Fisher Scientific	Dec-16	Laboratory Supplies, Chemicals, & Equipment	\$ 1,790.19
CMS	Midwest Moving & Storage	Dec-16	Moving Services	\$ 12,420.00
E & I	B & H Photo	Dec-16	Photographic Equipment, Supplies & Services	\$ 94.38
E & I	Claridge Products	Dec-16	Visual Display, Training Aid Products & Supplies	\$ 296.62
E & I	Grainger	Dec-16	Maintenance, Repair and Operation Supplies	\$ 1,012.00
E & I	Jemrick Carpets	Dec-16	Carpet and Labor	\$ 5,025.00
E & I	VWR International	Dec-16	Biology and Chemistry Instructional Supplies	\$ 6,137.82
E & I	Ward's Science	Dec-16	Biology and Chemistry Instructional Supplies	\$ 1,032.33
IPHEC	CDW	Dec-16	Computer Peripheral Equipment & Networking Services	\$ 238,886.05
IPHEC	KI	Dec-16	Office Furniture	\$ 59,988.36
MHEC	Heartland Business Systems	Dec-16	Computer Equipment	\$ 1,138.00
NJPA	Moore Medical	Dec-16	Medical Equipment & Supplies	\$ 248.61
NJPA	Simplex Grinnell	Dec-16	Facility Security & Related Services	\$ 20,000.00
TCPN	Anixter	Dec-16	Cable and Networking Products and Services	\$ 12,453.62
<b>Total</b>				<b>\$ 360,522.98</b>

**Recommended by:**

Dr. Judith Marwick, Provost

**Description**

Since 1980, resulting from a change in the Community College Act, Board of Trustee action is required only for the denial of continued employment as part of the tenure process. The administration informs the Board of the tenure status of all probationary faculty after review by the Faculty Tenure Committee and the administrative staff.

**Information**

Following are the names of faculty who will be eligible for tenure at Harper College beginning in the fall of 2018.

**First Year Employed**

**Fall 2015**

---

Paula Akialis	Health Information Technology
Brad Grossman	Law Enforcement & Justice Administration
Karen Koczaja Dailey	Chemistry
Donna Oswald	English
Stephanie Robinson	Phlebotomy
Nupur Sharma	Medical Office Assistant
Christine Wayne	Supply Chain Management

The following name of a faculty who will be eligible for tenure at Harper College beginning in the spring of 2018.

**First Year Employed**

**Spring 2015**

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Lisa Smith	Supply Chain Management
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**Rationale**

The Board of Trustees is informed about the progress of probationary faculty toward achieving tenure.

**Funding Source**

Not applicable to this exhibit

**Subject:** Faculty Tenure Status Report (1<sup>st</sup> Years)

**Recommended by:**  
Dr. Judith Marwick, Provost

**Description**

Since 1980, resulting from a change in the Community College Act, Board of Trustee action is required only for the denial of continued employment as part of the tenure process. The administration informs the Board of the tenure status of all probationary faculty after review by the Faculty Tenure Committee and the administrative staff.

**Information**

Following are the names of faculty who will be eligible for tenure at Harper College beginning in the fall of 2019.

**First Year Employed**

**Fall 2016**

---

Stephen Aram Agajanian	Manufacturing
Andrew Anastasia	English
Anthony Miniuk	Biology
Jonathan Loos	Kinesiology

Following is the name of a faculty who will be eligible for tenure at Harper College beginning in the spring of 2019

**First Year Employed**

**Spring 2016**

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Aaron Kolb	Manufacturing
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**Rationale**

The Board of Trustees is informed about the progress of probationary faculty toward achieving tenure.

**Funding Source**

## New Business

XI-A Consideration and Action on a Resolution Providing for the Issue of not to Exceed \$5,200,000 General Obligation Limited Bonds, Series 2017A, of the District, for the Purpose of Paying Claims Against the District, the Levy of a Direct Annual Tax to Pay the Principal and Interest on Said Bonds and Authorizing the Sale of said Bonds to the Purchaser thereof

XI-B Proposed Tuition Increase

XI-C Faculty Tenure Recommendation

XI-D Resolution Authorizing Extension of Probation of Non-Tenured Faculty Member

XI-E Dismissal Resolution, Non-tenured Faculty – Liberal Arts Division

XI-F Sabbatical Leave Review

XI-G Harper Professional Center (HPC) Tenant Lease Extension Option

XI-H Illinois Community College Workforce Innovation and Opportunity Act Tuition Agreement

XI-I Ratification of Adjunct Faculty Association, IEA-NEA  
Union Collective Bargaining Agreement August 22,  
2016 to August 2021

XI-J Authorization for Personnel Appointments, Payment of  
Vouchers, and Requests to Purchase

XI-K Trustee Emeritus Designation

**Subject:** Consideration and Action on a Resolution Providing for the Issue of not to Exceed \$5,200,000 General Obligation Limited Bonds, Series 2017A, of the District, for the Purpose of Paying Claims Against the District, the Levy of a Direct Annual Tax to Pay the Principal and Interest on Said Bonds and Authorizing the Sale of said Bonds to the Purchaser thereof

**Recommended by:**  
Bret Bonnstetter, Controller  
Accounting Services

### **Description**

A recommendation is being made to adopt a resolution for the issuance of not to exceed \$5,200,000 of tax-exempt (Series 2017A) general obligation limited funding bonds. The adopting of the resolution is the final action the Board will take with respect to the sale of bonds. The resolution contains the final terms of sale of the bonds and provides for the execution of all documents related to the sale of the bonds.

### **Information**

The College has a bond selling strategy that utilizes the debt service extension base available under tax cap legislation. This bond sale will enable the College to make payment on outstanding and unpaid claims against the District.

### **Rationale**

Pursuant to the Public Community College Act and Local Government Debt Reform Act of the State of Illinois, the Board of Trustees must adopt a resolution providing for the issuance of General Obligation Limited Bonds.

### **Funding Source**

Not applicable to this exhibit.

MINUTES of a regular public meeting of the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, held in the Board Room on the second floor of the Wojcik Conference Center, Room W214, Palatine, Illinois, in said Community College District at 6:00 o'clock P.M., on the 15th day of February, 2017.

\* \* \*

The meeting was called to order by the Chairman, and upon the roll being called, Gregory Dowell, the Chairman, and the following Trustees were physically present at said location: \_\_\_\_\_

\_\_\_\_\_

and \_\_\_\_\_ (non-voting student trustee).

The following Trustees were allowed by a majority of the members of the Board of Trustees in accordance with and to the extent allowed by rules adopted by the Board of Trustees to attend the meeting by video or audio conference: \_\_\_\_\_

\_\_\_\_\_

No Trustee was not permitted to attend the meeting by video or audio conference.

The following Trustees were absent and did not participate in the meeting in any manner or to any extent whatsoever: \_\_\_\_\_

\_\_\_\_\_

The Chairman announced that a proposal had been received from \_\_\_\_\_, for the purchase of \$ \_\_\_\_\_ general obligation bonds to be issued by the District pursuant to Article 3A of the Public Community College Act for the purpose of paying claims against the District, and that the Board of Trustees would consider the adoption of a resolution providing for the issue of said bonds and the levy of a direct annual tax to pay the principal and interest

thereon. The Chairman also summarized the pertinent terms of said proposal and said bonds, including the length of maturity, rates of interest, purchase price and tax levy for said bonds.

Whereupon Trustee \_\_\_\_\_ presented and the Secretary read by title a resolution as follows, a copy of which was provided to each member of the Board of Trustees prior to said meeting and to everyone in attendance at said meeting who requested a copy:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

\* \* \*

WHEREAS, the Board of Trustees (the "*Board*") of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois (the "*District*"), has by resolution (the "*Intent Resolution*") declared its intention to, pursuant to Article 3A of the Public Community College Act of the State of Illinois, as amended (the "*Act*"), issue funding bonds of the District in the aggregate principal amount of \$5,200,000 as therein provided for the purpose of paying outstanding and unpaid claims against the District (the "*Claims*"); and

WHEREAS, pursuant to and in accordance with the provisions of said Article of the Act and the provisions of Section 5 of the Local Government Debt Reform Act of the State of Illinois, as amended (the "*Debt Reform Act*"), notice of intention to issue said bonds was published in the *Daily Herald*, the same being a newspaper having general circulation within the District, an affidavit evidencing the publication of such notice of intention, together with a newspaper clipping of such notice as published attached thereto, having heretofore been presented to the Board and made a part of the permanent records of the Board; and

WHEREAS, at least thirty (30) days have expired since the date of the publishing of such notice of intention to issue said bonds, and no petition with the requisite number of valid signatures thereon has been filed with the Secretary of the Board requesting that the proposition to issue said bonds be submitted to the legal voters of the District; and

WHEREAS, the Claims are in not less than the aggregate amount of \$5,200,000, all as identified and set forth in detail in the Intent Resolution heretofore duly adopted by the Board; and

WHEREAS, there are no funds on hand and available to apply toward the payment of any part of the Claims in the aggregate amount of \$5,200,000; and

WHEREAS, the Board hereby finds that it is authorized at this time to issue said bonds in the aggregate amount of \$5,200,000 for the purpose of paying the Claims; and

WHEREAS, the Board deems it advisable, necessary and for the best interests of the District that \$\_\_\_\_\_ of said bonds be issued at this time; and

WHEREAS, pursuant to and in accordance with the provisions of the Bond Issue Notification Act of the State of Illinois, as amended, the Board, on the 14th day of December, 2016, adopted a resolution calling a public hearing (the "*Hearing*") for the 18th day of January, 2017, concerning the intent of the Board to sell said bonds; and

WHEREAS, notice of the Hearing was given (i) by publication at least once not less than seven (7) nor more than thirty (30) days before the date of the Hearing in the *Daily Herald*, the same being a newspaper of general circulation in the District, and (ii) by posting at least 120 hours before the Hearing a copy of said notice at the principal office of the Board, which notice was continuously available for public review during the entire 120-hour period preceding the Hearing; and

WHEREAS, the Hearing was held on the 18th day of January, 2017, and at the Hearing, the Board explained the reasons for the proposed bond issue and permitted persons desiring to be heard an opportunity to present written or oral testimony within reasonable time limits; and

WHEREAS, the Hearing was finally adjourned on the 18th day of January, 2017; and

WHEREAS, it is necessary and in the best interests of the District that the District's outstanding General Obligation Debt Certificates (Limited Tax), Series 2016 (the "*Certificates*"), be called for redemption in advance of their maturity, and it is necessary and desirable to make such call for the redemption of the Certificates on their earliest possible call date, and provide for the giving of proper notice to the registered owners of the Certificates; and

WHEREAS, the bonds authorized by this Resolution shall be issued as limited bonds under the provisions of Section 15.01 of the Debt Reform Act, and as such it is not necessary to submit the proposition of the issuance of said bonds to the voters of the District for approval:

NOW, THEREFORE, Be It and It Is Hereby Resolved by the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, as follows:

*Section 1. Incorporation of Preambles.* The Board hereby finds that all of the recitals contained in the preambles to this Resolution are full, true and correct and does incorporate them into this Resolution by this reference.

*Section 2. Authorization.* It is hereby found and determined that the Board has been authorized by law to borrow the sum of \$5,200,000 upon the credit of the District and as evidence of such indebtedness to issue the bonds of the District in said amount, the proceeds of said bonds to be used for paying the Claims, and it is necessary and for the best interests of the District that there be issued at this time \$\_\_\_\_\_ of said bonds.

*Section 3. Bond Details.* There be borrowed on the credit of and for and on behalf of the District the sum of \$\_\_\_\_\_ for the purpose aforesaid; and that bonds of the District (the "*Bonds*") shall be issued in said amount and shall be designated "General Obligation Limited Bonds, Series 2017A." The Bonds shall be dated March \_\_, 2017, and shall also bear the date of authentication, shall be in fully registered form, shall be in denominations of

\$5,000 each and authorized integral multiples thereof (but no single Bond shall represent installments of principal maturing on more than one date), shall be numbered 1 and upward, and the Bonds shall become due and payable serially (without option of prior redemption) on December 1 of each of the years, in the amounts and bearing interest per annum as follows:

YEAR OF MATURITY	PRINCIPAL AMOUNT	RATE OF INTEREST
2017	\$	%
2018		%
2019		%

The Bonds shall bear interest from their date or from the most recent interest payment date to which interest has been paid or duly provided for, until the principal amount of the Bonds is paid, such interest (computed upon the basis of a 360-day year of twelve 30-day months) being payable on June 1 and December 1 of each year, commencing on December 1, 2017. Interest on each Bond shall be paid by check or draft of Amalgamated Bank of Chicago, Chicago, Illinois (the “*Bond Registrar*”), payable upon presentation in lawful money of the United States of America, to the person in whose name such Bond is registered at the close of business on the 15th day of the month next preceding the interest payment date. The principal of the Bonds shall be payable in lawful money of the United States of America at the principal corporate trust office of the Bond Registrar.

The Bonds shall be signed by the manual or facsimile signatures of the Chairman and Secretary of the Board, and shall be registered, numbered and countersigned by the manual or facsimile signature of the Treasurer of the Board, as they shall determine, and in case any officer whose signature shall appear on any Bond shall cease to be such officer before the delivery of such Bond, such signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until delivery.

All Bonds shall have thereon a certificate of authentication substantially in the form hereinafter set forth duly executed by the Bond Registrar as authenticating agent of the District and showing the date of authentication. No Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit under this Resolution unless and until such certificate of authentication shall have been duly executed by the Bond Registrar by manual signature, and such certificate of authentication upon any such Bond shall be conclusive evidence that such Bond has been authenticated and delivered under this Resolution. The certificate of authentication on any Bond shall be deemed to have been executed by the Bond Registrar if signed by an authorized officer of the Bond Registrar, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Bonds issued hereunder.

*Section 4. Registration of Bonds; Persons Treated as Owners. (a) General.* The District shall cause books (the “*Bond Register*”) for the registration and for the transfer of the Bonds as provided in this Resolution to be kept at the principal corporate trust office of the Bond Registrar, which is hereby constituted and appointed the registrar of the District. The District is authorized to prepare, and the Bond Registrar shall keep custody of, multiple Bond blanks executed by the District for use in the transfer and exchange of Bonds.

Upon surrender for transfer of any Bond at the principal corporate trust office of the Bond Registrar, duly endorsed by, or accompanied by a written instrument or instruments of transfer in form satisfactory to the Bond Registrar and duly executed by, the registered owner or his or her attorney duly authorized in writing, the District shall execute and the Bond Registrar shall authenticate, date and deliver in the name of the transferee or transferees a new fully registered Bond or Bonds of the same maturity of authorized denominations, for a like aggregate principal amount. Any fully registered Bond or Bonds may be exchanged at said office of the Bond Registrar for a like aggregate principal amount of Bond or Bonds of the same maturity of

other authorized denominations. The execution by the District of any fully registered Bond shall constitute full and due authorization of such Bond and the Bond Registrar shall thereby be authorized to authenticate, date and deliver such Bond, *provided, however*, the principal amount of outstanding Bonds of each maturity authenticated by the Bond Registrar shall not exceed the authorized principal amount of Bonds for such maturity less previous retirements.

The Bond Registrar shall not be required to transfer or exchange any Bond during the period beginning at the close of business on the 15th day of the month next preceding any interest payment date on such Bond and ending at the opening of business on such interest payment date.

The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of the principal of or interest on any Bond shall be made only to or upon the order of the registered owner thereof or his or her legal representative. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

No service charge shall be made for any transfer or exchange of Bonds, but the District or the Bond Registrar may require payment of a sum sufficient to cover any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bonds.

(b) *Global Book-Entry System.* The Bonds shall be initially issued in the form of a separate single fully registered Bond for each of the maturities of the Bonds determined as described in Section 3 hereof. Upon initial issuance, the ownership of each such Bond shall be registered in the Bond Register in the name of Cede & Co., or any successor thereto ("*Cede*"), as nominee of The Depository Trust Company, New York, New York, and its successors and assigns ("*DTC*"). All of the outstanding Bonds shall be registered in the Bond Register in the name of Cede, as nominee of DTC, except as hereinafter provided. The Chairman and Secretary

of the Board and the chief business official of the District and the Bond Registrar are each authorized to execute and deliver, on behalf of the District, such letters to or agreements with DTC as shall be necessary to effectuate such book-entry system (any such letter or agreement being referred to herein as the "*Representation Letter*"), which Representation Letter may provide for the payment of principal of or interest on the Bonds by wire transfer.

With respect to Bonds registered in the Bond Register in the name of Cede, as nominee of DTC, the District and the Bond Registrar shall have no responsibility or obligation to any broker-dealer, bank or other financial institution for which DTC holds Bonds from time to time as securities depository (each such broker-dealer, bank or other financial institution being referred to herein as a "*DTC Participant*") or to any person on behalf of whom such a DTC Participant holds an interest in the Bonds. Without limiting the immediately preceding sentence, the District and the Bond Registrar shall have no responsibility or obligation with respect to (i) the accuracy of the records of DTC, Cede or any DTC Participant with respect to any ownership interest in the Bonds, (ii) the delivery to any DTC Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register, of any notice with respect to the Bonds, including any notice of redemption, or (iii) the payment to any DTC Participant or any other person, other than a registered owner of a Bond as shown in the Bond Register, of any amount with respect to the principal of or interest on the Bonds. The District and the Bond Registrar may treat and consider the person in whose name each Bond is registered in the Bond Register as the holder and absolute owner of such Bond for the purpose of payment of principal and interest with respect to such Bond, for the purpose of giving notices of redemption and other matters with respect to such Bond, for the purpose of registering transfers with respect to such Bond, and for all other purposes whatsoever. The Bond Registrar shall pay all principal of and interest on the Bonds only to or upon the order of the respective registered

owners of the Bonds, as shown in the Bond Register, or their respective attorneys duly authorized in writing, and all such payments shall be valid and effective to fully satisfy and discharge the District's obligations with respect to payment of the principal of and interest on the Bonds to the extent of the sum or sums so paid. No person other than a registered owner of a Bond as shown in the Bond Register, shall receive a Bond evidencing the obligation of the District to make payments of principal and interest with respect to any Bond. Upon delivery by DTC to the Bond Registrar of written notice to the effect that DTC has determined to substitute a new nominee in place of Cede, and subject to the provisions in Section 3 hereof with respect to the payment of interest to the registered owners of Bonds at the close of business on the 15th day of the month next preceding the applicable interest payment date, the name "Cede" in this resolution shall refer to such new nominee of DTC.

In the event that (i) the District determines that DTC is incapable of discharging its responsibilities described herein and in the Representation Letter, (ii) the agreement among the District, the Bond Registrar and DTC evidenced by the Representation Letter shall be terminated for any reason or (iii) the District determines that it is in the best interests of the beneficial owners of the Bonds that they be able to obtain certificated Bonds, the District shall notify DTC and DTC Participants of the availability through DTC of certificated Bonds and the Bonds shall no longer be restricted to being registered in the Bond Register in the name of Cede, as nominee of DTC. At that time, the District may determine that the Bonds shall be registered in the name of and deposited with such other depository operating a universal book-entry system, as may be acceptable to the District, or such depository's agent or designee, and if the District does not select such alternate universal book-entry system, then the Bonds may be registered in whatever name or names registered owners of Bonds transferring or exchanging Bonds shall designate, in accordance with the provisions of Section 4(a) hereof.

Notwithstanding any other provisions of this resolution to the contrary, so long as any Bond is registered in the name of Cede, as nominee of DTC, all payments with respect to principal of and interest on such Bond and all notices with respect to such Bond shall be made and given, respectively, in the name provided in the Representation Letter.

*Section 5. Form of Bond.* The Bonds shall be in substantially the following form; *provided, however,* that if the text of the Bond is to be printed in its entirety on the front side of the Bond, then paragraph [2] and the legend, “See Reverse Side for Additional Provisions”, shall be omitted and paragraphs [6] through [9] shall be inserted immediately after paragraph [1]:

[Form of Bond - Front Side]

REGISTERED  
NO. \_\_\_\_\_

REGISTERED  
\$ \_\_\_\_\_

**UNITED STATES OF AMERICA**

**STATE OF ILLINOIS**

**COUNTIES OF COOK, KANE, LAKE AND MCHENRY**

**COMMUNITY COLLEGE DISTRICT NO. 512**

**GENERAL OBLIGATION LIMITED BOND, SERIES 2017A**

See Reverse Side for  
Additional Provisions

Interest                      Maturity                      Dated  
Rate: \_\_\_\_\_%      Date: December 1, 20\_\_      Date: March \_\_, 2017      CUSIP: 216181 \_\_\_\_

Registered Owner:      CEDE & CO.

Principal Amount:

[1] KNOW ALL PERSONS BY THESE PRESENTS, that Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois (the “*District*”), hereby acknowledges itself to owe and for value received promises to pay to the Registered Owner identified above, or registered assigns as hereinafter provided, on the Maturity Date identified above, the Principal Amount identified above and to pay interest (computed on the basis of a 360-day year of twelve 30-day months) on such Principal Amount from the date of this Bond or from the most recent interest payment date to which interest has been paid at the Interest Rate per annum set forth above on June 1 and December 1 of each year, commencing December 1, 2017, until said Principal Amount is paid. Principal of this Bond is payable in lawful money of the United States of America upon presentation and surrender hereof at the principal corporate trust office of Amalgamated Bank of Chicago, Chicago, Illinois, as bond registrar and paying agent (the “*Bond Registrar*”). Payment of the installments of interest shall

be made to the Registered Owner hereof as shown on the registration books of the District maintained by the Bond Registrar at the close of business on the 15th day of the month next preceding each interest payment date and shall be paid by check or draft of the Bond Registrar, payable upon presentation in lawful money of the United States of America, mailed to the address of such Registered Owner as it appears on such registration books or at such other address furnished in writing by such Registered Owner to the Bond Registrar.

[2] Reference is hereby made to the further provisions of this Bond set forth on the reverse hereof and such further provisions shall for all purposes have the same effect as if set forth at this place.

[3] It is hereby certified and recited that all conditions, acts and things required by law to exist or to be done precedent to and in the issuance of this Bond did exist, have happened, been done and performed in regular and due form and time as required by law; that the indebtedness of the District, including the issue of bonds of which this is one, does not exceed any limitation imposed by law; and that provision has been made for the collection of a direct annual tax to pay the interest hereon as it falls due and also to pay and discharge the principal hereof at maturity. Although this Bond constitutes a general obligation of the District and no limit exists on the rate of said direct annual tax, the amount of said tax is limited by the provisions of the Property Tax Extension Limitation Law of the State of Illinois, as amended (the "*Law*"). The Law provides that the annual amount of the taxes to be extended to pay the issue of bonds of which this Bond is one and all other limited bonds (as defined in the Local Government Debt Reform Act of the State of Illinois, as amended) heretofore and hereafter issued by the District shall not exceed the debt service extension base (as defined in the Law) of the District (the "*Base*"), as more fully described in the proceedings of the District providing for the issue of this Bond. Payments on the Bonds from the Base will be made on a parity with the

payments on the outstanding limited bonds heretofore issued by the District. The District is authorized to issue from time to time additional limited bonds payable from the Base, as permitted by law, and to determine the lien priority of payments to be made from the Base to pay the District's limited bonds.

[4] This Bond shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Bond Registrar.

[5] IN WITNESS WHEREOF, said Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, by its Board of Trustees, has caused this Bond to be signed by the manual or duly authorized facsimile signatures of the Chairman and Secretary of said Board of Trustees, and to be registered, numbered and countersigned by the manual or duly authorized facsimile signature of the Treasurer of said Board of Trustees, all as of the Dated Date identified above.

\_\_\_\_\_  
SPECIMEN  
Chairman, Board of Trustees

\_\_\_\_\_  
SPECIMEN  
Secretary, Board of Trustees

Registered, Numbered and Countersigned:

\_\_\_\_\_  
SPECIMEN  
Treasurer, Board of Trustees

Date of Authentication: \_\_\_\_\_, 20\_\_

CERTIFICATE  
OF  
AUTHENTICATION

Bond Registrar and Paying Agent:  
Amalgamated Bank of Chicago  
Chicago, Illinois

This Bond is one of the Bonds described in the within mentioned resolution and is one of the General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois.

AMALGAMATED BANK OF CHICAGO,  
as Bond Registrar

By \_\_\_\_\_  
SPECIMEN  
Authorized Officer

[Form of Bond - Reverse Side]

**COMMUNITY COLLEGE DISTRICT NO. 512**

**COUNTIES OF COOK, KANE, LAKE AND MCHENRY AND STATE OF ILLINOIS**

**GENERAL OBLIGATION LIMITED BOND, SERIES 2017A**

[6] This Bond is one of a series of bonds issued by the District to pay claims against the District, in full compliance with the provisions of the Public Community College Act of the State of Illinois, and the Local Government Debt Reform Act of the State of Illinois, and all laws amendatory thereof and supplementary thereto, and is authorized by said Board of Trustees by resolutions duly and properly adopted for that purpose, in all respects as provided by law.

[7] This Bond is transferable by the Registered Owner hereof in person or by his or her attorney duly authorized in writing at the principal corporate trust office of the Bond Registrar in Chicago, Illinois, but only in the manner, subject to the limitations and upon payment of the charges provided in the authorizing resolution, and upon surrender and cancellation of this Bond. Upon such transfer a new Bond or Bonds of authorized denominations of the same maturity and for the same aggregate principal amount will be issued to the transferee in exchange therefor.

[8] The Bonds are issued in fully registered form in the denomination of \$5,000 each or authorized integral multiples thereof. This Bond may be exchanged at the principal corporate trust office of the Bond Registrar for a like aggregate principal amount of Bonds of the same maturity of other authorized denominations, upon the terms set forth in the authorizing resolution. The Bond Registrar shall not be required to transfer or exchange any Bond during the period beginning at the close of business on the 15th day of the month next preceding any interest payment date on such Bond and ending at the opening of business on such interest payment date.

[9] The District and the Bond Registrar may deem and treat the Registered Owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of principal hereof and interest due hereon and for all other purposes and neither the District nor the Bond Registrar shall be affected by any notice to the contrary.

**(ASSIGNMENT)**

FOR VALUE RECEIVED, the undersigned sells, assigns and transfers unto \_\_\_\_\_

\_\_\_\_\_  
(Name and Address of Assignee)

the within Bond and does hereby irrevocably constitute and appoint \_\_\_\_\_

\_\_\_\_\_  
attorney to transfer the said Bond on the books kept for registration thereof with full power of substitution in the premises.

Dated: \_\_\_\_\_

Signature guaranteed: \_\_\_\_\_

NOTICE: The signature to this assignment must correspond with the name of the registered owner as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

*Section 6. Sale of Bonds.* The Bonds hereby authorized shall be executed as in this Resolution provided as soon after the passage hereof as may be, and thereupon be deposited with the Treasurer of the Board, and be by said Treasurer delivered to \_\_\_\_\_ (the "*Purchaser*"), upon receipt of the purchase price therefor, the same being \$\_\_\_\_\_; the contract for the sale of the Bonds heretofore entered into (the "*Purchase Contract*") is in all respects ratified, approved and confirmed, it being hereby found and determined that the Bonds have been sold at such price and bear interest at such rates that neither the true interest cost (yield) nor the net interest rate received upon such sale exceed the maximum rate otherwise

authorized by Illinois law and that the Purchase Contract is in the best interests of the District and that no person holding any office of the District, either by election or appointment, is in any manner interested, directly or indirectly, in his own name or in the name of any other person, association, trust or corporation, in the Purchase Contract; the surety bond executed by said Treasurer in connection with the issuance of the Bonds as required by Section 3-19 of the Act is hereby approved and shall be filed with the County Clerks of The Counties of Cook, Kane, Lake and McHenry, Illinois (the "*County Clerks*"); and the Bonds before being issued shall be registered, numbered and countersigned by said Treasurer, such registration being made in a book provided for that purpose, in which shall be entered the record of the resolution authorizing the Board to borrow said money and a description of the Bonds issued, including the number, date, to whom issued, amount, rate of interest and when due.

The use by the Purchaser of any Preliminary Official Statement and any final Official Statement relating to the Bonds (the "*Official Statement*") is hereby ratified, approved and authorized; the execution and delivery of the Official Statement is hereby authorized; and the officers of the Board are hereby authorized to take any action as may be required on the part of the District to consummate the transactions contemplated by the Purchase Contract, this Resolution, said Preliminary Official Statement, the Official Statement and the Bonds.

*Section 7. Tax Levy.* In order to provide for the collection of a direct annual tax to pay the interest on the Bonds as it falls due, and also to pay and discharge the principal thereof at maturity, there be and there is hereby levied upon all the taxable property within the District a direct annual tax for each of the years while the Bonds or any of them are outstanding, and that there be and there is hereby levied upon all of the taxable property in the District, the following direct annual tax, to-wit:

FOR THE YEAR

A TAX TO PRODUCE THE SUM OF:

2016	\$	for interest and principal up to and including December 1, 2017
2017	\$	for interest and principal
2018	\$	for interest and principal

Principal or interest maturing at any time when there are not sufficient funds on hand from the foregoing tax levy to pay the same shall be paid from the general funds of the District, and the fund from which such payment was made shall be reimbursed out of the taxes hereby levied when the same shall be collected.

The District covenants and agrees with the purchasers and the holders of the Bonds that so long as any of the Bonds remain outstanding, the District will take no action or fail to take any action which in any way would adversely affect the ability of the District to levy and collect the foregoing tax levy and the District and its officers will comply with all present and future applicable laws in order to assure that the foregoing taxes will be levied, extended and collected as provided herein and deposited in the fund established to pay the principal of and interest on the Bonds.

*Section 8. Filing of Resolution.* Forthwith upon the passage of this Resolution, the Secretary of the Board is hereby directed to file a certified copy of this Resolution with the County Clerks, and it shall be the duty of the County Clerks to annually in and for each of the years 2016 to 2018, inclusive, ascertain the rate necessary to produce the tax herein levied, and extend the same for collection on the tax books against all of the taxable property within the District in connection with other taxes levied in each of said years for community college purposes, in order to raise the respective amounts aforesaid and in each of said years such annual tax shall be computed, extended and collected in the same manner as now or hereafter provided by law for the computation, extension and collection of taxes for general educational purposes of the District, and when collected, the taxes hereby levied shall be placed to the credit of a special

fund to be designated “Bond and Interest Fund of 2017A” (the “*Bond Fund*”), which taxes are hereby irrevocably pledged to and shall be used only for the purpose of paying the principal of and interest on the Bonds; and a certified copy of this resolution shall also be filed with the Treasurer of the Board.

*Section 9. Limitation on Extension; General Obligation Pledge; Additional Obligations.* Notwithstanding any other provision of this Resolution, the annual amount of the taxes to be extended by the County Clerks to pay the Bonds and all other limited bonds (as defined in the Debt Reform Act) heretofore and hereafter issued by the District shall not exceed the debt service extension base (as defined in the Property Tax Extension Limitation Law of the State of Illinois, as amended) of the District (the “*Base*”).

No limit, however, exists on the rate of the direct annual tax levied herein, and the Bonds shall constitute a general obligation of the District.

Payments on the Bonds from the Base will be made on a parity with the payments on the District’s outstanding General Obligation Limited Bonds, Series 2015, dated March 12, 2015. The District is authorized to issue from time to time additional limited bonds payable from the Base, as permitted by law, and to determine the lien priority of payments to be made from the Base to pay the District’s limited bonds.

*Section 10. Use of Bond Proceeds.* The District and the Board hereby covenant that all of the proceeds of the Bonds shall be used in strict compliance with all the requirements of the Act. Accrued interest received on the delivery of the Bonds is hereby appropriated for the purpose of paying first interest due on the Bonds and is hereby ordered deposited into the Bond Fund. The principal proceeds of the Bonds and any premium received on the delivery of the Bonds are hereby appropriated to pay the costs of issuance of the Bonds and for the purpose of paying and canceling the Claims, and that portion thereof not needed to pay such costs shall be

used solely and only to pay and cancel the Claims. At the time of the issuance of the Bonds, the costs of issuance of the Bonds may be paid by the Purchaser on behalf of the District from the proceeds of the Bonds.

*Section 11. Non-Arbitrage and Tax-Exemption.* The District hereby covenants that it will not take any action, omit to take any action or permit the taking or omission of any action within its control (including, without limitation, making or permitting any use of the proceeds of the Bonds) if taking, permitting or omitting to take such action would cause any of the Bonds to be an arbitrage bond or a private activity bond within the meaning of the Code, or would otherwise cause the interest on the Bonds to be included in the gross income of the recipients thereof for federal income tax purposes. The District acknowledges that, in the event of an examination by the Internal Revenue Service (the “IRS”) of the exemption from Federal income taxation for interest paid on the Bonds, under present rules, the District may be treated as a “taxpayer” in such examination and agrees that it will respond in a commercially reasonable manner to any inquiries from the IRS in connection with such an examination.

The District also agrees and covenants with the purchasers and holders of the Bonds from time to time outstanding that, to the extent possible under Illinois law, it will comply with whatever federal tax law is adopted in the future which applies to the Bonds and affects the tax-exempt status of the Bonds.

The Board hereby authorizes the officials of the District responsible for issuing the Bonds, the same being the Chairman, Secretary and Treasurer of the Board, to make such further covenants and certifications as may be necessary to assure that the use thereof will not cause the Bonds to be arbitrage bonds and to assure that the interest in the Bonds will be exempt from federal income taxation. In connection therewith, the District and the Board further agree: (a) through their officers, to make such further specific covenants, representations as shall be

truthful, and assurances as may be necessary or advisable; (b) to consult with counsel approving the Bonds and to comply with such advice as may be given; (c) to pay to the United States, as necessary, such sums of money representing required rebates of excess arbitrage profits relating to the Bonds; (d) to file such forms, statements, and supporting documents as may be required and in a timely manner; and (e) if deemed necessary or advisable by their officers, to employ and pay fiscal agents, financial advisors, attorneys, and other persons to assist the District in such compliance.

*Section 12. List of Bondholders.* The Bond Registrar shall maintain a list of the names and addresses of the holders of all Bonds and upon any transfer shall add the name and address of the new Bondholder and eliminate the name and address of the transferor Bondholder.

*Section 13. Duties of Bond Registrar.* If requested by the Bond Registrar, the Chairman and Secretary of the Board are authorized to execute the Bond Registrar's standard form of agreement between the District and the Bond Registrar with respect to the obligations and duties of the Bond Registrar hereunder which may include the following:

- (a) to act as bond registrar, authenticating agent, paying agent and transfer agent as provided herein;
- (b) to maintain a list of Bondholders as set forth herein and to furnish such list to the District upon request, but otherwise to keep such list confidential;
- (c) to cancel and/or destroy Bonds which have been paid at maturity or submitted for exchange or transfer;
- (d) to furnish the District at least annually a certificate with respect to Bonds cancelled and/or destroyed; and
- (e) to furnish the District at least annually an audit confirmation of Bonds paid, Bonds outstanding and payments made with respect to interest on the Bonds.

*Section 14. Call of the Certificates.* In accordance with the redemption provisions of the resolution authorizing the issuance of the Certificates, the District by the Board does hereby

make provision for the payment of and does hereby call (subject only to the delivery of the Bonds) all of the outstanding Certificates for redemption on March \_\_, 2017. The certificate registrar for the Certificates is hereby authorized and directed to give timely notice of the call for redemption of the Certificates. The form and time of the giving of such notice regarding the redemption of the Certificates shall be as specified in the resolution authorizing the issuance of the Certificates.

*Section 15. Continuing Disclosure Undertaking.* The Chairman of the Board is hereby authorized, empowered and directed to execute and deliver a Continuing Disclosure Undertaking under Section (b)(5) of Rule 15c2-12 adopted by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934, as amended (the “*Continuing Disclosure Undertaking*”). When the Continuing Disclosure Undertaking is executed and delivered on behalf of the District as herein provided, the Continuing Disclosure Undertaking will be binding on the District and the officers, employees and agents of the District, and the officers, employees and agents of the District are hereby authorized, empowered and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of the Continuing Disclosure Undertaking as executed. Notwithstanding any other provision of this Resolution, the sole remedy for failure to comply with the Continuing Disclosure Undertaking shall be the ability of the beneficial owner of any Bond to seek mandamus or specific performance by court order to cause the District to comply with its obligations under the Continuing Disclosure Undertaking.

*Section 16. Municipal Bond Insurance.* In the event the payment of principal and interest on the Bonds is insured pursuant to a municipal bond insurance policy (the “*Municipal Bond Insurance Policy*”) issued by a bond insurer (the “*Bond Insurer*”), and as long as such Municipal Bond Insurance Policy shall be in full force and effect, the District and the Bond

Registrar agree to comply with such usual and reasonable provisions regarding presentment and payment of the Bonds, subrogation of the rights of the Bondholders to the Bond Insurer upon payment of the Bonds by the Bond Insurer, amendment hereof, or other terms, as approved by the Chairman of the Board on advice of counsel, his or her approval to constitute full and complete acceptance by the District of such terms and provisions under authority of this Section.

*Section 17. Record-Keeping Policy and Post-Issuance Compliance Matters.* On November 19, 2014, the Board adopted a record-keeping policy (the “*Policy*”) in order to maintain sufficient records to demonstrate compliance with its covenants and expectations to ensure the appropriate federal tax status for the debt obligations of the District, the interest on which is excludable from “gross income” for federal income tax purposes or which enable the District or the holder to receive federal tax benefits, including, but not limited to, qualified tax credit bonds and other specified tax credit bonds. The Board and the District hereby reaffirm the *Policy*.

*Section 18. Severability.* If any section, paragraph, clause or provision of this Resolution shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

*Section 19. Repeal.* All resolutions or parts thereof in conflict herewith be and the same are hereby repealed, and this Resolution shall be in full force and effect forthwith upon its adoption.

Adopted February 15, 2017.

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Chairman, Board of Trustees

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Secretary, Board of Trustees

Trustee \_\_\_\_\_ moved and Trustee \_\_\_\_\_  
seconded the motion that said resolution as presented and read by title be adopted.

After a full discussion thereof, the Chairman directed that the roll be called for a vote upon the motion to adopt said resolution.

Upon the roll being called, the following Trustees voted AYE: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

The following Trustees voted NAY: \_\_\_\_\_

Whereupon the Chairman declared the motion carried and said resolution adopted, approved and signed the same in open meeting and directed the Secretary to record the same in the records of the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, which was done.

Other business not pertinent to the adoption of said resolution was duly transacted at the meeting.

Upon motion duly made, seconded and carried, the meeting was adjourned.

\_\_\_\_\_  
Secretary, Board of Trustees

STATE OF ILLINOIS        )  
  ) SS  
COUNTY OF COOK         )

**CERTIFICATION OF MINUTES AND RESOLUTION**

I, the undersigned, do hereby certify that I am the duly qualified and acting Secretary of the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois (the “Board”), and as such official I am the keeper of the records and files of the Board.

I do further certify that the foregoing constitutes a full, true and complete transcript of the minutes of the meeting of the Board held on the 15th day of February, 2017, insofar as same relates to the adoption of a resolution entitled:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

a true, correct and complete copy of which said resolution as adopted at said meeting appears in the foregoing transcript of the minutes of said meeting.

I do further certify that the deliberations of the Board on the adoption of said resolution were conducted openly, that the vote on the adoption of said resolution was taken openly, that said meeting was called and held at a specified time and place convenient to the public, that notice of said meeting was duly given to all of the news media requesting such notice, that an agenda for said meeting was posted at the location where said meeting was held and at the principal office of the Board at least 120 hours in advance of the holding of said meeting, that a true, correct and complete copy of said agenda as so posted is attached hereto as *Exhibit A*, that at least one copy of said agenda was continuously available for public review during the entire 120-hour period preceding said meeting, that said meeting was called and held in strict compliance with the provisions of the Open Meetings Act of the State of Illinois, as amended, and with the provisions of the Public Community College Act of the State of Illinois, as amended, and that the Board has complied with all of the provisions of said Acts and with all of the procedural rules of the Board.

IN WITNESS WHEREOF, I hereunto affix my official signature, this 15th day of February,  
2017.

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Secretary, Board of Trustees

STATE OF ILLINOIS            )  
  ) SS  
COUNTY OF COOK            )

**FILING CERTIFICATE**

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Cook, Illinois, and as such official I do further certify that on the \_\_\_\_ day of February, 2017, there was filed in my office a duly certified copy of a resolution entitled:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

duly adopted by the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, on the 15th day of February, 2017, and that the same has been deposited in the official files and records of my office.

I do further certify that there has also been filed in my office a true, correct and complete copy of the surety bond of the Treasurer of the Board and issued in accordance with the provisions of Section 3-19 of the Public Community College Act of the State of Illinois, as amended, and conditioned in part upon the faithful discharge of his or her duties with respect to the disbursement of the proceeds of the sale of the General Obligation Limited Bonds, Series 2017A, proposed to be issued by said Community College District pursuant to said resolution.

IN WITNESS WHEREOF, I hereunto affix my official signature and the seal of said County, this \_\_\_\_ day of February, 2017.

\_\_\_\_\_  
County Clerk of The County of Cook,  
Illinois

(SEAL)

STATE OF ILLINOIS     )  
  ) SS  
COUNTY OF KANE        )

**FILING CERTIFICATE**

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Kane, Illinois, and as such official I do further certify that on the \_\_\_\_ day of February, 2017, there was filed in my office a duly certified copy of a resolution entitled:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

duly adopted by the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, on the 15th day of February, 2017, and that the same has been deposited in the official files and records of my office.

I do further certify that there has also been filed in my office a true, correct and complete copy of the surety bond of the Treasurer of the Board and issued in accordance with the provisions of Section 3-19 of the Public Community College Act of the State of Illinois, as amended, and conditioned in part upon the faithful discharge of his or her duties with respect to the disbursement of the proceeds of the sale of the General Obligation Limited Bonds, Series 2017A, proposed to be issued by said Community College District pursuant to said resolution.

IN WITNESS WHEREOF, I hereunto affix my official signature and the seal of said County, this \_\_\_\_ day of February, 2017.

\_\_\_\_\_  
County Clerk of The County of Kane,  
Illinois

(SEAL)

STATE OF ILLINOIS     )  
  ) SS  
COUNTY OF LAKE         )

**FILING CERTIFICATE**

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Lake, Illinois, and as such official I do further certify that on the \_\_\_\_ day of February, 2017, there was filed in my office a duly certified copy of a resolution entitled:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

duly adopted by the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, on the 15th day of February, 2017, and that the same has been deposited in the official files and records of my office.

I do further certify that there has also been filed in my office a true, correct and complete copy of the surety bond of the Treasurer of the Board and issued in accordance with the provisions of Section 3-19 of the Public Community College Act of the State of Illinois, as amended, and conditioned in part upon the faithful discharge of his or her duties with respect to the disbursement of the proceeds of the sale of the General Obligation Limited Bonds, Series 2017A, proposed to be issued by said Community College District pursuant to said resolution.

IN WITNESS WHEREOF, I hereunto affix my official signature and the seal of said County, this \_\_\_\_ day of February, 2017.

\_\_\_\_\_  
County Clerk of The County of Lake,  
Illinois

(SEAL)

STATE OF ILLINOIS            )  
  ) SS  
COUNTY OF MCHENRY        )

**FILING CERTIFICATE**

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of McHenry, Illinois, and as such official I do further certify that on the \_\_\_\_ day of February, 2017, there was filed in my office a duly certified copy of a resolution entitled:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

duly adopted by the Board of Trustees of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, on the 15th day of February, 2017, and that the same has been deposited in the official files and records of my office.

I do further certify that there has also been filed in my office a true, correct and complete copy of the surety bond of the Treasurer of the Board and issued in accordance with the provisions of Section 3-19 of the Public Community College Act of the State of Illinois, as amended, and conditioned in part upon the faithful discharge of his or her duties with respect to the disbursement of the proceeds of the sale of the General Obligation Limited Bonds, Series 2017A, proposed to be issued by said Community College District pursuant to said resolution.

IN WITNESS WHEREOF, I hereunto affix my official signature and the seal of said County, this \_\_\_\_ day of February, 2017.

\_\_\_\_\_  
County Clerk of The County of  
McHenry, Illinois

(SEAL)

STATE OF ILLINOIS                    )  
  ) SS  
COUNTY OF COOK                    )

**FILING CERTIFICATE**

I, the undersigned, do hereby certify that I am the duly qualified and acting Treasurer of the Board of Trustees (the “Board”) of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, and as such official I do further certify that on the 15th day of February, 2017, there was filed in my office a duly certified copy of a resolution entitled:

RESOLUTION providing for the issue of \$\_\_\_\_\_ General Obligation Limited Bonds, Series 2017A, of Community College District No. 512, Counties of Cook, Kane, Lake and McHenry and State of Illinois, for the purpose of paying claims against said Community College District, providing for the levy of a direct annual tax to pay the principal and interest on said bonds, and authorizing the sale of said bonds to the purchaser thereof.

duly adopted by the Board on the 15th day of February, 2017, and that the same has been deposited in the official files and records of my office.

IN WITNESS WHEREOF, I hereunto affix my official signature, this 15th day of February, 2017.

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Treasurer, Board of Trustees

**Subject:** Proposed Tuition and Fee Increase

**Recommended by:**

Bret Bonnstetter, Controller  
Accounting Services

**Description**

A recommendation is being made to increase the In-District, Out-of-District, Out-of-State, and International tuition rates by \$5.75 per credit hour effective summer 2017.

In addition, a recommendation is being made to consolidate the existing per credit hour fees for Technology of \$7, and Construction and Renovation of \$9, with a new \$3 per credit hour fee to create a Universal Fee of \$19 per credit hour. The new fee increase would be implemented with \$1.50 effective spring 2018 and \$1.50 effective summer of 2018.

The following table reflects the current year and proposed rates effective for **summer 2017**:

Residency <u>Status</u>	<u>Tuition Only</u>			<u>Tuition and Fees</u>		
	Actual <u>FY 2017</u>	Proposed Summer <u>2017</u>	Net <u>Change</u>	Actual <u>FY 2017</u>	Proposed Summer <u>2017</u>	Net <u>Change</u>
In-District	\$119.25	\$125.00	\$5.75	\$135.25	\$141.00	\$5.75
Out-of-District	\$376.25	\$382.00	\$5.75	\$392.25	\$398.00	\$5.75
Out-of-State	\$451.75	\$457.50	\$5.75	\$467.75	\$473.50	\$5.75
International	\$451.75	\$457.50	\$5.75	\$467.75	\$473.50	\$5.75

The following table reflects the current year and proposed rates effective for **spring 2018**:

Residency <u>Status</u>	<u>Tuition Only</u>			<u>Tuition and Fees</u>		
	Summer 2017 (from <u>above</u> )	Proposed <u>Spring 2018</u>	Net <u>Change</u>	Summer 2017 (from <u>above</u> )	Proposed Spring <u>2018</u>	Net <u>Change</u>
In-District	\$125.00	\$125.00	\$ -	\$141.00	\$142.50	\$1.50
Out-of-District	\$382.00	\$382.00	\$ -	\$398.00	\$399.50	\$1.50
Out-of-State	\$457.50	\$457.50	\$ -	\$473.50	\$475.00	\$1.50
International	\$457.50	\$457.50	\$ -	\$473.50	\$475.00	\$1.50

The following table reflects the current year and proposed rates effective for **summer 2018**:

<u>Residency Status</u>	<u>Tuition Only</u>			<u>Tuition and Fees</u>		
	Spring 2018 (from above)	Proposed Summer 2018	Net Change	Spring 2018 (from above)	Proposed Summer 2018	Net Change
In-District	\$125.00	\$125.00	\$ -	\$142.50	\$144.00	\$1.50
Out-of-District	\$382.00	\$382.00	\$ -	\$399.50	\$401.00	\$1.50
Out-of-State	\$457.50	\$457.50	\$ -	\$475.00	\$476.50	\$1.50
International	\$457.50	\$457.50	\$ -	\$475.00	\$476.50	\$1.50

**Information**

Recent years have seen declines in state appropriations, property taxes through PTAB appeals, and enrollment. At the same time, costs related to operating the College as well as repairing and updating aging buildings on campus have put additional pressures on the budget. It is necessary for tuition to be increased to compensate for these revenue losses and cost increases. The recommended \$5.75 per credit hour tuition increase, in conjunction with other revenue generation and expense control actions, will help provide for a balance budget.

The renovated and expanded Recreation and Wellness Center in Building M, being done in partnership with the Palatine Park District, is to be completed by August 2018. The total of a \$3 increase in the per credit hour fee, \$1.50 effective with the spring semester of 2018, and the remaining \$1.50 effective with the summer semester of 2018, will provide our students access to the new fitness center when completed, as well as access to the parking structure on the east side of campus beginning fall 2017. The fitness center membership will continue for three months after graduation for students completing degree and certificate programs. Combining the Technology Fee of \$7 and the Construction and Renovation Fee of \$9 with the new \$3 fee will create one simplified Universal Fee of \$19 per credit hour.

The Board Financial Policy is to “Limit annual tuition and per credit hour fee increases to 5% of total tuition and fees or the Illinois statute limitation using the Higher Education Cost Adjustment (HECA) rate change as a guideline, as appropriate, to promote a balanced budget for Harper College and financial consistency for Harper students”. The annual HECA rate change was 1.8%.

Calculation of the recommended annual 2018 increases relative to the 5% Board Policy maximum is as follows:

Current Tuition and Fees Rate	\$135.25
5% maximum annual increase	<u>x 5%</u>
Maximum increase per Board Policy	<u>\$6.75</u>

Recommended tuition increase per credit hour	\$5.75
Universal Fee increase (\$1.50 for half of the year)	<u>.75</u>

Total FY 2018 annual increase \$6.50

The above increase is less than allowed for by Board Policy.

**Rationale**

The Board is to establish credit tuition and fees per section 05.05.00 of the Board Policy Manual and as permitted by the Public Community College Act.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Faculty Tenure Recommendation (3<sup>rd</sup> Year, Fall Hires)

**Recommended by:**

Dr. Kenneth L. Ender, President

**Description**

Since 1980, resulting from a change in the Community College Act, Board of Trustee action is required only for the denial of tenure. The administration informs the Board of the tenure status of all probationary faculty after review by the Faculty Tenure Committee, the Dean, Provost and President. It is recommended that the Board affirm the award of tenure beginning with the fall semester, 2017 for the faculty listed below.

**Information**

Following are the names of faculty who are recommended for tenure starting fall semester, 2017:

**First Year Employed**

**Fall 2014**

---

Chris Athanassopoulos	Engineering
Marie Farber-Lapidus	Business Administration
Raeghan Graessle	Physics
Michael Horton	Philosophy
Kyle Knee	Math
Brian Shelton	Mass Communication

**First Year Employed**

**Fall 2013**

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Linda Schumacher <sup>i</sup>	World Languages
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**Rationale**

The Board of Trustees is informed about the progress of probationary faculty toward achieving tenure.

**Funding Source**

Not applicable to this exhibit.

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<sup>ii</sup> Tenure process extended by 1 year.

**Subject:** Resolution Authorizing Extension of Probation of Non-Tenured Faculty Member

**Recommended by:**

Kenneth L. Ender, Ph.D., President

**Description**

Adoption of a resolution authorizing extension of probation for one year to a non-tenured faculty member.

**Information**

Chapter 110 805/3B-2 of the Illinois Compiled Statutes provides that the Board of Trustees may extend the probationary period of a non-tenured faculty member for one additional year by giving the faculty member notice not later than sixty days before the end of the term in which tenure would otherwise be conferred.

**Rationale**

After review of tenure track progress for non-tenured faculty member, it was determined that an additional year probation would be advisable.

**Funding Source**

Not applicable to this exhibit.

**SUBJECT:** Dismissal Resolution, Non-tenured faculty – Liberal Arts Division

**Recommended by:**

Kenneth L. Ender, Ph.D.  
President

**Description**

Section 5.2.2 of Board Policy and Article III.M.1 of the Collective Bargaining Agreement establish terms of employment related to probationary appointments of full-time faculty. The resolution and notice are necessary to comply with contractual and statutory requirements. This resolution pertains to the dismissal of a non-tenured faculty member.

**Information**

The administration recommends approval of the attached dismissal resolution and authorization for the issuance of the notice of dismissal.

**Rationale**

After careful review of the information presented, which includes the recommendations from the Dean, the Provost, and the Peer Review Tenure Committee it was determined that this faculty member's employment should not extend beyond May 2017.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Sabbatical Leave Review

**Recommended by:**

Kenneth L. Ender, Ph.D., President

**Description**

Faculty may apply for a sabbatical leave to complete scholarly work that will clearly add to the effectiveness of the faculty member's performance of his or her responsibilities at the College and/or clearly benefit the College. Sabbatical leave applications are reviewed annually by the Sabbatical Leave Committee, the appropriate Dean, Provost, and President.

**Information**

It is the recommendation of the President that the following faculty member be granted a sabbatical leave for the requested time period.

<b>Faculty Member: Margaret Geppert– Physical Sciences – Hire Date: 08/15/2006</b>
--

**Semester or Academic Year Requested: Fall 2017**

**Sabbatical Description: (excerpt from application)**

The purpose of this sabbatical is to develop inquiry-based approaches to physics laboratories. These labs will be available to all faculty teaching physics courses so that all physics students may be exposed to this method of learning. Further, these labs will provide the foundation for the development of an honors section of physics to be incorporated into Harper's honors course offerings. Finally, this sabbatical will result in the alignment of Harper's physics courses with the Next Generation Science Standards (NGSS). These standards are currently used by district high schools so the alignment will be important as students continue their study of physics at Harper College.

**Value of Sabbatical Leave to the College: (excerpt from application)**

This sabbatical project will give Associate Professor Geppert the opportunity to network with national leaders in Physics Education Research. The students, the physics program and the College will all benefit. These findings will be shared with other full-time and part-time physics and engineering faculty members and we will work together to incorporate these techniques and projects into Harper's physics classes.

**Funding Source**

Funds are available in the Education Fund (1). Funding to cover sabbaticals will come from adjunct salary lines.

**Subject:** Harper Professional Center (HPC) Tenant Lease Extension Option

**Recommended by:**  
Bret Bonnstetter, Controller  
Accounting Services

**Description**

A recommendation is being made to approve a lease extension option for the tenant at the HPC.

**Information**

The College owns about 95% of the HPC, with the remaining portion owned by two others. One of those condominium owners, Daniel N. Uditsky, D.D.S., LTD, also rents 1,076 square feet of space from the College that adjoins his unit.

The College currently has a lease agreement with Dr. Uditsky through March 30, 2021. He has formally requested an option to extend his current lease. The College is recommending an amendment to the current agreement allowing Dr. Uditsky the option to extend the lease agreement for one additional five year period from April 1, 2021 to March 31, 2026. The rate would be based on the market conditions at the time of exercise and be mutually agreeable to both parties. The lease extension option agreement has been reviewed and approved by legal counsel.

**Rationale**

Dr. Uditsky currently owns space at the HPC in addition to renting space from the College. A five year lease extension to this rental space would provide an estimated \$220,000 to the College in rent and common area maintenance cost recovery over the five year extension period based on current rates.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Illinois Community College Workforce Innovation and Opportunity Act Tuition Agreement

**Recommended by:**

Mark Mrozinski, Assistant Vice President, Workforce and Strategic Alliances; Executive Dean

**Description**

A recommendation is being made for the Board of Trustees to authorize a tuition agreement, which allows WIOA funded students who are residents of other signatory community college districts to study at Harper College at in-district tuition rates.

**Information**

The agreement authorizes the College to charge in-district rates to WIOA funded students who are residents of other signatory community college districts. The WIOA law stipulates that WIOA funded students must be granted choice among multiple providers for their training programs. Students sometimes choose community college providers other than their home community college, and therefore the funding workforce investment board is required to pay out-of-district rates for that training program. This agreement grants in-district rates to all WIOA approved students among the signatory community colleges.

The agreement was developed and sponsored by the Northern Illinois Workforce Coalition, a consortium of community colleges and workforce investments boards in the Northern Illinois region. The Illinois Community College Board will coordinate the distribution of, and amendments to, this agreement.

**Rationale**

Implementation of the agreement will allow signatory community colleges to charge in-district rates to WIOA approved students. This will allow workforce investment moneys to serve a greater number of unemployed clients by reducing or eliminating out-of-district tuition rates for WIOA approved training.

**Funding Source**

Not applicable to this exhibit.

***Illinois Community College  
Workforce Innovation and Opportunity Act  
Tuition Agreement***

**THIS AGREEMENT** is entered into by and among the **Board of Trustees** from each Participating Community College and the **Participating Workforce Investment Boards** for the express purpose of providing in-district resident tuition rates for all WIOA-funded students.

**PARTICIPATING COLLEGES**

**William Rainey Harper College  
Kankakee Community College**

**WITNESSETH:**

**WHEREAS**, by means of this Agreement, the parties hereto desire to offer in-district tuition rates to students who are approved for study under the federal Workforce Innovation and Opportunity Act (WIOA) and who are residents of any of the signatory Illinois community college districts; and

**WHEREAS**, the parties hereto believe this Agreement should be one of the means to establish a viable method of cooperation between the parties hereto; and

**WHEREAS**, this Agreement supersedes and takes the place of any and all prior cooperative Agreements on this subject, and that all said prior Agreements are hereby terminated.

**NOW THEREFORE**, in consideration of the mutual covenants hereinafter contained, the parties hereto agree as follows:

**1. Party Identification**

For the purpose of this Agreement, “Participating College” refers to an Illinois Community College signatory of this Agreement. “Participating WIB” refers to a Workforce Investment Board and/or Local Chief Elected Official/Fiscal Officer (as required) signatory of this Agreement. “WIOA Approved Student” refers to a student who is eligible and approved for study at a Participating College by a Participating WIB under the Workforce Innovation and Opportunity Act (WIOA), and who is a resident of one of the Participating College districts.

**2. Terms of Agreement**

The educational programs offered by the Participating Colleges under this Agreement shall be classes and programs approved by the Illinois Community College Board and the Illinois Board of Higher Education. The Agreement applies only to classes and programs of study approved and paid for by WIOA funds through a local Workforce Investment Board (WIB) in Illinois.

### **3. Duration of Agreement; Termination of Agreement**

This Agreement shall be in force upon adoption by at least one Participating Workforce Investment Board and by the Boards of Trustees of at least two Participating Colleges. The Agreement shall continue so long as there are at least two Participating Colleges and at least one Participating Workforce Investment Board that have not terminated their participation in the Agreement pursuant to Paragraph 4 below. In the event of termination of the Agreement, WIOA Approved Students who entered an educational program prior to termination of the Agreement will be allowed to complete the program under the terms of this Agreement which were in force at the time the Students entered the program.

### **4. Termination of Participation in Agreement**

The President of a Participating College or the Chairperson of a Participating Workforce Investment Board which desires to terminate its participation in this Agreement shall give notice in writing on or before March 1 to each of the other signatories of this Agreement. Conditional upon the giving of such written notice, that Participating College's or Workforce Investment Board's participation shall terminate effective with the ensuing fall semester; provided, however that WIOA Approved Students of the terminating College or Workforce Investment Board who entered an educational program prior to such termination will be allowed to complete the program under the terms of this Agreement which were in force at the time the Students entered the program.

### **5. Amendments to Agreement**

Amendments to this Agreement, other than the addition or the termination from participation of a Participating College or Participating Workforce Investment Board, may be made only by written consent of all parties. To be effective, such amendments shall be prepared in the form of an Addendum to this Agreement, and shall be approved in writing by each Participating Workforce Investment Board and by the Board of Trustees of each Participating College. In the event of amendments to this Agreement, WIOA Approved Students who entered an educational program prior to such amendments shall be allowed to complete the program under the terms of this Agreement which were in force at the time the Students entered the program.

### **6. Coordination and Distribution of Amendments to Agreement**

The Illinois Community College Board, in consultation with Participating Colleges and Workforce Investment Boards, will coordinate and timely distribute amendments to this Agreement to Participating Colleges and Workforce Investment Boards.

### **7. Student Eligibility**

Upon approval from the Workforce Investment Board providing the WIOA funding, a WIOA Approved Student may take advantage of the educational opportunity provided through this Agreement to apply for acceptance into classes or a program available at any of the Participating Colleges. A student who does not reside in a Participating College district is not eligible to become a WIOA Approved Student under this Agreement.

### **8. Registration**

Upon written approval from the Participating Workforce Investment Board, a WIOA Approved Student shall register at the Participating College of choice, which shall be deemed to be the Student's home district for the period of the Student's enrollment. Under the terms of this Agreement, WIOA Approved Students may take courses approved by the Participating WIB at any Participating College.

### **9. Additional Educational Services**

The Participating College shall provide WIOA Approved Students with access to its Learning Resources Center and other instructional resources, equal to the access provided for any other students at its campus. The Participating College shall also provide counseling/guidance and other services to facilitate the learning process for WIOA Approved Students.

### **10. Records and Recognition of Completion**

The Participating College shall maintain admissions records and transcripts and issue any and all degrees or certificates to WIOA Approved Students completing the educational program.

### **11. Scholarships and Student Activities**

The Participating College shall be considered the home district for athletic eligibility and/or any activity in which the WIOA Approved Student officially represents an institution, as well as for military and Illinois State Student Assistance Commission Scholarships. Athletic eligibility will be determined by the rules of the Participating College's athletic conference. A WIOA Approved Student may be eligible at the Participating College for any of its other extracurricular activities, scholarships, or other recognition of excellence in the program in which the WIOA Approved Student is enrolled.

### **12. Communication of Agreement**

The Participating WIB shall communicate the terms of this Agreement to WIOA Approved Students attending a Participating College, who will be responsible to comply with all conduct and operating rules and conditions of the campus they are attending.

### **13. Reimbursement**

The Participating College which enrolls a WIOA Approved Student shall be entitled to file all claims for state apportionment with respect to that WIOA Approved Student, as to programs covered by this Agreement.

### **14. Transportation**

WIOA Approved Students shall be responsible for their own transportation to and from Participating Colleges.

### **15. Tuition and Fees**

A WIOA Approved Student will pay the in-district resident tuition and fees of the Participating College which enrolls the Student. WIOA Approved Students seeking state or federal financial assistance are required to apply for such assistance through the Participating College which has enrolled them.

### **16. FTE Reporting**

For purposes of capital development (construction space) funding, FTE enrollment in the classes taken by WIOA Approved Students shall be reported by the Participating College which has enrolled them.

### **17. Student Enrollments**

The Participating Colleges shall be treated as one community college district for purposes of admission to programs covered by this Agreement. However, when program space is limited, a Participating College may give priority consideration for enrollment in that program to individuals who reside in that Participating College district.

### **18. No Chargebacks**

Participating Colleges will not pay chargebacks to one another with respect to WIOA Approved Students.

### **19. Principal and Agent**

Nothing contained in this Agreement shall be interpreted to or shall operate to create a relationship of Principal and Agent between the parties.



**Subject:** Ratification of Adjunct Faculty Association, IEA-NEA Union Collective Bargaining Agreement August 22, 2016 to August 2021

**Recommended by:**

Roger Spayer, Chief Human Resources Officer  
Human Resources

**Description**

Ratification of the Adjunct Faculty Association, IEA-NEA Union Collective Bargaining Agreement for August 22, 2016 to August 2021 is requested by the Board of Trustees, authorizing the Board Chair and Secretary to execute the Agreement.

**Information**

Teams representing the Adjunct Faculty Association, IEA-NEA bargaining unit and the administration began meeting in April 2016 and thereafter, using a modified interest-based bargaining format. As a result of these discussions, a tentative agreement was reached between the negotiating teams on January 6, 2017. The vote taken by the Adjunct Faculty Association, IEA-NEA membership to ratify the Agreement was completed on February 10, 2017.

As part of the agreement, the salary schedule has been revised based on a 1% increase in year one. Increases in years 2, 3, 4, and 5 will be determined by the Consumer Price Index Urban (CPI-U) by a minimum of 1.5% up to a maximum of 3.5%. Other contract language changes were made for purposes of equity, sustainability, clarification and transparency.

**Rationale**

The administration recommends that the Board of Trustees ratify the five-year Collective Bargaining Agreement with Adjunct Faculty Association, IEA-NEA Union, retroactive to August 22, 2016 with all changes effective on January 17th and ending the day prior to the start of the fall 2021 academic year; and the administration further recommends that the Board Chair and Secretary be authorized to execute such Agreement on behalf of the Board of Trustees.

**Funding Source**

Not applicable to this exhibit.

**2017-2021**

**AGREEMENT**

**BETWEEN**

**BOARD OF TRUSTEES  
OF COMMUNITY COLLEGE DISTRICT 512,  
COUNTY OF COOK AND STATE OF ILLINOIS**

**AND**

**HARPER COLLEGE ADJUNCT FACULTY  
ASSOCIATION, IEA-NEA**

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## **AGREEMENT**

This Agreement is entered into by and between the Board of Trustees of Community College District No. 512, Counties of Cook, Lake, Kane and McHenry and the State of Illinois, hereinafter referred to as the "Board," or the "College" and Harper College Adjunct Faculty Association, IEA-NEA, hereinafter referred to as "HCAFA," as the exclusive collective bargaining representative for the bargaining unit employees defined as follows:

- Unit eligible "Adjunct Faculty Instructors" hereinafter referred to as "Adjunct Instructors". Unit eligible "Adjunct Faculty Librarians and Counselors" hereinafter referred to as "Adjunct Librarians/Counselors." This group includes the following classifications: Student Development Counselors, Athletic Academic Counselors, Learning Disability Specialists, and Librarians.
- Within this agreement it is understood that all references to "Adjunct Faculty" will refer to unit eligible "Adjunct Faculty Instructors" and unit eligible "Adjunct Librarians/Counselors."

## **ARTICLE I**

### **1.1 Recognition**

The College recognizes HCAFA as the exclusive bargaining representative of the following employee groups:

#### **Adjunct Faculty**

All adjunct faculty currently employed at William Rainey Harper College who provide a minimum of three (3) credit hours of instruction per academic semester for at least four (4) consecutive academic semesters excluding the summer term. Bargaining unit eligibility shall commence at the beginning of the fourth consecutive academic semester in which the adjunct faculty member provides at least three (3) credit hours of instruction.

If an adjunct faculty member scheduled for an assignment for a fourth consecutive semester which would result in initial bargaining unit eligibility loses the assignment due to a class being cancelled or being replaced by a full-time faculty member, the adjunct faculty member shall become unit eligible at the beginning of the fifth consecutive semester if the eligibility requirement defined in this article is met.

### **Adjunct Librarians/Counselors**

All adjunct librarians/counselors currently employed at William Rainey Harper College who are assigned to work a minimum of one hundred sixty (160) hours per semester, for at least four (4) consecutive academic semesters, excluding the summer term. For the purpose of defining bargaining unit eligibility, a semester shall be considered up to sixteen (16) weeks, and assignments which count towards bargaining unit eligibility for adjunct librarians/counselors shall be based on an equivalent of an average of ten (10) hours assigned per week during that 16 week period. A semester shall count toward eligibility when the librarian/counselor is assigned 160 hours, regardless of the position's semester start and end date.

Bargaining unit eligibility shall commence at the beginning of the fourth consecutive academic semester in which the adjunct librarian/counselor is assigned to work a minimum of 160 hours per semester, excluding the summer term. Examples, but not limited to the following illustrations, of assignments which count towards bargaining unit eligibility are as follows:

1. In a given academic semester, an adjunct librarian is assigned to work eight (8) weeks for twenty (20) hours a week. The total hours assigned for that given academic semester is one hundred sixty (160) hours. One hundred sixty (160) hours divided by sixteen (16) weeks equals an average of ten (10) hours/week in that given academic semester. Therefore, this adjunct librarian meets the bargaining unit eligibility criteria as certified by the IELRB.
2. In a given academic semester, an adjunct counselor is assigned to work eight (8) weeks for five (5) hours a week and also is assigned to work an additional eight

(8) weeks for fifteen (15) hours per week. The total hours assigned for that given academic semester is one hundred sixty (160) hours. One hundred sixty (160) hours divided by sixteen (16) weeks equals an average of ten (10) hours/week in that given academic semester. Therefore, this adjunct counselor meets bargaining unit eligibility criteria as certified by the IELRB.

### **Dual Position Employees**

Dual position employees are adjunct employees who satisfy HCAFA bargaining unit eligibility criteria set forth above, regardless of whether or not they are covered by another Harper College collective bargaining agreement. Such eligible employees include: professional-technical employees, custodial-maintenance and buildings/grounds employees, and police department and community safety officers. These employee positions are designated by the College based upon the employee's job assignment and job description. Excluded from dual unit eligibility are all adjunct employees who do not meet the bargaining unit eligibility criteria set forth above, and any other employees excluded under Section 2 of the Illinois Educational Labor Relations Act.

Any employee who was an HCAFA part-time teaching bargaining unit member as of May 20, 2008, and who thereafter accepts or accepted a second position in a non-HCAFA unit (e.g., HCAFA member accepts second position assignment as professional-technical employee in September, 2008), will be allowed to retain existing HCAFA unit membership. Any such dual position employees will be entitled to HCAFA unit membership provided they continue to meet the bargaining unit eligibility and maintenance of inclusion requirements in the agreement.

## **1.2 Maintenance of Inclusion**

### **Adjunct Faculty**

An adjunct faculty member who qualifies for initial bargaining unit inclusion but who subsequently fails to meet the eligibility criteria set forth in Article 1.1 shall remain a member of the bargaining unit for two consecutive semesters, excluding the summer term, in which the member provides no instructional services. Failure to satisfy the

eligibility criteria in Article 1.1 for the third consecutive academic semester, excluding the summer term, will result in removal from the bargaining unit.

### **Adjunct Librarians/Counselors**

An adjunct librarian/counselor who qualifies for initial bargaining unit inclusion but who subsequently fails to meet the eligibility criteria set forth in Article 1.1 because he/she was unable to accept an assignment due to an extended and medically documented disability, or does not work due to other valid and justifiable reasons acceptable to the College, shall remain a member of the bargaining unit for two consecutive semesters, excluding the summer term, in which the member is not assigned to work a minimum of one hundred sixty (160) hours per semester. In such event, the College shall make a reasonable effort to assign an adjunct librarian/counselor with at least a one hundred sixty (160) hour assignment, for the current semester. Failure to satisfy the eligibility criteria in Article 1.1 for the third consecutive academic semester, excluding the summer term, will result in removal from the bargaining unit.

### **1.3 Re-Eligibility**

An adjunct faculty who is removed from the bargaining unit because he/she does not meet the eligibility criteria set forth in Article 1.1 or Article 1.2 will re-qualify for eligibility in the unit only after satisfying the eligibility criteria set forth in Article 1.1.

### **1.4 Unit Listing**

#### **Adjunct Faculty**

The College will annually provide HCAFA, on or before June 15<sup>th</sup>, with: 1) a listing of adjunct faculty who meet the eligibility criteria set forth in this Article, 2) a listing of adjunct faculty who have completed three consecutive semesters and who will become eligible for membership in the Fall semester if they meet the eligibility criteria set forth in this Article, and 3) a listing of adjunct faculty who have completed two consecutive semesters who will become eligible for membership in the subsequent Spring semester if they meet the eligibility criteria set forth in this Article.

The College will also provide, on a monthly basis, a current list of adjunct faculty and the number of credits they are teaching. The list will be produced on the Wednesday after the first pay period of each month of the semester during the regular academic year. The list will consist of any employees who are in employee groups which are eligible for HCAFA membership, including any dual position employees.

### **Adjunct Librarians/Counselors**

The College will determine unit membership information for adjunct librarians/counselors each September and January based upon criteria set forth in this Article. The College will notify HCAFA of the unit determination by September 30<sup>th</sup> for the Fall semester and January 31<sup>st</sup> for the Spring semester.

## **ARTICLE II**

### **RIGHTS and RESPONSIBILITIES**

#### **2.1 Academic Freedom and Professional Standards**

Institutions of higher education including the library, offices, and classrooms exist for the common good and not to further the interest of either the individual adjunct faculty or the institution as a whole. The common good depends upon the free search for truth and its free exposition.

Academic freedom is essential to the free search for truth and its free exposition and applies to both teaching and research. Academic freedom in its teaching aspect is fundamental for the protection of the rights of the teacher in teaching and of the student in learning. It carries with it duties correlative with rights as adopted by the AAUP Statement of Principles on Academic Freedom.

Adjunct faculty are entitled to freedom in the classroom, library, and offices in discussing subjects related to their discipline, but they should be careful not to introduce into their discussions controversial matter that has no relation to their discipline.

Adjunct faculty are citizens, members of a learned profession and employees of an educational institution. When they write or speak as citizens, they should be free from institutional censorship or discipline, but their special position in the community imposes certain obligations. As scholars and educational officers, they should remember that the public may judge their profession and their institution by their statements. Hence they should strive at all times to be accurate, exercise reasonable restraint, show consideration for the opinions of others, and make effort to indicate they are not speaking for the institution.

HCAFA and the College endorse the American Library Association Code of Ethics and the American Counseling Association Code of Ethics and Standards of Practice. Each adjunct librarian/counselor shall become familiar with and follow his/her professional Code of Ethics and Standards of Practice as they apply to Harper College and comply with local, state and federal laws.

## **2.2 Non-Discrimination**

The College agrees there shall be no discrimination against any unit-eligible adjunct faculty because of HCAFA membership. The parties likewise acknowledge the right of any unit-eligible adjunct faculty not to become a member of HCAFA.

## **2.3 Intellectual Property and Copyrights**

Intellectual property and copyright issues will be governed by the guidelines provided in the Board of Trustees approved college manual on Intellectual Property (Copyrights and Patents).

All adjunct faculty will adhere to College policy and procedures and applicable state and federal legislation in the development and use of all instructional and all other materials pertinent to their profession.

If applicable, an adjunct faculty shall retain ownership rights of materials developed and produced without the use of any College resources.

An adjunct faculty has the right to consult with HCAFA or counsel of his/her choosing.

#### **2.4 Board Rights**

The Board, on behalf of the electors of Community College District 512, retains and reserves the ultimate responsibilities for the proper management of the College district conferred upon and vested in it by the Statutes and Constitution of the State of Illinois and the United States.

The Board of Trustees of the College reserves “the four essential freedoms” of a college or university: to determine for itself on academic grounds who may teach, what may be taught, how it shall be taught (includes time and location), and who may be admitted to study. Implicit within these freedoms is the Board’s right to hire, determine curriculum, determine degree requirements, and establish academic and grading policy.

The Board shall not be required to bargain over matters of inherent managerial policy, which shall include such areas of discretion or policy as the functions of William Rainey Harper Community College, standards of services, its overall budget, the organizational structure, selection of new adjunct faculty, and the direction of adjunct faculty. The authority and powers of the Board as prescribed by the statutes and constitutions of the State of Illinois and the United States shall continue unaffected except as limited by the written provisions of this Agreement or revisions to the relevant statutes, whether or not such authority and powers were exercised by the College prior to the execution of this Agreement.

#### **2.5 Non-Solicitation and Distribution Policies**

The College maintains policies on solicitation and distribution of literature. Toward that end, class lists and any other data about students are to be used solely for College instructional, administrative, advising/counseling and business purposes. Use of this information for personal or business solicitation is strictly prohibited.

Except as otherwise provided below, the policies apply to and prohibit soliciting in Harper College facilities or on campus by for-profit corporations, non-profit organizations, faculty, staff, students, or any other individuals. This prohibition includes personal solicitations made through campus e-mail listservs.

Pursuant to College Policies concerning “Non-Solicitation and Distribution of Literature”, neither policy is intended to prohibit HCAFA from distributing materials to its membership or from conducting the business of its association (adjunct employees communicating with adjunct employees directly on HCAFA business, not engaging the general public) providing such activities do not disrupt normal college business.

More specifically,

1. These policies do not prohibit adjunct employees from exercising their protected rights under federal or state law. Adjunct employees have the legal right to engage in: 1) union solicitation (either for or against) while at work subject to the College’s right to prohibit solicitation during the adjunct employee's working time, 2) All other forms of protected union activities as defined by the Illinois Education Labor Relations Act (IELRA).
2. Adjunct employees have a similar right to distribute union related literature at work if it is during the non-working time of the adjunct employees involved. This includes the use of adjunct faculty mailboxes and e-mail. Because the distribution of paper involves the potential for litter, the College may also prohibit distribution during an adjunct employee's non-working time if the distribution is disruptive and taking place in a working area.

### **ARTICLE III**

#### **3.1 Meeting Space for HCAFA**

HCAFA may utilize College meeting room facilities, except those already set up for an anticipated use, to meet with employees covered under this Agreement during non-working hours, provided HCAFA promptly reimburses the College the facilities usage charge as prescribed in the *College Facilities Usage Manual* and for any additional

expense or any damage occasioned by such use. Such use shall not interfere with any activity or function of the College. HCAFA shall advise the College designee of the day and time that these meetings will take place.

HCAFA and its representatives shall not use College office resources including copier equipment, supplies or materials in connection with the planning or holding of such meetings.

### **3.2 HCAFA Bulletin Boards**

HCAFA shall be provided with reasonable space on designated bulletin boards (located in Human Resources and HCAFA work areas) identified by the College and HCAFA for the posting of notices and materials relating to official HCAFA activities. Such materials shall be identified with the name of HCAFA and signed by an appropriate officer thereof, and shall include an expiration date. Such materials shall not be derogatory of any person associated with the College and shall not include items which are endorsements of political parties or candidates for political office. HCAFA election announcements are acceptable. All posting of notices and materials shall be subject to the current rules and regulations governing use of College bulletin boards.

### **3.3 HCAFA Use of Intra-Department (College) Mail System**

The College shall permit HCAFA to distribute official HCAFA materials to adjunct faculty through the College intra-departmental mail service subject to College regulations.

### **3.4 HCAFA Use of College Email System**

HCAFA is allowed to use the College email system for the purpose of communication with unit adjunct faculty. Such emails shall comport with College acceptable use policy and shall not be derogatory of any person(s) associated with the College and shall not include items which are endorsements of candidates for political office. HCAFA election announcements are acceptable.

Mass emails sent to large numbers of unit adjunct employees shall be sent no more than four (4) times in an academic semester, Fall and Spring. Additional emails sent to small groups or individuals about HCAFA business or events shall also be permitted. All emails will include an opt-out reply option. HCAFA agrees that it shall not contact any member who chooses to opt-out.

### **3.5 Membership Dues Deduction-Fair Share**

HCAFA unit eligible adjunct faculty, regardless of date of hire, shall be required to maintain membership in HCAFA or to pay Fair Share.

A. The College shall deduct HCAFA membership dues from the wages of each adjunct faculty covered by this Agreement in amounts as determined by HCAFA for the Fall and Spring semester, provided the amount to be deducted shall be uniform for each HCAFA member. The deduction must be authorized in writing by the adjunct faculty and received by the designated College office. HCAFA shall provide the College a listing of adjunct faculty authorizing such deduction by October 15<sup>th</sup> for the Fall semester and by February 15<sup>th</sup> for the Spring semester. Such deduction shall be made in a single deduction in the first pay period of November for the Fall semester and in a single deduction in the first pay period of March for the Spring semester. Such deduction shall be forwarded to HCAFA within fifteen (15) working days of the date for which the deductions are made.

An adjunct faculty's authorization shall be deemed revoked upon written authorization from the member, when the member does not meet the bargaining unit eligibility criteria as set forth in Article 1.1 or Article 1.2, or upon termination of employment.

When the College makes such deductions and remits such membership dues, HCAFA shall indemnify, hold harmless and defend the Board of Trustees, its members, agents and employees in any action, complaint or suit or other proceedings which may be brought under this Article.

B. The amount of the Fair Share fee shall be certified to the Board by HCAFA, and Fair Share deductions shall be made at the same time and in the same manner as membership dues deductions under section 3.5 A. HCAFA shall certify to the Board the amount of the annual Fair Share fee, not to exceed the dues uniformly required of members of HCAFA, and shall supply the Board and the non-members a copy of the basis of the calculation of the fee. Non-member adjunct faculty shall have the right to file objections to Fair Share in accordance with the rules of the Illinois Educational Labor Relations Board.

If an adjunct faculty declares the right of non-association based upon bona fide religious tenets or teaching, or a church, or religious body of which such adjunct faculty is a member, such adjunct faculty shall be required to pay an amount equal to the adjunct faculty's proportionate share to a non-religious charitable organization in accordance with the rules of the Illinois Educational Labor Relations Board.

In the event of any legal action against the College brought in a court or administrative agency because of its compliance with this Article, HCAFA agrees to defend such action, at its own expense and through its own counsel, providing that the College notifies HCAFA of such action in a timely manner not to exceed thirty (30) days.

The College agrees to cooperate with HCAFA and its counsel in securing and giving evidence, obtaining witnesses and making relevant information available at both trial and all appellate levels. HCAFA agrees that in any action so defended, it will indemnify and hold harmless the Board, its members, officers and employees from any liability for damages and costs imposed by a final judgment of a court or administrative agency as a direct consequence of the College's compliance with the Fair Share process. It is expressly understood that this indemnification provision will not apply to any claim, demand, suit or other form of liability which may arise as result of any type of willful misconduct by the Board.

### **3.6 HCAFA/College Meeting**

Each semester the College President or designee or HCAFA's President may request to meet at the College at a mutually convenient time with a mutually agreed upon agenda for the purpose of sharing available information and addressing issues of mutual concern.

### **3.7 Notice of Board Meeting**

A copy of the Board agenda, non-confidential exhibits and minutes shall be made available to HCAFA President or designee at the same time as it is made available to administrators.

### **3.8 Orientation Meeting**

The College will provide reasonable advance notice to HCAFA about each College-wide adjunct faculty meeting occurring during orientation week. At such meetings, the College will give HCAFA the opportunity to address new and returning adjunct faculty.

### **3.9 Reassigned Time for Union Officers**

1. The College agrees to provide four (4) contact hours of reassigned time per year to HCAFA.
2. No more than three (3) hours of reassigned time may be assigned to any single adjunct faculty member during a semester. For adjunct librarians/counselors, reassigned time shall be equivalent to one (1) clock hour equaling 0.75 contact hours.
3. The HCAFA President or designee shall advise the Provost or designee in writing prior to the beginning of each semester as to how these hours will be distributed.

### **3.10 Date of Hire**

#### **Adjunct Faculty**

The date of hire of an adjunct faculty member shall be identified as the first instructional day on which an adjunct faculty member began providing three (3) or

more credit hours of instruction for the College and the adjunct faculty member has remained in the bargaining unit.

An adjunct faculty member employed at William Rainey Harper College as of the conclusion of the Spring 2005 semester and who has provided a minimum of three (3) credit hours of instruction per academic semester for the last four (4) consecutive academic semesters, excluding the summer term, shall be considered to have provided three (3) or more credit hours of instruction on a continuous and uninterrupted basis to the College since the date of initial employment as an adjunct faculty member through the conclusion of the Spring 2005 semester. To clarify this paragraph, an adjunct faculty member who meets the criteria in this paragraph shall have his/her date of initial employment considered as his/her date of hire.

#### **Adjunct Librarians/Counselors**

The date of hire of an adjunct librarian/counselor shall be identified as the first work day which the adjunct librarian/counselor was assigned to work a minimum of one hundred sixty (160) hours per semester, for at least four (4) consecutive academic semesters and the adjunct librarian/counselor has remained in the bargaining unit.

An adjunct librarian or adjunct counselor employed at William Rainey Harper College as of the conclusion of the Spring 2005 semester and who was assigned to work a minimum of one hundred sixty (160) hours per semester for the last four (4) consecutive academic semesters, excluding the summer term, shall be considered to have been assigned to work a minimum of one hundred sixty (160) hours per semester on a continuous and uninterrupted basis to the College since the date of initial employment as an adjunct librarian/counselor through the conclusion of the Spring 2005 semester. To clarify this paragraph, an adjunct librarian/counselor who meets the criteria in this paragraph shall have his/her date of initial employment considered as his/her date of hire.

### **ARTICLE IV**

#### **4.1 Adjunct Faculty Handbook**

Any existing *Adjunct Faculty Handbook* (previously referred to as the Guidebook for Adjunct Faculty) or *Adjunct Librarians/Counselors Handbook* is a non-contractual reference document for adjunct faculty. The Handbook and its provisions are not grievable under Article VI of this Agreement, provided, that the terms of this Collective Bargaining Agreement supersede any contrary or inconsistent provisions in the Handbook.

#### **4.2 Office Space, Telephones, and Keys**

The College shall provide, based upon availability, shared office space for adjunct faculty in division common areas. Such office space will be equipped with a telephone, computer and printer. Office and classroom keys/card access may be issued to adjunct faculty.

#### **4.3 Department Resources**

Adjunct faculty shall have access to departmental resources and equipment in accordance with College and division rules and regulations.

#### **4.4 Support Services**

Insofar as is practical and the budget permits, the services of student aides may be made available to adjunct faculty. Where feasible the support staff may assist adjunct faculty in the preparation of educational and instructional materials.

#### **4.5 Paid Leave**

The College recognizes that there are times when adjunct faculty will need to take leave for circumstances beyond their control.

Paid leave is available to adjunct employees provided the days of leave fall on the employee's regularly scheduled work days. Notification of absence must be provided to the appropriate Dean or designee at least two (2) hours prior to the start of the assignment (class or librarians/counselors hours) for assignments which start before four o'clock p.m. (4:00 p.m.) and at least three (3) hours prior to

assignments which start after four o'clock pm (4:00 p.m.) that day. When the dates of an absence are known in advance, the adjunct faculty will provide at least one week notice to his/her Dean or designee, when possible. Failure to notify according to this procedure, except in a documented emergency, will result in loss of compensation for that day.

After paid leave benefits are utilized, paid leave must be reported to the division office by submitting the Absence Report.

Should an adjunct employee's absences exceed the available days of paid leave, the adjunct employee's pay will be adjusted based upon the individual adjunct faculty member's contact hour pay rate for classes missed or hourly rate for librarians/counselors hours missed.

#### **A. Adjunct Faculty**

Personal paid leave shall be taken in one-half (1/2) day increments. For example, if an adjunct faculty member is not absent from all of his/her classes, then any portion of a day missed will count as a one-half (1/2) day absence.

Example 1: An adjunct faculty member misses one of his/her two classes on a given day. This will count as a half (1/2) day of paid leave.

Example 2: An adjunct faculty member misses one or two of his/her three classes on a given day. This will count as a half (1/2) day of paid leave.

##### **1. Sick Leave**

- a. Adjunct faculty shall have the right to utilize sick leave because of personal illness or disability or because of a medical emergency which confronts the adjunct faculty member's immediate family. Adjunct faculty member's immediate family is defined as natural or step-parents, spouse or domestic partner, children (or any child that the employee is acting in loco parentis), brother, sister, grandchildren, grandparents, or in-laws (to include brothers, sisters, and parents).

- b. Each adjunct faculty member is allowed one (1) non-cumulative work day absence each semester without loss of pay for sick leave.
  - c. When paid sick leave is taken, the medical reason need not be disclosed.
2. Leave in Summer
- a. Each adjunct faculty member who teaches three (3) or more semester credit hours in the summer term shall be allowed one (1) non-cumulative work day absence for illness during the summer term. When paid sick leave is taken, the medical reason need not be disclosed.
  - b. When this paid leave day has been used, a request may be made to the appropriate Dean for an additional day of paid leave for a religious holiday, personal illness, or bereavement of a close relative as previously defined.
3. Personal Leave
- a. Each adjunct faculty member is allowed one (1) non-cumulative work day absence each semester without loss of pay for personal leave.
  - b. When paid leave is taken, the reason need not be disclosed.

**B. Adjunct Librarians/Counselors**

- 1. Adjunct librarians/counselors shall have the right to utilize paid leave under the following circumstances:
  - a. Personal illness or disability or because of a medical emergency which confronts the adjunct librarian/counselor's immediate family. An adjunct librarian/counselor's immediate family is defined as natural or step-parents, spouse or domestic partner, children (or any child that the employee is acting in loco parentis), brother, sister, grandchildren, grandparents, or in-laws (to include brothers, sisters, and parents). When paid leave is used for illness, the medical reason need not be disclosed.
  - b. Personal leave. When paid leave is used for illness, the medical reason need not be disclosed.

2. An adjunct librarian/counselor shall accrue paid leave at a rate of five percent (5%) of hours worked.
3. This paid leave can be used for sick leave or up to seven (7) hours may be used for personal leave each semester. Up to a maximum of seven (7) hours of paid leave may be carried forward by adjunct librarians/counselors into the next consecutive semester or summer term.
4. Personal leave requires pre-approval from the immediate supervisor with a minimum of one (1) week advance notice, when possible.

### **C. Special Circumstance Leave**

1. When all allotted paid leave days are used for circumstances beyond an adjunct faculty's control, he/she can seek approval from his/her Dean for an additional day to use for a religious holiday, personal illness, or bereavement of an immediate family member provided the days fall on the adjunct faculty's regularly scheduled workdays.
2. When special circumstances leave is approved for purposes of bereavement, family is defined as parent (step-parents, adoptive parent, foster parent or parent in-law), spouse or domestic partner, children (or any child that the employee is acting in loco parentis), sibling (step-sibling, adoptive-sibling, or foster-sibling), aunt, uncle, niece, nephew, grandchildren, grandparents or current in-laws (to include son, daughter, brother, sister, and parent).
3. When special circumstances leave is approved for purposes of illness, immediate family is defined as qualified dependents or family members living in employee's home for which he/she is the primary caregiver. In the case of an extended illness, an adjunct faculty may seek approval of his/her Dean for up to one (1) calendar week absence. The College may request documentation for such leave.

### **D. Union Business**

1. The College will provide HCAFA with up to eight (8) paid days each fiscal year (July 1 through June 30) for union business.

2. HCAFA will provide the appropriate Dean or his/her designee with five (5) business days advance notice of the individual(s) utilizing such leave.

#### **4.6 Jury Duty and Subpoenas**

The College recognizes that jury duty is a civic obligation. All adjunct faculty called or subpoenaed to serve as jurors or witnesses during any working day on which they otherwise would have been scheduled to work will be paid their normal salary during this period. Such time will not be deducted from any paid leave. All adjunct faculty called or subpoenaed to serve as jurors or witnesses will notify the appropriate supervisor as soon as possible.

### **ARTICLE V**

#### **5.1 Access to Personnel Files**

The official personnel file for each adjunct faculty member shall be maintained in the Human Resources Office. An adjunct faculty member shall have the right to examine his/her personnel file in the Human Resources Office in accordance with College policy and the Illinois Personnel Records Review Act. Such request shall be made in writing and shall be honored without undue delay if made during normal business hours. A designee of the College administration may be present during such review. Nothing which had been officially placed in the personnel file shall be permanently removed from the file without the mutual consent of the College President or designee and the adjunct faculty member. An adjunct faculty member may reproduce material from his/her files at the cost established by College policy.

No material from an adjunct faculty's personnel file shall be made available to personnel or agencies not employed by or affiliated with the College without the adjunct faculty's consent, except as required by law, court order or records subpoena or as necessary pursuant to the regular operations of the College. However, this shall not preclude the College from responding as required with regard to verification of employment.

## **ARTICLE VI**

### **6.1 Grievance Definition**

A complaint by (1) an adjunct faculty; or (2) a group of adjunct faculty; or (3) HCAFA, that there has been a violation, misinterpretation or misapplication of any provision of this Agreement.

### **6.2 Grievance Procedure**

#### **Informal Resolution Procedure**

The party asserting a grievance shall attempt to resolve the problem through informal communication with the appropriate Dean and Chair/Coordinator. When a cause for complaint occurs, the affected adjunct faculty shall request a meeting with the Dean/Director in an effort to resolve the complaint. If the affected adjunct faculty is not satisfied with the result of the meeting, he/she and/or HCAFA may formalize the complaint in writing as provided for in Level 1 of the formal grievance procedure set forth below. The informal resolution process shall be completed no later than twenty (20) days after the date of the occurrence giving rise to the grievance or from the date when the grievant might reasonably have become aware of the occurrence.

#### **Formal Grievance Procedure**

**Level 1.** If a complaint is not resolved through the informal resolution procedure, a formal grievance may be submitted in writing by the grievant or HCAFA to the appropriate Dean no later than twenty five (25) days after the date of the occurrence giving rise to the grievance or from the date when the grievant might reasonably have become aware of the occurrence. The formal written grievance shall clearly identify the grievant(s), summarize known relevant facts, identify all provisions of the Agreement allegedly violated, and describe the remedy which is being requested. The Dean will meet with the grievant and HCAFA representative(s) to review the grievance no later than ten (10) days after receipt of the grievance. The Dean shall provide a written response to the grievant with a copy to HCAFA no later than ten (10) days after the meeting. The response shall include the reasons for the decision.

**Level 2.** If the grievance is not resolved at Level 1, the grievant or HCAFA may refer the grievance to the Provost or designee by filing the grievance in writing no later than ten (10) days after receipt of the response from Level 1 or no later than ten (10) days after the date the Level 1 response was due if no response is filed by the Dean. The Provost or designee will meet with the grievant and HCAFA representative(s) to review the grievance no later than ten (10) days after receipt of the Level 2 filing. Each party shall have the right to include in its presentation of facts such witnesses as it deems necessary to develop facts pertinent to the grievance. A written response, including reason(s), shall be provided to the grievant with a copy to HCAFA no later than ten (10) days after the Level 2 meeting.

If the grievance arises from a decision at the Provost's level, the grievance may be initiated at Level 2, provided such is filed no later than the time limits prescribed in Level 1.

**Level 3.** If the grievance is not resolved at Level 2, the grievant or HCAFA may refer the grievance to the College President or designee by filing the grievance appeal in writing no later than ten (10) days after receipt of the response from Level 2 or no later than ten (10) days after the date the Level 2 response was due if no response is filed by the Provost or designee. The College President or designee will meet with the grievant and HCAFA representative(s) to review the grievance no later than ten (10) days after receipt of the Level 3 filing. Each party shall have the right to include in its presentation of facts such witnesses as it deems necessary to develop facts pertinent to the grievance. A written response, including reason(s), shall be provided to the grievant with a copy to HCAFA no later than ten (10) days after the Level 3 meeting.

**Level 4.** If the grievance is not resolved at Level 3, HCAFA may refer the grievance to binding arbitration by filing the grievance in writing no later than thirty (30) calendar days after receipt of the response from Level 3 or no later than thirty (30) calendar days after the date the Level 3 response was due if no response is filed by the College President or designee.

HCAFA shall promptly request the American Arbitration Association (AAA) to provide a panel of Arbitrators in accordance with the AAA's voluntary labor arbitration procedures and the AAA shall serve as the administrator of the arbitration proceeding.

The authority of the arbitrator shall be strictly limited to whether there has been a violation, misinterpretation, or misapplication of this Agreement. His/her authority shall be limited to deciding only the issue or issues presented to him/her by the College and HCAFA, and his/her decision must be based solely upon his/her interpretation of the meaning or application of the express, relevant language of this Agreement. In making his/her recommendation, the arbitrator shall not add to or enlarge upon this Agreement, and any remedy, if appropriate, shall conform to Illinois law.

The arbitrator is empowered to include in his/her award such remedies as shall be within his/her lawful authority. The fees and expenses of the arbitrator and the American Arbitration Association shall be shared equally by the College and HCAFA. The parties likewise shall share the expense of any transcript(s), which they may jointly request, but all other expenses which may be incurred by either party shall be borne by that party.

As used in this Article, "days" shall mean Monday through Friday on days when credit classes are in session, except where otherwise indicated.

The failure of the grievant or HCAFA to act on any grievance within the prescribed time limits will act as a bar to any further appeal within the grievance procedure. If the designated representative of the College shall neglect to proceed or render a decision within the time limits, the grievance may be advanced to the next level of the grievance procedure. Time limits, may, however, be extended by mutual written agreement.

Grievance meetings shall be scheduled in an effort to allow for the presence of necessary parties, where practical.

### **6.3 Non-Reprisal**

Neither the College nor HCAFA shall take any reprisals against any adjunct faculty or other College employee as a consequence of the filing of a grievance or participation or non-participation in the grievance processing under this Article of the Agreement.

## **ARTICLE VII**

### **7.1 Discipline**

The parties recognize the authority of the College to discipline an adjunct faculty for documented reasons which reflect an objective and evidence-based investigation. Such reasons will be disclosed to the adjunct faculty prior to administration of such discipline. The adjunct faculty shall be apprised in writing of the reason(s) for any discipline. The severity of any discipline shall be reasonable and appropriate to the situation.

Except when detrimental to the general welfare of the College, an adjunct faculty shall have the opportunity, prior to discipline, to have a conference with the College and at that time to have an opportunity to review the reason(s) for the discipline and have an opportunity to comment on and/or rebut such reason(s).

At the time any disciplinary action is taken, a copy of the written action shall be provided to the adjunct faculty and placed in the official personnel file in the Human Resources Office. The adjunct faculty shall acknowledge receipt of such notice by signing it for the file but such acknowledgement shall not signify anything other than receipt of the notice. A statement to this effect shall be printed adjacent to the signature line. A copy of the signed document shall be provided to the adjunct faculty concurrent with the document being placed in the official personnel file in the Human Resources office.

The adjunct faculty shall have the right to respond to such notice placed in his/her official personnel file by submitting the response in writing within fifteen (15) days of the filing of such disciplinary notice. Such response shall be attached to the file copy.

As used in the Article, "days" shall mean Monday through Friday on days when credit classes are in session, except where otherwise indicated.

## **7.2 Representation at Meeting**

In the event that an adjunct faculty is required to attend a meeting in which the discussion could lead to the adjunct faculty being disciplined, he/she shall have the right to have an HCAFA representative present.

## **ARTICLE VIII**

### **8.1 Evaluations and Observations**

Adjunct faculty shall be evaluated by the Dean (or designee). Evaluations of librarians/counselors and teaching adjunct faculty will normally occur on a two year cycle. Multiple methods of evaluation may be implemented for adjunct faculty including but not limited to goal-based self-evaluation and reverse peer observation. The procedures for these methods of evaluation will be documented on the Academy for Teaching Excellence website and in the adjunct faculty handbook. Adjunct faculty will be notified during the first week of classes in the semester they are due to be evaluated.

Individual classroom observations and/or evaluations may be conducted as determined necessary by the division Dean. The Dean shall inform the adjunct faculty in writing of the reason(s) for any additional observations or evaluations.

When a written report of an evaluation or observation is prepared, a copy of the written report shall be concurrently provided to the adjunct faculty, department chair and division Dean. The adjunct faculty shall acknowledge receipt of such copy by signing it for the file but such acknowledgement shall not signify anything other than receipt of the report. Should the adjunct faculty refuse to sign the written evaluation/observation,

the Dean (or designee) shall write on the written report that the adjunct faculty refused to sign, date it and sign his/her name to the written report. A copy of the written evaluation or of the observation signed by the adjunct faculty shall be maintained in the adjunct faculty's personnel file in the Human Resources office. An adjunct faculty shall have the right to respond to the written evaluation or observation placed in his/her personnel file by submitting such response in writing within fourteen (14) days of the receipt of the original material. Such response shall be attached to the file copy. As used in this paragraph, "days" shall mean Monday through Friday on days when credit classes are in session.

When a written evaluation of classroom observation is prepared, a copy of this written evaluation shall be discussed with and provided to the adjunct faculty member within (30) calendar days of the observation whenever possible. The adjunct faculty member shall receive an electronic copy of this written evaluation at least one day before the scheduled meeting. A copy of the evaluation of classroom observation form is available on the Harper Internal Portal.

The College will collaborate with representative(s) from HCAFA regarding any changes to the evaluation options, procedures or forms.

### **Informal Conferences**

When a Dean has concerns about an adjunct faculty member based on measures other than observations of instruction, the Dean (or designee) may discuss these concerns with the adjunct faculty member and suggest strategies to improve performance. Such measures include, but are not limited to, Student Opinionnaires of Instruction (SOIs), verbal or written complaints or personal observations. Though SOIs are recognized as helpful tools for professional growth and reflection, SOIs will not be used as the sole method to evaluate the pedagogical effectiveness of an adjunct faculty member.

## **8.2 Assignments**

Each adjunct faculty may submit a written request to the Dean, on a form provided by the College, by the date established by the College, to identify the days and times the adjunct faculty is available to work for the subsequent semester. Every attempt will be made to honor such request subject to the College's staffing needs. The Dean has sole discretion in determining an appropriate assignment for an adjunct faculty.

The College will collaborate with representative(s) from HCAFA regarding any changes to the availability form.

### **Adjunct Faculty**

The College acknowledges that a reasonable effort shall be made to assign adjunct faculty who are fully qualified by virtue of their academic credentials, training, classroom evaluations, recent experience, current discipline related technology, pedagogical techniques in the classroom and date of hire as defined in Article 3.10.

First, the College will make a reasonable effort to assign adjunct faculty members covered by this Agreement at least three (3) credit hours of contingent assignments that will most likely run during each fall and spring semester of an academic year before providing a contingent assignment to a non-unit adjunct faculty member.

Second, the College will make a reasonable effort to assign Level II adjunct faculty members covered by this Agreement, who indicate availability, a minimum of nine (9) contact hours of contingent assignments that will most likely run during each fall and spring semester of an academic year before providing a contingent assignment to a non-unit adjunct faculty member.

Third, the College will make a reasonable effort to offer adjunct faculty who are considered to be excellent faculty and who indicate availability for more than three (3) contact hours at least six (6) contact hours within the remaining staffing needs of the College. At the sole discretion of the dean, an adjunct faculty member may be deemed "not excellent". In such cases, the dean must provide in writing to the

adjunct faculty member the reason for “not excellent” status along with recommendations for improvement to the faculty member nine (9) weeks prior to the start of the next academic semester

The College will put forth a reasonable effort to notify adjunct faculty members of their contingent work assignment for the next regular semester six (6) weeks before the start of that semester.

To demonstrate a “reasonable effort” the College will contact adjunct faculty members via phone and/or the College email system.

### **Adjunct Librarians/Counselors**

The College acknowledges that a reasonable effort shall be made to assign an adjunct librarian/counselor who is fully qualified by virtue of his/her academic credentials, training, evaluations, applicable experience, knowledge of functional area and related technology, and date of hire as defined in Article 3.10. The College will make a reasonable effort to assign an adjunct librarian/counselor covered by this Agreement, a contingent one hundred sixty (160) hour assignment for the current semester before providing a contingent assignment to a non-unit adjunct librarian/counselor.

Adjunct librarians shall receive work assignments no later than five (5) days after the first day of classes for the semester, excluding summer. Adjunct Counselors shall receive work assignments no later than five (5) days before the first day of classes for the semester, excluding summer. In the event an assignment is subsequently cancelled, the adjunct librarian/counselor shall be given other duties for a time period equaling the time the cancelled assignment would have occurred.

### **8.3 Cancellation of Contingent Assignment**

The College shall advise an adjunct faculty member as soon as possible if his/her contingent assignment is canceled. An assignment shall become irrevocable after the first class meeting. If the adjunct faculty member is offered a replacement

course, the adjunct faculty member shall have twenty-four (24) hours to accept the offer.

If an adjunct faculty member's only assignment is withdrawn for whatever reason, (e.g. the class has been assigned to a full-time instructor or the class has been cancelled), the Dean shall make a reasonable effort to assign a pending unassigned section of at least three (3) contact hours that is likely to run to the affected adjunct faculty member. If no unassigned sections are available, then the Dean will reassign a section assigned to a non-unit eligible adjunct faculty member providing the reassigned class is not the only class assigned to the non-unit adjunct faculty member. If no section is available, the Dean will request a voluntary reassignment of a full-time instructor's overload assignment. If no reassignment of a full-time instructor's overload assignment is possible, the Dean will request a voluntary reassignment of unit-eligible adjunct faculty members.

If no reassignment options are available, HCAFA may request a meeting for the College to demonstrate reasons for non-reassignment.

An adjunct faculty member who has not received a teaching assignment or whose teaching assignment has been canceled and for whom no alternative teaching assignment is available shall continue to have rights under this Agreement in accordance with Article 1.2.

#### **8.4 Substitute Teaching**

An adjunct faculty member who is interested in a substitute teaching assignment may be assigned to teach as a substitute in a course section for which the adjunct faculty member is qualified to teach. Each semester an adjunct faculty member may submit to the appropriate Dean a written request, on a form provided by the College, which shall indicate his/her willingness and availability to act as a substitute teacher and a reliable means by which to contact the adjunct faculty member on short notice. An adjunct faculty member who is selected to substitute teach shall be paid in accordance with Article 9.2

## ARTICLE IX – COMPENSATION

### 9.1 Compensation

#### **Adjunct Faculty Salary Schedule January to July 2017**

Cumulative number of credit hours at Harper	Contact hour rate of pay Masters or Equivalent	Contact hour rate of pay Masters+60 or Doctorate
0-64	\$995	\$1005
65-129	\$1038	\$1048
130-194	\$1080	\$1091
195-259	\$1122	\$1133
260+	\$1134	\$1144

1. The above amount will be paid for each contact hour of instruction that is consistent with the number of contact hours assigned to a course.
2. For salary schedule placement, the following will apply:
  - Any adjunct faculty members who were unit eligible prior to August 22, 2016, will retain accumulated credit hours.
  - Any adjunct faculty members who become unit eligible on or after August 22, 2016, will accrue credit hours as set forth above.
  - Doctorate degrees include but are not limited to DDS, MD, JD, DC, OD, DO, PhD, EdD, and DMA.
3. Progression on the salary schedule to next higher step will be based on additional preparation in field or total credit hours taught at the College.
4. Salary schedule movement for adjunct faculty will occur at the start of each academic semester, to exclude summer term.
5. The base cell increase with subsequent impact to salary schedule will be increased yearly in August in years 2, 3, 4, and 5 as determined by the Consumer Price Index Urban (CPIU) by a minimum of 1.5% up to a maximum of 3.5%.
6. Per Article 9.17 of the contract, adjunct faculty members may receive Level II status. Such individuals will receive a 2.5% increase above his/her current

rate of pay for all contact hours worked in an academic semester. Adjunct faculty members will not receive the pay increase associated with initial entry into Level II status in the same academic year as a salary schedule movement.

7. A one-time longevity stipend of \$300 will be paid to each adjunct faculty member employed during the Fall 2016 semester and who works either the Spring 2017 or the Fall 2017 academic semester.

**Adjunct Librarians/Counselors Hourly Compensation**

Semesters at Harper	Year One January to July 2017
0-6	\$41.86
7-10	\$43.60
11-16	\$45.54
17-21	\$47.36
22+	\$47.59

1. The above hourly amount will be paid for each clock hour of adjunct librarian or adjunct counselor work assigned to and worked by an employee during the specific academic year.
2. Initial placement of an adjunct librarian/counselor on the hourly pay schedule will be determined by the College.
3. Rate progression shall be based on additional academic semesters worked at Harper College in accordance with Article 1.1 of this Agreement, excluding the summer session. For adjunct counselors the start of the fall semester is defined as the first day of Final Registration.
4. Salary schedule movement for adjunct faculty will occur at the start of each academic semester, to exclude summer term.
5. Each adjunct librarian/counselor shall submit his/her weekly hours worked for approval into the College payroll system.
6. The base cell increase with subsequent impact to the hourly compensation schedule will be increased yearly in August in years 2, 3, 4, and 5 as determined

by the Consumer Price Index Urban (CPIU) by a minimum of 1.5% up to a maximum of 3.5%.

7. Per Article 9.17 of the contract, adjunct librarians/counselors may receive Level II status. Such individuals will receive a 2.5% increase above his/her current hourly rate of pay. Adjunct librarians/counselors will not receive the pay increase associated with initial entry into Level II status in the same academic year as a salary schedule movement.
8. A one-time longevity stipend of \$300 will be paid to each adjunct librarian/counselor employed during the Fall 2016 semester and who works either the Spring 2017 or the Fall 2017 academic semester.

### **9.2 Substitute Pay**

An adjunct faculty member covered by this Agreement who substitute teaches shall be paid at forty dollars (\$40) per fifty (50) minute class period. No person shall be paid extra for teaching two sections at the same hour, nor shall he/she be required to do so.

### **9.3 Independent Study**

An adjunct faculty member shall be eligible for an independent study assignment at the discretion of the Dean. Reimbursement shall be at the rate of forty-five dollars (\$45) per credit hour generated. An adjunct faculty member may not contract to work with more than four (4) students during any Fall or Spring semester.

### **9.4 Internships**

An adjunct faculty member who is assigned to supervise students in internship experiences (which includes such student experiences as practicums, field placements, work study, externships, and cooperative work experiences) shall be compensated at the rate of one-fourth (1/4) contact hour per student to a maximum of nine (9) contact hours per semester.

### **9.5 Online or Blended Course Development and Teaching**

A. Overview

When an adjunct faculty member develops an online course at the request of the Dean, the adjunct faculty member shall be compensated for the development of the class at the rate of \$2,500 per course.

Compensation will be paid after the course design review and prior to teaching the course for the first time. Approval will be provided by the Associate Dean of the Academy for Teaching Excellence or designee. The course may not be taught without this approval.

After compensation for the course development has been received, the course becomes the property of the College. The College property does not include material which is the intellectual property of the faculty member.

The adjunct faculty member agrees that for the first two semesters following course development, if requested by the Dean, he/she will teach at least one section of the online class.

#### B. First Time Developing or Teaching Online/Blended Course

Adjunct faculty who have not taught online at the College previously must complete one of the approved professional development courses offered through the Academy for Teaching Excellence prior to developing or teaching a new online/blended course for the first time. The Associate Dean of the Academy for Teaching Excellence may accept completion of an alternative training program if the adjunct faculty member produces evidence of having completed related training or coursework through a different institution of higher education or organization.

#### C. Evaluation of Online or Blended Courses

- a. The evaluation procedures for adjunct faculty members on the two (2) year evaluation cycle will include the option to review course design and teach in the online or blended environment.
- b. Adjunct faculty who have taught an online or blended course in each of their last two (2) semesters at the College and are due for an evaluation in the

current semester may be informed they must select the online course design review and teaching evaluation option.

## **9.6 Institutional Meetings and Non-Instructional Meetings or Duties**

### **Adjunct Faculty**

An adjunct faculty member is welcome to attend departmental and institutional meetings, professional development activities and other committee meetings, as appropriate. When attendance at such meetings is mandated by the Dean, the adjunct faculty member will be reimbursed at the rate of twenty-five dollars (\$25) for each clock hour of attendance, rounded to the nearest half hour.

An adjunct faculty member approved by the Dean to participate in College registration and/or testing program or who performs other non-teaching duties shall be compensated at the rate of twenty-five dollars (\$25) for each clock hour of work, rounded to the nearest half hour.

### **Adjunct Librarians/Counselors**

An adjunct librarians/counselor is welcome to attend institutional meetings, professional development activities and other committee meetings, as appropriate. In the event that an adjunct librarian/counselor is required by the Dean/Director to attend a mandatory meeting, then the adjunct librarian/counselor shall be paid at his/her current hourly rate of pay.

## **9.7 Large Lecture Enrollment**

An adjunct faculty member assigned to large lecture instruction will be given additional compensation for the planning and preparation of instructional materials and the coordination of discussion and laboratory co-requisite sections. Compensation will be given as described below:

1. Lecture sections with enrollments from forty-five (45) to fifty-nine (59) and a minimum of two (2) discussions or laboratory co-requisite sections, will be given one (1) hour toward work load.

2. Lecture sections with enrollments from sixty (60) to ninety (90) and a minimum of three (3) co-requisite sections will be given two (2) hours toward workload.
3. Lecture sections with enrollments greater than ninety (90) and a minimum of four (4) co-requisite sections will be given three (3) hours toward workload.
4. Lecture sections having no co-requisite sections and a minimum of two (2) contact hours with an enrollment from forty-five (45) to seventy-four (74) will be given one (1) hour toward workload.
5. Lecture sections having no co-requisite sections and a minimum of two (2) contact hours with an enrollment from seventy-five (75) to one hundred four (104) will be given two (2) hours toward workload.
6. Lecture sections having no co-requisite sections and a minimum of two (2) contact hours with an enrollment from one hundred five (105) to one hundred thirty-four (134) will be given three (3) hours toward workload.
7. Lecture sections having no co-requisite sections and a minimum of two (2) contact hours with an enrollment greater than one hundred thirty four (134) will be given four (4) hours toward workload.

Adjunct faculty shall not sign students into their course sections above the published course capacity to increase their compensation for such class.

The number of students as described in this section will be determined on the first day that the class meets.

### **9.8 Compensation for Cancelled Classes**

The College recognizes that a class contingently assigned but then withdrawn from an adjunct faculty member may be a class for which the adjunct faculty member has prepared. Therefore, if within two (2) calendar days or fewer before the first day of the class an adjunct faculty member's contingently assigned class is cancelled or reassigned and if a replacement assignment is not offered to the adjunct faculty member, the College will pay the adjunct faculty member a fifty dollar (\$50) stipend for the withdrawn class. When the withdrawn class results in the adjunct faculty

member not receiving any assignment for the semester, the stipend will be increased to two hundred fifty dollars (\$250). This section applied to Fall and Spring academic semesters and summer term.

### **9.9 Stacked Classes**

Stacked classes combine courses that may otherwise be cancelled due to low enrollment. Classes are stacked in order to provide students with opportunities to take courses that otherwise the College could not offer.

Adjunct faculty members with a stacked course assignment may teach standards and lessons for more than one course, even though the stacked classes meet as one whole.

When an adjunct faculty member is assigned a stacked class, he/she will be paid commensurate with the course with the largest contact hours. He/she will be credited with the credit hours assigned for the course with the most credit for purposes of salary movement. Adjunct faculty members will be paid a stipend of two hundred dollars (\$200) for teaching a stacked class.

Adjunct faculty have right to decline the option of teaching a stacked course.

### **9.10 Summer Classes**

An adjunct faculty member assigned to teach a summer class shall be compensated at the rate of pay received for a class taught during the previous academic semester.

### **9.11 Tuition Waiver**

An adjunct faculty covered by this Agreement shall be eligible to enroll him or herself in one (1) credit course offered by the College each semester, without tuition charge under the tuition waiver guidelines established by the College. The participation of such adjunct faculty in any course shall not permit such course to be conducted if it would otherwise be terminated for lack of sufficient paid enrollment.

### **9.12 State Universities Retirement System**

An adjunct faculty covered by this Agreement shall participate in and be covered by the benefits of the State Universities Retirement System (SURS).

### **9.13 SURS Return to Work**

1. Any adjunct employee who retired under the State University Retirement System (SURS) on or prior to August 15, 2013, excluding those mentioned in Paragraph 4 below, are designated a “Legacy” adjunct employee and are eligible to teach a maximum of one course per academic semester as long as the sum total of his/her academic year (September 1 through August 31) compensation does not exceed 35% of the individual’s highest rate of SURS earnings prior to his/her retirement. In addition, the adjunct employee agrees that Harper College will be the “legacy” adjunct employee’s sole SURS-Institution Member employer. A “Legacy” adjunct employee who is projected to exceed 35% of his/her highest rate of earnings prior to retirement shall be limited to a maximum of one course per academic year. All course assignments shall be consistent with Article 8.2, Assignments.
2. “Legacy” annuitants continuing their employment eligibility with Harper College will be required to annually certify they are not employed nor will they seek employment with another SURS-Institution Member employer during their active employment eligibility with Harper College.
3. Any current or future adjunct employee who retires under SURS after August 13, 2013 will not be eligible nor have any expectation of employment now or in the future with Harper College.
4. Under 40 ILCS 5/15-139.5/PA 97-0968 SURS Return-to-Work Act the following are exempted:
  - a. Those retirees who have received a “lump sum” retirement distribution;
  - b. Those retirees who participate in the SURS Self-Managed Plan (SMP);
  - c. Previously retired annuitants who have suspended their annuity distributions in order to return to active status within SURS.

#### **9.14 Tax-Sheltered Annuity**

Voluntary employee salary reductions for Internal Revenue Code Section 403(b) tax-sheltered annuities and 457(b) deferred compensation shall be available to adjunct faculty covered by this Agreement. Contracts shall be arranged individually through the Office of the Executive Vice President for Finance and Administrative Services or designee subject to regulation by the College.

#### **9.15 Printing of Agreement**

The College shall be responsible for the timely reproduction of this Agreement. Prior to printing this Agreement, both parties shall share proofreading and agree upon the contents. The cost of such reproduction shall be shared equally by the College and HCAFA.

#### **9.16 Professional Development**

Adjunct faculty may submit pre-approved, non-taxable reimbursement requests for professional development activities that occur during Fall or Spring semesters of the same fiscal year. If an adjunct faculty has an assignment during the Spring semester, he/she may request (prior to the end of the Spring semester) reimbursement for pre-approved professional development which occurs during the summer term. Professional development activities must be specific to the adjunct faculty's assignment at Harper.

To obtain reimbursement, adjunct faculty must receive pre-approval for all professional development expenditures from the Dean (or designee) and Provost (or designee). Professional development activities eligible for pre-approval include, but are not limited to: books, including electronic downloads; professional travel; tuition and fees for workshops, seminars, and college credit courses; expenses related to conferences; professional journals; and memberships in professional organizations (which may include online access to journals). The College does not reimburse for teaching supplies or materials normally provided by the division or any digital hardware or software programs.

Pre-approval must be requested prior to incurring any expenses. Reimbursement of expenses incurred between the initial request to the Dean and receipt of final approval will be at the discretion of the Dean (or designee) or Provost (or designee).

The maximum reimbursement to any individual adjunct faculty will generally be a total of four hundred dollars (\$400) for the year. Reimbursement requests can be made in one submission or multiple submissions. If the four hundred dollar (\$400) reimbursement has been reached or the adjunct faculty's request exceeds that amount, the adjunct faculty may petition the appropriate Dean for additional funds in excess of the four hundred dollars (\$400).

The College will make available a pool of thirty thousand dollars (\$30,000) each fiscal year for the purpose of reimbursing approved professional development. The dollar amount and percentage available in the pool will be posted on the website three (3) business days after any given payroll.

When only 5% of the pool remains, no more pre-approvals will be made, but all preapprovals given prior to that date will be honored. If the total amount awarded to adjunct faculty in a fiscal year is more than the contracted amount, it will be deducted from the total allocation for the next fiscal year. If the agreed upon percentage is reached and preapprovals are cut off and the total expenditures for the year do not reach the contract total because preapprovals were cut off, then the amount not allocated will be added to the total for next year.

Submission for reimbursement must occur before the dates of February 10 for the Fall semester, May 31 for the Spring semester, and August 31 for summer term.

### **9.17 Adjunct Employee Engagement Program/Level II Adjunct Faculty Members**

#### A. Overview

1. The College supports and benefits from adjunct employees who are involved in internal professional development and college service. To recognize

adjunct employees who complete a body of work in these areas, the College will provide incentives including the following:

- a. Increased compensation per contact hour as defined in Article 9.1
  - b. Priority in course assignment as defined in Article 8.2
  - c. An interview for a posted full-time faculty position for which the adjunct employee is qualified to perform.
2. Details of the Adjunct Employee Engagement Program (“AEEP”) will be developed by a workgroup that includes college staff and adjunct employee from each academic division. The Adjunct Employee Engagement Work Group (“AEEWG”) will determine timelines, work required, and applicable professional development and college service opportunities. The (“AEEWG”) will make a recommendation prior to the start of the Fall 2017 semester. The entire adjunct employee engagement program must be approved by HCAFA and the College prior to implementation or any subsequent modifications.
  3. After receiving Level II status by completing a body of work comprised of professional development and college service, the adjunct employee will receive the distinction and incentives that accompany Level II status for a length of time as determined by the AEEWG and approved by HCAFA and the College.
  4. If the adjunct employee wishes to continue to maintain Level II status, he/she must complete an additional body of work prior to the end of the approved period of time.

## **ARTICLE X**

### **10.1 Savings Clause**

In the event that any Article, paragraph, section or sub-section of this Agreement shall be held invalid and unenforceable by the Illinois Educational Labor Relations Board (IELRB) or any court of competent jurisdiction, or by any change in any subsequently enacted federal or state legislation which would prohibit or nullify any section, sub-section or portion of this Agreement, such decision or enactment shall apply only to the specific section, sub-section or portion thereof specified by the

IELRB or Court decision, or change in law, and the remaining parts or portions of this Agreement shall remain in full force and effect.

### **10.2 No Strike/No Lockout**

During the term of this Agreement and any extension thereof, neither HCAFA nor any member of the bargaining unit shall engage in, authorize or instigate any strike or other illegal concerted activity (sympathy strike, work stoppage, or withholding of services) directed against the College.

During the term of this agreement and any extension thereof, the College shall not engage in a lockout of HCAFA bargaining unit members.

Harper College is committed to maintaining an environment for its students and employees which is free from violence, aggressions, intimidation, harassment and sexual harassment of any sort from other students and employees as well as outside parties, including visitors to the College, vendors and those having no legitimate purpose to be on the College's campus.

In the event of a labor dispute involving any other labor group, the College shall take appropriate action to provide safe access and egress for adjunct faculty members.

### **10.3 Entire Agreement**

This Agreement constitutes the complete and entire Agreement between the parties. This Agreement supersedes and cancels all prior practices and Agreements, whether written or oral, which conflict with the express terms of this Agreement. The parties acknowledge that during the negotiations which resulted in this Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject matter not removed by law from the area of collective bargaining and that the understandings and Agreements reached by the parties after the exercise of that right and opportunity are set forth in this Agreement.

The terms and conditions set forth in this Agreement may be altered, changed, added to, deleted from, or modified only through voluntary, mutual consent of the parties in a written amendment to this Agreement.

**10.4 Duration of Agreement**

This Agreement shall be effective on the date of its execution by both parties or as otherwise specifically indicated in this Agreement and shall remain in full force and effect through 11:59 p.m. on the day prior to adjunct faculty reporting for work for the 2020/2021 academic year.

Agreed to, signed and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2017

**BOARD OF TRUSTEES  
COMMUNITY COLLEGE  
DISTRICT 512  
WILLIAM RAINEY HARPER COLLEGE**

**HARPER COLLEGE ADJUNCT  
FACULTY ASSOCIATION,  
IEA-NEA**

\_\_\_\_\_  
Gregory Dowell  
CHAIR

\_\_\_\_\_  
Dr. Charmian Tashjian, DMA  
President

\_\_\_\_\_  
Dr. Nancy Robb  
SECRETARY

**Subject:** Authorization for Personnel Appointments, Payment of Vouchers, and Requests to Purchase

**Recommended by:**  
Bret Bonnstetter  
Controller

**Description**

A recommendation is being made to authorize Dr. Ender, or his delegate, to appoint personnel, pay vouchers, and approve purchases from February 16, 2017 through March 31, 2017, since there is no Board meeting in March.

**Information**

Since the College continues to be open and operate, it is critical that employee appointments be made, vouchers be paid, and requests to purchase be approved.

**Rationale**

The College has no regularly scheduled Board meeting in the month of March and must continue to operate.

**Funding Source**

Not applicable to this exhibit.

**Subject:** Trustee Emeritus Designation

**Recommended by:**

Gregory Dowell, Chair  
Harper College Board of Trustees

**Description**

A recommendation is being made to designate James Gallo as Trustee Emeritus.

**Information**

The Harper College Board of Trustees Policy Manual articulates the requirements for Trustee Emeritus as follows:

*Section 01.03.03*

*A trustee who leaves the Board of Trustees after serving in that capacity for six years or more may be considered for Trustee Emeritus status upon the request of any member of the Board. Non-voting Trustee Emeritus status will be granted upon a majority vote of the Board.*

Trustee Emeritus status provides the following benefits: business cards indicating this special designation, inclusion on Harper's mailing list for special events, an identification card which provides access to reduced rate tickets.

**Rationale**

Trustee James Gallo has served Harper College for six years with honor and distinction.

**Funding Source**

Not applicable to this exhibit.

## RESOLUTION

**W**hereas, **Mr. James Gallo**, was elected to the Board of Trustees in 2011, and served with distinction until 2017; and

**W**hereas, he is an alumnus of Harper College; and

**W**hereas, he served the Board of Trustees as Alumni Liaison to the Educational Foundation from 2014 to 2017, on the Distinguished Alumni Selection Committee, and on the selection committee for the David K. Hill Scholarship; and

**W**hereas, Harper College consistently produced a balanced budget, grew the dual credit and Fast Track programs, and launched the apprenticeship program during his term as Trustee, and with his thoughtful input developed a new strategic plan; and

**W**hereas, he has been a role model in the community in his support for disabled athletes for more than 20 years and is co-founder of the Chicago Wheelchair Bulls, and has advocated in the community for student entrepreneurship programs and has served as a coach for a local high school program; and

**W**hereas, being an ambassador and advocate for Harper College, he attended annual Commencement and Convocation ceremonies, Strategic Planning Conferences, Committee Meetings of the Whole, Board Retreats, ACCT and ICCTA Meetings, Golf Outings, and Receptions; Now, Therefore Be it

**R**esolved, that the Board of Trustees of Harper College honors the accomplishments of **Mr. James Gallo** as he served honorably and with distinction as a Trustee and friend. We thank him for all his contributions to Harper College and its community.

Attested the Fifteen Day of February in the Year 2017,

By:

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Gregory Dowell, Chair  
Board of Trustees

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Kenneth L. Ender  
President

## Announcements by Chair

### XII.A Communications

### XII.B Calendar

March 20	–	March 26	Spring Break – classes not in session	
April 4			General Election	
April 19		5:00 p.m.	Committee of the Whole Meeting	W216
April 26		6:00 p.m.	Regular Board Meeting	W214
May 10		5:00 p.m.	Committee of the Whole Meeting	W216
May 17		6:00 p.m.	Regular Board Meeting	W214
May 20			Commencement	Harper Graduation Pavilion
June 14		5:00 p.m.	Committee of the Whole Meeting	W216
June 21		6:00 p.m.	Regular Board Meeting	W214

## Other Business

## Adjournment